

# Provider Manual

## (Provider Handbook)

Molina Healthcare of Washington, Inc.  
(Molina Healthcare or Molina)

Molina Marketplace  
2025

Capitalized words or phrases used in this Provider Manual shall have the meaning set forth in your Agreement with Molina Healthcare. “Molina Healthcare” or “Molina” have the same meaning as “Health Plan” in your Agreement. Provider Manual is customarily updated annually but may be updated more frequently as needed. Providers can access the most current Provider Manual at [MolinaMarketplace.com](https://MolinaMarketplace.com).

Last Updated: 01/2025



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## 1. Marketplace products

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Molina offers four (4) levels of affordable health plans for Molina Members:

- Cascade Bronze:
- Constant Care Silver
- Cascade Silver
- Cascade Gold

## 2. Contact information

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**Molina Healthcare of Washington, Inc.**  
**PO Box 4004**  
**Bothell, WA 98041-4004**

### Contracting

The Contracting department should be contacted if you are interested in contracting with Molina or checking on the status of your contract. You should notify us of any demographic updates or changes. Contracting is available 8 a.m. to 5 p.m. Monday through Friday, Pacific Time, excluding state and federal holidays.

Phone: (855) 322-4082  
Fax: (877) 814-0342  
Email: [MHWProviderContracting@MolinaHealthCare.com](mailto:MHWProviderContracting@MolinaHealthCare.com)

### Provider services

The Molina Provider Contact Center handles telephone inquiries from Providers regarding claims, appeals, authorizations, eligibility, and general concerns. Molina Provider Contact Center representatives are available Monday through Friday, 7:30 a.m. to 6:30 p.m., Pacific Time, excluding state and federal holidays.

Molina strongly encourages Participating Providers to submit Claims electronically via a clearinghouse or the Availity Essentials (Availity) portal whenever possible.

EDI Payer ID Number: 38336

To verify the status of your Claims please use the Availity portal. Claim questions can be submitted through the Secure Messaging feature via the Claim Status module on the Availity portal, or by contacting the Molina Provider Contact Center.

Eligibility verifications can be conducted at your convenience via the Eligibility and Benefits module on the Availity Essentials Portal.

Phone: (855) 322-4082  
Availity portal: [provider.MolinaHealthcare.com](http://provider.MolinaHealthcare.com)  
Hearing Impaired (TTY/TDD): 711

## Provider relations

The Provider Relations department manages telephone inquiries from Providers regarding issue resolution, contracting, education and training. The department has Provider Relations representatives who serve all of Molina's Provider network. You can reach the Provider Relations reps and other key contacts on our website at [www.molinamarketplace.com/marketplace/wa/en-us/Providers/Contact-Us.aspx](http://www.molinamarketplace.com/marketplace/wa/en-us/Providers/Contact-Us.aspx)

The Molina Member Contact Center handles all telephone and written inquiries regarding benefits, eligibility/identification, pharmacy inquiries, selecting or changing Primary Care Providers (PCPs), and Member complaints. Molina Member Contact Center representatives are available Monday through Friday, 7:30 a.m. to 6:30 p.m., Pacific Time, excluding state and federal holidays.

Phone: (888) 858-3492  
Hearing Impaired (TTY/TDD): 711

## Claims

Molina strongly encourages participating Providers to submit Claims electronically via a clearinghouse or [Availity](#) portal whenever possible.

- [Availity](#) portal
- EDI Payer ID 38336

To verify the status of your Claims, please use the [Availity](#) portal or access the automated IVR functionality. Claim questions can be submitted through the Secure Messaging feature via the Claim Status Module on the [Availity](#) portal or by contacting the Molina Provider Contact Center at (855) 322-4082. For additional information please refer to the **Claims and Compensation** section of this Provider Manual.

## Claims recovery

The Claims Recovery department manages recovery for overpayment and incorrect payment of Claims

|                              |   |
|------------------------------|---|
| <b>Provider disputes</b>     | Molina Healthcare of Washington<br>PO Box 2470<br>Spokane, WA 99210-2470      |
| <b>Refund checks lockbox</b> | Molina Healthcare of Washington<br>PO Box 30717<br>Los Angeles, CA 90030-0717 |
| <b>Phone</b>                 | (866) 642-8999  |
| <b>Fax</b>                   | (888) 396-1520  |

## Compliance and fraud Alertline

Suspected cases of fraud, waste, or abuse must be reported to Molina by contacting the Molina Alertline or submitting an electronic complaint using the website listed below. For additional information on fraud, waste, and abuse, please refer to the **Compliance** section of this Provider Manual.

Confidential Compliance Official  
Molina Healthcare, Inc.  
200 Oceangate, Suite 100  
Long Beach, CA 90802

Phone: (866) 606-3889

Online: [MolinaHealthcare.alertline.com](http://MolinaHealthcare.alertline.com)

## Credentialing

The Credentialing department verifies all information on the Provider Application prior to contracting and re-verifies this information every three (3) years or sooner, depending on Molina's credentialing criteria. The information is then presented to the Professional Review Committee to evaluate a Provider's qualifications to participate in the Molina network. For additional information about Molina's Credentialing program please refer to the **Credentialing and Recredentialing** section of this Provider Manual.

## 24-hour Nurse Advice Line

This telephone-based nurse advice line is available to all Molina Members. Members may call anytime they are experiencing symptoms or need health care information. Registered nurses are available 24 hours a day, 7 days a week.

Phone: (888) 275-8750 (English)

Phone: (888) 648-3537 (Spanish)

Hearing Impaired (TTY/TDD): 711 Relay

## Health care services

The Health Care Services (HCS) department conducts concurrent reviews on inpatient cases and processes prior authorizations/service requests. The HCS department also performs care management for Members who will benefit from care management services. Participating Providers are required to interact with Molina's HCS department electronically whenever possible. Prior authorizations/service requests and status checks can be easily managed electronically. For additional information please refer to the **Health Care Services** section of this Provider Manual.

Managing prior authorizations/service requests electronically provides many benefits to Providers, such as:

- Easy to access, 24/7 online submission and status checks.
- Ensures Health Insurance Portability and Accountability Act (HIPAA) compliance.
- Ability to receive real-time authorization status.
- Ability to upload medical records.
- Increased efficiencies through reduced telephonic interactions.
- Reduces costs associated with fax and telephonic interactions.

Molina offers the following electronic prior authorizations/service request submission options:

- Submit requests directly to Molina via the [Avality](#) portal.
- Submit requests via 278 transactions. See the EDI transaction section of Molina’s website for guidance.

Healthcare services authorizations and inpatient census:

[Avality](#) portal

Phone: (855) 322-4082

Fax Medical: (833) 322-1061

Inpatient Census Fax: (800) 413-3806

NICU Fax: (833) 322-1061

Transplant Fax: (877) 813-1206

Advanced Imaging Fax: (877) 731-7218

## Health management

Molina provides health management programs designed to assist Members and their families to better understand their chronic health condition(s) and adopt healthy lifestyle behaviors.

The programs include:

- Molina My Health – Tobacco Cessation Program
- Molina My Health – Weight Management Program
- Molina My Health – Nutrition Consult Program

Phone: 833-269-7830

Fax: (800) 642-3691

## Behavioral Health

Molina manages all components of covered services for behavioral health. For Member behavioral health needs, please contact us directly at (855) 322-4082. Molina has a Behavioral Health Crisis Line that Members may access 24 hours per day, 365 days per year by calling the Member Services telephone number on the back of their Molina Member ID card.

## Pharmacy

The prescription drug benefit is administered through CVS Caremark. A list of in-network pharmacies is available on [MolinaMarketplace.com](https://www.molinahealthcare.com/marketplace) or by contacting Molina. For additional information please refer to the **Pharmacy** section of this Provider Manual.

Phone: (855) 322-4082

Fax: (800) 869-7791

## Quality

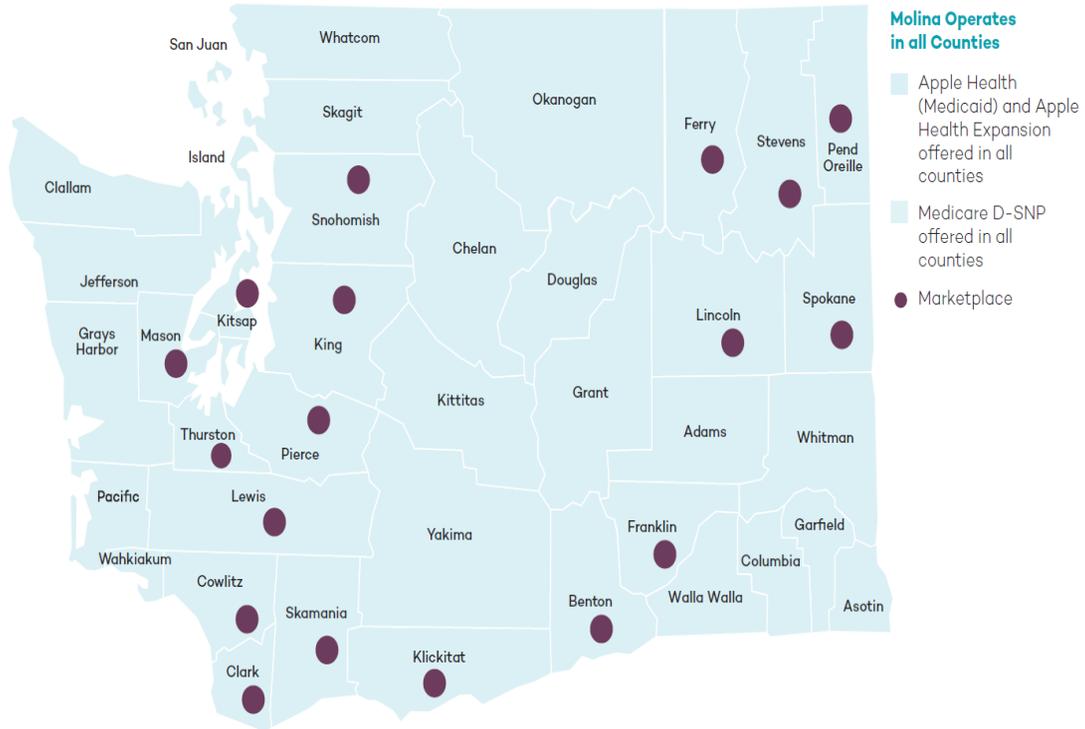
Molina maintains a Quality department to work with Members and Providers in administering Molina's Quality Improvement (QI) program. For additional information please refer to the **Quality** section of this Provider Manual.

Email: [MHW\\_QI\\_Department@MolinaHealthcare.com](mailto:MHW_QI_Department@MolinaHealthcare.com)

[Voicemail: \(866\) 325-5173](tel:(866)325-5173)

[Fax: \(800\) 461-3242](tel:(800)461-3242)

## Molina Healthcare of Washington, Inc., service area



MolinaHealthcare.com Information as of 01/01/2025

### 3. Provider responsibilities

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#### Non-discrimination in healthcare service delivery

Providers must comply with the nondiscrimination requirements for healthcare service delivery outlined in the **Cultural Competency and Linguistic Services** section of this Provider Manual.

Additionally, Molina requires Providers to deliver services to Molina Members without regard to the source of payment. Specifically, Providers may not refuse to serve Molina Members because they receive assistance with cost-sharing from a government-funded program.

#### Section 1557 investigations

All Molina Providers shall disclose all investigations conducted pursuant to Section 1557 of the Patient Protection and Affordable Care Act to Molina's Civil Rights Coordinator.

Molina Healthcare, Inc. Civil Rights Coordinator  
200 Oceangate, Suite 100  
Long Beach, CA 90802

Phone: (866) 606-3889

TTY/TDD: 711

Online: [MolinaHealthcare.AlertLine.com](https://MolinaHealthcare.AlertLine.com)

Email: [civil.rights@MolinaHealthcare.com](mailto:civil.rights@MolinaHealthcare.com)

For additional information, please refer to the Department of Health and Human Services (HHS) website at [federalregister.gov/documents/2020/06/19/2020-11758/nondiscrimination-in-health-and-health-education-programs-or-activities-delegation-of-authority](https://www.federalregister.gov/documents/2020/06/19/2020-11758/nondiscrimination-in-health-and-health-education-programs-or-activities-delegation-of-authority).

#### Facilities, equipment, personnel, and administrative services

The Provider's facilities, equipment, personnel, and administrative services must be at the level and quality necessary to perform duties and responsibilities and meet all applicable legal requirements, including the accessibility requirements of the Americans with Disabilities Act (ADA).

#### Provider data accuracy and validation

It is important for Providers to ensure Molina has accurate practice and business information. Accurate information allows us to better support and serve our Members and Provider network.

Maintaining an accurate and current Provider Online Directory is a state and federal regulatory requirement and a National Committee for Quality Assurance (NCQA) required element. Invalid

information can negatively impact Member access to care, Member/PCP assignments, and referrals. Additionally, current information is critical for timely and accurate Claim processing.

Providers must validate their Provider information on file with Molina at least once every 90 days for correctness and completeness.

Failure to do so may result in your REMOVAL from the Marketplace Provider online directory. Provider information that must be validated includes, but is not limited to:

- Provider or practice name
- Location(s)/Address
- Specialty(ies)
- Telephone number, fax number, and email
- Digital contact information
- Whether your practice is open to new patients (PCPs only)
- Tax ID and/or National Provider Identifier (NPI)

The information above must be provided as follows:

- Delegated Providers and other Providers that typically submit rosters must submit a full roster that includes the above information to Molina at [mhwdelegationoversightdepartment@molinahealthcare.com](mailto:mhwdelegationoversightdepartment@molinahealthcare.com).
- All other Providers must log in to your Council for Affordable Quality Healthcare, Inc., (CAQH) account to attest to the accuracy of the above information for each health care Provider and/or facility in your practice that is contracted with Molina. If the information is correct, please select the option to attest that the information is correct. If the information is not correct, Providers can make updates through the [CAQH portal](#). Providers unable to make updates through the [CAQH portal](#) should contact their Provider Relations representative for assistance.

Additionally, in accordance with the terms specified in your Provider Agreement with Molina, Providers must notify Molina of any changes as soon as possible, but at a minimum 30 calendar days in advance of any changes in any Provider information on file with Molina. Changes include, but are not limited to:

- Change in office location(s)/address, office hours, phone, fax, or email
- Addition or closure of office location(s)
- Addition of a Provider (within an existing clinic/practice)
- Change in Provider or practice name, Tax ID and/or National Provider Identifier (NPI)
- Opening or closing your practice to new patients (PCPs only)
- Change in specialty.
- Any other information that may impact Member access to care

For Provider terminations (within an existing clinic/practice), Providers must notify Molina in writing in accordance with the terms specified in your Provider Agreement with Molina.

**Note:** Some changes may impact credentialing. Providers are required to notify Molina of changes to credentialing information in accordance with the requirements outlined in the **Credentialing and Recredentialing** section of this Provider Manual.

Molina is required to audit and validate our Provider network data and Provider Directories on a routine basis. As part of our validation efforts, we may reach out to our provider network through various methods, such as letters, phone campaigns, face-to-face contact, fax and fax-back verification, etc. Molina also may use a vendor to conduct routine outreach to validate data that impacts the Provider Directory or otherwise impacts its membership or ability to coordinate member care. Providers are required to supply timely responses to such communications.

## Molina Electronic Solutions requirements

Molina strongly encourages Providers to utilize electronic solutions and tools whenever possible. Molina requires all contracted providers to participate in and comply with Molina's electronic solution requirements, which include, but are not limited to, electronic submission of prior authorization requests, prior authorization status inquiries, health plan access to electronic medical records (EMR), electronic Claim submission, electronic fund transfers (EFT), electronic remittance advice (ERA), electronic Claim appeal and registration for and use of the [Availity](#) portal.

Molina offers a number of electronic solutions to our Providers. These tools are intended to improve Providers' access to information related to Molina Members and increase the level of services and support received by providing faster turnaround times and creating efficiencies.

Electronic Claims include Claims submitted via a clearinghouse using the EDI process and Claims submitted through the [Availity](#) portal.

Any Provider entering the network as a Contracted Provider will be required to comply with Molina's electronic solution policy by enrolling for EFT/ERA payments and registering for the [Availity](#) portal within 30 days of entering the Molina network.

Molina is committed to complying with all HIPAA Transactions, Code Sets, and Identifiers) (TCI) standards. Providers must comply with all HIPAA requirements when using electronic solutions with Molina. Providers must obtain an NPI and use their NPI in HIPAA Transactions, including Claims submitted to Molina. Providers may obtain additional information by visiting Molina's [HIPAA Resource Center](#) located on our website at [MolinaMarketplace.com](#).

## Electronic solutions/tools available to providers

Electronic solutions/tools available to Molina Providers include:

- Electronic Claim submission options
- Electronic payment: EFT with ERA
- [Availity](#) portal

## Electronic Claim submission requirement

Molina strongly encourages participating Providers to submit Claims electronically whenever possible. Electronic Claim submission provides significant benefits to the Provider such as:

- Promoting HIPAA compliance.
- Helping to reduce operational costs associated with paper Claims (printing, postage, etc.).
- Increasing accuracy of data and efficient information delivery.
- Reducing Claim processing delays as errors can be corrected and resubmitted electronically.
- Eliminating mailing time and enabling Claims to reach Molina faster.

Molina offers the following electronic Claim submission options:

- Submit Claims directly to Molina via the [Availity](#) portal.
- Submit Claims to Molina through your EDI clearinghouse using Payer ID 38336, refer to our website at [MolinaMarketplace.com](http://MolinaMarketplace.com) for additional information.

While Molina embraces both options, submitting Claims via the Availity portal (available to all Providers at no cost) offers a number of additional Claim processing benefits beyond the possible cost savings achieved from reducing high-cost paper Claims.

[Availity](#) portal Claim submission includes the ability to:

- Add attachments to Claims.
- Submit corrected Claims.
- Easily and quickly void Claims.
- Check Claim status.
- Receive timely notification of a change in status for a particular Claim.
- Ability to save incomplete/un-submitted Claims.
- Create/manage Claim templates.

For additional information on EDI Claims submission, please refer to the **Claims and Compensation** section of this Provider Manual.

## Electronic payment requirement

Participating Providers are required to enroll in EFT and ERA. Providers enrolled in EFT payments will automatically receive ERAs as well. EFT/ERA services give Providers the ability to

reduce paperwork, utilize searchable ERAs, and receive payment and ERA access faster than the paper check and remittance advice (RA) processes. There is no cost to the Provider for EFT enrollment, and Providers are not required to be in-network to enroll. Molina uses a vendor to facilitate the HIPAA-compliant EFT payment and ERA delivery processes.

Molina has partnered with ECHO Health, Inc. (ECHO), for payment delivery and 835 processing. On this platform, you may receive your payment via EFT/Automated Clearing House (ACH), a physical check, or a virtual card.

By default, if you have no payment preferences specified on the ECHO platform, your payments will be issued via virtual card. This method may include a fee that is established between you and your merchant agreement and is not charged by Molina or ECHO. You may opt out of this payment preference and request payment be reissued at any time by following the instructions on your explanation of payment (EOP) and contacting ECHO Customer Service at (888) 834-3511 or [edi@echohealthinc.com](mailto:edi@echohealthinc.com). Once your payment preference has been updated, all payments will go out in the method requested.

If you would like to opt out of receiving a virtual card prior to your first payment, you may contact ECHO Customer Service at (888) 834-3511 or [edi@echohealthinc.com](mailto:edi@echohealthinc.com) and request that your Tax ID for payer Molina Healthcare of Washington be opted out of virtual cards.

Once you have enrolled for electronic payments you will receive the associated ERAs from ECHO with the Molina Payer ID. Please ensure that your practice management system is updated to accept the Payer ID referenced below. All generated ERAs will be accessible to download from the ECHO provider portal at [providerpayments.com](http://providerpayments.com).

If you have any difficulty with the website or have additional questions, ECHO has a Customer Services team available to assist with this transition. Additionally, changes to the ERA enrollment or ERA distribution can be made by contacting the ECHO Customer Services team at (888) 834-3511.

As a reminder, Molina's Payer ID is 38336.

Once your account is activated, you will begin receiving all payments through EFT, and you will no longer receive a paper EOP (i.e., remittance) through the mail. You will receive 835s (by your selection of routing or via manual download) and can view, print, download and save historical and new ERAs with a two (2)-year lookback.

Additional instructions on how to register are available under the EDI/ERA/EFT tab on Molina's website at [MolinaMarketplace.com](http://MolinaMarketplace.com).

## Availity portal

Providers and third-party billers can use the no-cost [Availity](#) portal to perform many functions online without the need to call or fax Molina. Registration can be performed online and once completed, the easy-to-use tool offers the following features:

- Verify Member eligibility, covered services and view Healthcare Effectiveness Data and Information Set (HEDIS®) needed services (gaps)
- Claims:
  - Submit Professional (CMS-1500) and Institutional (CMS-1450 [UB04]) Claims with attached files
  - Correct/void Claims
  - Add attachments to previously submitted Claims
  - Check Claim status
  - View ERA and EOP
  - Create and manage Claim templates
  - Create and submit a Claim appeal with attached files
- Prior authorizations/service requests
  - Create and submit prior authorization/service requests
  - Check status of prior authorization/service requests
- Download forms and documents
- Send/receive secure messages to/from Molina

*HEDIS® is a registered trademark of the National Committee for Quality Assurance (NCQA).*

## Balance Billing

The Provider is responsible for verifying eligibility and obtaining approval for those services that require prior authorization. Providers agree that under no circumstance shall a Member be liable to the Provider for any sums that are the legal obligation of Molina to the Provider. Balance billing a Member for Covered Services is prohibited, except for the Member's applicable copayment, coinsurance, and deductible amounts.

A health care provider, health care facility, behavioral health emergency services Provider, or air or ground ambulance service Provider may not request or require a patient at any time, for any procedure, service, or supply, to sign or otherwise execute by oral, written, or electronic means, any document that would attempt to avoid, waive, or that would authorize a Provider or facility to ask a patient to consent to waive their balance billing protections.

## Member rights and responsibilities

Providers are required to comply with the Member rights and responsibilities as outlined in Molina's Member materials (such as Member Handbooks).

For additional information please refer to the **Member Rights and Responsibilities** section in this Provider Manual.

## Member information and marketing

Any written informational or marketing materials directed to Molina Members must be developed and distributed in a manner compliant with all State and Federal Laws and regulations and approved by Molina prior to use. Any written information or marketing materials directed to Molina members that reference Molina must be approved by Molina prior to use.

Please contact your Provider Relations representative for information and review of proposed materials.

## Member eligibility verification

Possession of a Molina Member ID card does not guarantee Member eligibility or coverage. Providers should verify the eligibility of Molina Members prior to rendering services. Payment for services rendered is based on enrollment and benefit eligibility. The contractual agreement between Providers and Molina places the responsibility for eligibility verification on the Provider of services.

Providers who contract with Molina may verify a Member's eligibility by checking the following:

- [Availity](#) portal
- Molina Provider Contact Center automated IVR system at (855) 322-4082

For additional information please refer to the **Eligibility and Grace Period** section of this Provider Manual.

## Member cost sharing

Providers should verify the Molina Member's cost-sharing status prior to requiring the Member to pay a co-pay, co-insurance, deductible, or other cost-sharing that may be applicable to the Member's specific benefit plan. Some plans have a total maximum cost-sharing that frees the Member from any further out-of-pocket charges once reached (during that calendar year).

## Health care services (utilization management and care management)

Providers are required to participate in and comply with Molina's utilization management and care management programs, including all policies and procedures regarding Molina's facility

admission, prior authorization, medical necessity review determination, and Interdisciplinary Care Team (ICT) procedures. Providers will also cooperate with Molina in audits to identify, confirm, and/or assess utilization levels of covered services.

For additional information please refer to the **Health Care Services** section of this Provider Manual.

## Referrals

A referral may become necessary when a Provider determines medically necessary services are beyond the scope of the PCP's practice or it is necessary to consult or obtain services from other in-network specialty health professionals unless the situation is one involving the delivery of emergency services. Information is to be exchanged between the PCP and specialist to coordinate the care of the patient to ensure continuity of care. Providers need to document referrals that are made in the patient's medical record. Documentation needs to include the specialty, services requested and diagnosis for which the referral is being made.

Providers should direct Molina Members to health professionals, hospitals, laboratories, and other facilities and Providers that are contracted and credentialed (if applicable) with Molina. In the case of urgent and emergency services, Providers may direct Members to an appropriate service, including, but not limited to, primary care, urgent care, and hospital emergency rooms. There may be circumstances in which referrals may require an out-of-network Provider. Prior authorization will be required from Molina, except in the case of emergency services.

For additional information please refer to the **Health Care Services** section of this Provider Manual.

PCPs can refer a Member to an in-network specialist for consultation and treatment without a referral request to Molina.

## Treatment alternatives and communication with Members

Molina endorses open Provider-Member communication regarding appropriate treatment alternatives and any follow-up care. Molina promotes open discussion between Providers and Members regarding medically necessary or appropriate patient care, regardless of covered benefits limitations. Providers are free to communicate all treatment options to Members regardless of benefit coverage limitations. Providers are also encouraged to promote and facilitate training in self-care and other measures Members may take to promote their own health.

## Pharmacy program

Providers are required to adhere to Molina's drug formularies and prescription policies. For additional information please refer to the **Pharmacy** section of this Provider Manual.

## Participation in quality improvement programs

Providers are expected to participate in Molina's Quality Improvement (QI) programs and collaborate with Molina in conducting peer review and audits of care rendered by Providers. Such participation includes, but is not limited to:

- Access to care standards
- Site and medical record-keeping practice reviews as applicable
- Delivery of patient care information

For additional information please refer to the **Quality** section of this Provider Manual.

## Compliance

Providers must comply with all state and federal laws and regulations related to the care and management of Molina Members.

## Confidentiality of Member health information and HIPAA transactions

Molina requires that Providers respect the privacy of Molina Members (including Molina Members who are not patients of the Provider) and comply with all applicable laws and regulations regarding the privacy of patient and Member protected health information. For additional information please refer to the **Compliance** section of this Provider Manual.

## Participation in grievance and appeals programs

Providers are required to participate in Molina's grievance program and cooperate with Molina in identifying, processing, and promptly resolving all Member complaints, grievances, or inquiries. If a Member has a complaint regarding a Provider, the Provider will participate in the investigation of the grievance. If a Member submits an appeal, the Provider will participate by providing medical records and/or statements if needed. This includes the maintenance and retention of Member records for a period of not less than ten (10) years and retained further if the records are under review or audit until such time that the review or audit is complete.

For additional information, please refer to the Complaints, Grievance, **and Appeals Process** section of this Provider Manual.

## Participation in credentialing

Providers are required to participate in Molina's credentialing and re-credentialing process and will satisfy, throughout the term of their contract, all credentialing and re-credentialing criteria established by Molina and applicable accreditation, state and federal requirements. This includes providing prompt responses to Molina's requests for information related to the credentialing or re-credentialing process.

For additional information on Molina’s Credentialing program please refer to the **Credentialing and Recredentialing** section of this Provider Manual.

## Delegation

Delegated entities must comply with the terms and conditions outlined in Molina’s Delegated Services Addendum. For additional information on Molina’s delegation requirements and delegation oversight please refer to the **Delegation** section of this Provider Manual.

## Primary care provider responsibilities

PCPs are responsible to:

- Serve as the ongoing source of primary and preventive care for Members
- Assist with coordination of care as appropriate for the Member's health care needs
- Recommend referrals to specialists participating with Molina
- Triage appropriately
- Notify Molina of Members who may benefit from Care Management
- Participate in the development of Care Management treatment plans

## 4. Cultural competency and linguistic services

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### Background

Molina works to ensure all Members receive culturally competent care across the service continuum to reduce health disparities and improve health outcomes. The Culturally and Linguistically Appropriate Services in Health Care (CLAS) standards published by the U.S. Department of Health and Human Services (HHS), Office of Minority Health (OMH) guide the activities to deliver culturally competent services. Molina complies with Section 1557 of the Patient Protection and Affordable Care Act, prohibiting discrimination in health programs and activities receiving federal financial assistance on the basis of race, color, national origin, sex, age, and disability per Title VI of the Civil Rights Act of 1964, title IX of the Education Amendments of 1972, the Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1975 (29 U.S.C. § 794). Molina complies with applicable portions of the Americans with Disabilities Act of 1990. Molina also complies with all implementing regulations for the foregoing. Compliance ensures the provision of linguistic access and disability-related access to all Members, including those with Limited English Proficiency (LEP) and Members who are deaf, hard of hearing, non-verbal, have a speech impairment, or have an intellectual disability. Policies and procedures address how individuals and systems within the organization will effectively provide services to people of all cultures, races, ethnic backgrounds, genders, gender identities, sexual orientations, ages, and religions as well as those with disabilities in a manner that recognizes values, affirms and respects the worth of the individuals and protects and preserves the dignity of each.

Additional information on cultural competency and linguistic services is available at [MolinaMarketplace.com](https://MolinaMarketplace.com), or from your local Provider Relations representative and by calling the Molina Provider Contact Center at (855) 322-4082.

### Non-discrimination in health care service delivery

Molina complies with Section 1557 of the ACA. As a Provider participating in Molina's Provider Network, you and your staff must also comply with the non-discrimination provisions and guidance set forth by the Department of Health and Human Services, Office for Civil Rights (HHS-OCR); state law; and federal program rules, including Section 1557 of the ACA.

You are required to do, at a minimum, the following:

1. You **MAY NOT** limit your practice because of a Member's medical (physical or mental) condition or the expectation for the need for frequent or high-cost care.
2. You **MUST** post in a conspicuous location in your office a Nondiscrimination Notice. A sample of the Nondiscrimination Notice that you will post can be found in the Member Agreement and Individual Evidence of Coverage located at [MolinaMarketplace.com/MemberForms](https://MolinaMarketplace.com/MemberForms).

3. You **MUST** post in a conspicuous location in your office a Tagline Document that explains how to access non-English language services. A sample of the Tagline Document that you will post can be found in the Member Agreement and Individual Evidence of Coverage located at [MolinaMarketplace.com/MemberForms](http://MolinaMarketplace.com/MemberForms).
4. If a Molina Member needs language assistance services while at your office, and you are a recipient of federal Financial Assistance, you **MUST** take reasonable steps to make your services accessible to persons with limited English proficiency (LEP). You can find resources on meeting your LEP obligations at [hhs.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/index](http://hhs.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/index) and [hhs.gov/civil-rights/for-providers/clearance-medicare-providers/technical-assistance/limited-english-proficiency/index](http://hhs.gov/civil-rights/for-providers/clearance-medicare-providers/technical-assistance/limited-english-proficiency/index).
5. If a Molina Member complains of discrimination, you **MUST** provide them with the following information so that they may file a complaint with Molina’s Civil Rights Coordinator or the HHS-OCR:

|   |  |
|---|--|
| <p>Civil Rights Coordinator<br/>Molina Healthcare, Inc.<br/>200 Oceangate, Suite 100<br/>Long Beach, CA 90802</p> <p>Phone (866) 606-3889<br/>TTY/TDD, 711<br/><a href="mailto:civil.rights@MolinaHealthcare.com">civil.rights@MolinaHealthcare.com</a></p> | <p>Office of Civil Rights<br/>U.S. Department of Health and Human Services<br/>200 Independence Avenue, SW<br/>Room 509F, HHH Building<br/>Washington, D.C. 20201</p> <p>Website:<br/><a href="http://ocrportal.hhs.gov/ocr/smartscreen/main">ocrportal.hhs.gov/ocr/smartscreen/main</a></p> <p>Complaint Form:<br/><a href="http://hhs.gov/ocr/complaints/index">hhs.gov/ocr/complaints/index</a></p> |
|---|--|

If you or a Molina Member need additional help or more information, call the Office of Civil Rights at (800) 368-1019 or TTY/TDD (800) 537-7697 for persons with hearing impairments.

## Cultural competency

Molina is committed to reducing health care disparities. Training employees, Providers, and their staff and quality monitoring are the cornerstones of successful, culturally competent service delivery. Molina integrates cultural competency training into the overall Provider training and quality-monitoring programs. An integrated quality approach enhances the way people think about our Members, service delivery, and program development so that cultural competency becomes a part of everyday thinking.

## Provider and community training

Molina offers educational opportunities in cultural competency concepts for Providers, their staff, and community-based organizations. Molina conducts Provider training during Provider

orientation with annual reinforcement training offered through Provider Relations and/or online/web-based training modules. Web-based training modules can be found on Molina's website at [MolinaMarketplace.com/Providers/Health-Resources/Culturally-and-Linguistically-Appropriate-Resources-Disability-Resources](https://MolinaMarketplace.com/Providers/Health-Resources/Culturally-and-Linguistically-Appropriate-Resources-Disability-Resources).

Training modules, delivered through a variety of methods, include:

- Provider written communications and resource materials.
- Online cultural competency Provider training modules.
- Integration of cultural competency concepts and nondiscrimination of service delivery into Provider communications.

## Integrated Quality Improvement

Molina ensures. Members have access to language services such as oral interpretation, American Sign Language (ASL), and written translation. Molina must also ensure access to programs, aids, and services that are congruent with cultural norms. Molina supports Members with disabilities and assists Members with LEP.

Molina develops Member materials according to Plain Language Guidelines. Members or Providers may also request written Member materials in alternate languages and formats (i.e., Braille, audio, large print), leading to better communication, understanding, and Member satisfaction. Online materials found on [MolinaMarketplace.com](https://MolinaMarketplace.com) and information delivered in digital form meet Section 508 accessibility requirements to support Members with visual impairments.

The Molina Member website also provides Key Member information, including appeal and grievance forms, in threshold languages.

## Access to interpreter services

Providers may request interpreters for Members whose primary language is other than English by calling the Molina Member Contact Center at (888) 858-3492. If Molina Member Contact Center representatives are unable to interpret in the requested language, the representative will immediately connect you and the Member to a qualified language service provider.

Molina Providers must support Member access to telephonic interpreter services by offering a telephone with speaker capability or a telephone with a dual headset. Providers may offer Molina Members interpreter services if the Members do not request them on their own. Please remember it is never permissible to ask a family member, friend, or minor to interpret.

All eligible Members with LEP are entitled to receive interpreter services. Pursuant to Title VI of the Civil Rights Act of 1964, services provided for Members with LEP, limited reading proficiency, or limited hearing or sight are the financial responsibility of the Provider. Under no circumstances are Molina Members responsible for the cost of such services. Each office or

facility is to maintain written procedures regarding its process for obtaining such services. Molina is available to assist providers with locating these services if needed.

An individual with LEP has a limited ability or inability to read, speak, or write English well enough to understand and communicate effectively (whether because of language, cognitive, or physical limitations).

Molina Members are entitled to:

- Be provided with effective communications with medical Providers as established by the Americans with Disabilities Act of 1990, the Rehabilitation Act of 1973, and the Civil Rights Act of 1964.
- Be given access to Care Managers trained to work with individuals with cognitive impairments.
- Be notified by the medical Provider that interpreter services are available at no cost
- Decide, with the medical Provider, to use an interpreter and receive unbiased interpretation.
- Be assured of confidentiality, as follows:
  - Interpreters must adhere to Health and Human Service Commission (HHSC) policies and procedures regarding confidentiality of Member records.
  - Interpreters may, with Member written consent, share information from the Member's records only with appropriate medical professionals and agencies working on the Member's behalf.
  - Interpreters must ensure that this shared information is similarly safeguarded
- Have interpreters, if needed, during appointments with the Member's Providers and when talking to the health plan.

Interpreters include people who can speak the Member's native language, assist with a disability, or help the Member understand the information.

When Molina Members need an interpreter, limited hearing and/or limited reading services for health care services, the Provider should:

- Verify the Member's eligibility and medical benefits.
- Inform the Member that an interpreter, limited hearing, and/or limited reading services are available.
- Molina is available to assist Providers with locating these services if needed:
  - Providers needing assistance finding onsite interpreter services.
  - Providers needing assistance finding translation services.
  - Providers with Members who cannot hear or have limited hearing ability may use the National TTY/TDD Relay service at 711.
  - Providers with Members with limited vision may contact Molina for documents in large print, Braille, or audio version.

- Providers with Members with limited reading proficiency: The Molina Member Contact Center representative will verbally explain the information, up to and including reading the documentation to the Members or offer the documents in audio version.

## Documentation

As a contracted Molina Provider, your responsibilities for documenting Member language services/needs in the Member's medical record are as follows:

- Record the Member's language preference in a prominent location in the medical record. This information is provided to you on the electronic Member lists that are sent to you each month by Molina.
- Document all Member requests for interpreter services.
- Document who provided the interpreter service. This includes the name of Molina's internal staff or someone from a commercial interpreter service vendor. Information should include the interpreter's name, operator code, and vendor.
- Document all counseling and treatment done using interpreter services.
- Document if a Member insists on using a family member, friend, or minor as an interpreter or refuses the use of interpreter services after being notified of their right to have a qualified interpreter at no cost.

## Members who are deaf or hard of hearing

TTY/TDD connection is accessible by dialing 711. This connection provides access to the Molina Member and Provider Contact Center, Quality, Health Care Services and all other health plan functions.

Molina strongly recommends that Provider offices make assistive listening devices available for Members who are deaf or hard of hearing. These devices enhance the sound of the Provider's voice to facilitate better interaction with the Member.

Molina will provide face-to-face service delivery for ASL to support its Members who are deaf or hard of hearing. Requests should be made at least three (3) business days in advance of an appointment to ensure the availability of the service. In most cases, Members will have made this request via the Molina Member Contact Center.

## 24-hour Nurse Advice Line

Molina provides nurse advice services for Members 24 hours per day, 7 days per week. The 24-hour Nurse Advice Line provides access to 24-hour interpretive services. Members may call the Molina 24-hour Nurse Advice Line directly at (888) 275-8750 (English) or (866) 648-3537 (Spanish) or for assistance in other languages. The 24-hour Nurse Advice TTY/TDD is 711. The 24-hour Nurse Advice Line telephone numbers are also printed on Molina Member ID cards.

## Program and policy review guidelines

Molina conducts assessments at regular intervals of the following information to ensure its programs are most effectively meeting the needs of its Members and Providers:

- Annual collection and analysis of race, ethnicity, and language data from:
  - Eligible individuals to identify significant culturally and linguistically diverse populations within a plan’s membership.
  - Contracted Providers to assess gaps in network demographics.
- Revalidate data at least annually.
- Local geographic population demographics and trends derived from publicly available sources (Community Health Measures and State Rankings Report).
- Applicable national demographics and trends derived from publicly available sources.
- Assessment of Provider network.
- Collection of data and reporting for the Diversity of Membership HEDIS® measure.
- Annual determination of threshold languages and processes in place to provide Members with vital information in threshold languages.
- Identification of specific cultural and linguistic disparities found within the plan’s diverse populations.
- Analysis of HEDIS® and Qualified Health Plan Enrollee Experience survey results for potential cultural and linguistic disparities that prevent Members from obtaining the recommended key chronic and preventive services.

## 5. Member rights and responsibilities

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Providers must comply with Molina members' rights and responsibilities, as outlined in the Molina Member Handbook and on the Marketplace Molina website.

The Member Handbook that is provided to Members annually is hereby incorporated into this Provider Manual. The most current Member Handbook can be found on the Member pages of Molina's website at [MolinaMarketplace.com/MemberForms](https://MolinaMarketplace.com/MemberForms).

The most current Member Rights and Responsibilities can be found on the Member pages of Molina's website at [MolinaMarketplace.com/Members/Quality Service/rights](https://MolinaMarketplace.com/Members/QualityService/rights).

State and federal law requires that health care Providers and health care facilities recognize Member rights while the Members are receiving medical care, and that Members respect the health care Provider's or health care facility's right to expect certain behavior on the part of the Members.

For additional information, please contact the Molina Member Contact Center at (888) 858-3492, Monday through Friday, 7:30 a.m. to 6:30 p.m., Pacific Time, excluding state and federal holidays. TTY/TTD users, please call 711.

### Second opinions

A Member or their Provider may want another Provider to review a Member's condition which is called a second opinion. This Provider may review the Member's medical record, set an appointment and may suggest a plan of care. Molina only covers second opinions when furnished by a participating Provider. Members should call the Molina Member Contact Center to find out how to get a second opinion.

## 6. Eligibility and grace period

### Eligibility verification

#### Health Insurance Marketplace programs

Payment for services rendered is based on enrollment status and coverage selected. The contractual agreement between Providers and Molina places the responsibility for eligibility verification on the Provider of services.

#### Eligibility listing for Molina Marketplace programs

Providers who contract with Molina may verify a Member's eligibility for specific services and/or confirm PCP assignment by checking the following:

- [Availity](#) portal.
- Molina Provider Contact Center automated IVR system at (855) 322-4082.

Possession of a Molina Member ID Card does not mean a recipient is eligible for Marketplace services. A Provider should verify a recipient's eligibility each time the recipient presents to their office for services. The verification sources can be used to verify a recipient's enrollment in a Molina Marketplace plan.

### Identification cards

#### Molina sample Member ID card

|  |  |   |   |
|--|--|---|---|
|  <b>MOLINA HEALTHCARE</b> |  | <b>Marketplace</b>  |   |
| Subscriber:<br>Subscriber ID:<br>Plan:   | Member:<br>Member ID:<br>Effective Date:   | <b>Member Numbers</b><br>Member Services: (888) 858-3492<br>TTY/TTD: 711<br>24/7 Nurse Advice: (888) 275-8750<br>24/7 Línea de Consejos de Enfermeras:<br>(866) 648-3537<br><b>Billing and Payments:</b><br>(800) 525-4554<br>Cost Shares are a summary only.<br>Visit <a href="#">MyMolina.com</a> for plan details.<br><b>Notice: Covered Services must be received from Participating Providers. Refer to your Agreement for exceptions.</b><br><a href="#">MyMolina.com</a> | <b>Provider Numbers</b><br>CVS Caremark Help desk: (888) 407-6425<br>Prior Authorization/Notification of Hospital Admission: (855) 322-4082<br><b>Medical Claims:</b><br>Molina Healthcare<br>PO BOX 22612<br>Long Beach, CA 90801<br>Inpatient Admissions: Provider to notify plan within 24 hours of admission. |
| <b>Cost Share</b><br>PCP:<br>Specialist:<br>Urgent Care:<br>ER Visit:<br>Pref. Generic Rx<br>Pref. Brand Rx: | <b>Deductibles</b><br>Medical Indv Deductible:<br>RX Indv Deductible:<br>Annual Out of Pocket Maximum (OOPM)<br>Indv OOPM: | RxBIN:      RxPCN:      RxGRP:<br>HMO Molina Healthcare of Washington, Inc.   |    |



Members are reminded in their agreement to present ID cards when requesting medical or pharmacy services. The Molina Member ID card can be a physical ID card or a digital ID card. It is the Provider’s responsibility to ensure Molina Members are eligible for benefits and to verify PCP assignment, prior to rendering services. Unless an emergency medical condition exists, Providers may refuse service if the Member cannot produce the proper identification and eligibility cards.

## Grace period

### Definitions

**Advance Premium Tax Credit (APTC) and/or state subsidy Member:** A Member who receives advanced premium tax credits (premium subsidy) and/or state subsidy, which helps to offset the cost of monthly premiums for the Member.

**Non-APTC Member:** A Member who is not receiving any advanced premium tax credits or state subsidy and is therefore solely responsible for the payment of the full monthly premium amount.

**Member:** An individual, including any dependents, enrolled in Molina Marketplace. This term includes both APTC and/or state subsidy Members and Non-APTC Members.

**State subsidy:** Also known as the “State Premium Assistance Program” or “Cascade Care Savings.” The program was established by the 2021 Washington State Legislature in Engrossed Second Substitute Senate Bill 5377 to provide premium assistance to Washington residents who meet specified eligibility requirements and enroll in a Silver or Gold Cascade Care plan through the Exchange.

### Summary

The Affordable Care Act (ACA) mandates that all qualified health plans offering insurance through the Health Insurance Marketplace provide a grace period of three (3) consecutive months to APTC and/or state subsidy Members who fail to pay their monthly premium by the due date. Molina Marketplace also offers a grace period in accordance with state law to Non-APTC Members who fail to pay their monthly premium by the due date. To qualify for a grace

period, the Member must have paid at least one (1) full month's premium within the benefit year. The grace period begins on the first day of the first month for which the Member's premium has not been paid. The grace period is not a "rolling" period. Once the Member enters the grace period, they have until the end of that period to resolve the entire outstanding premium balance; partial payment will not extend the grace period.

## Grace period timing

### Non-APTC Members

Non-APTC Members are granted a 30-day grace period, during which they will not be able to access services covered under their benefit plan. If the *full past-due premium* is not paid by the end of the grace period, the Non-APTC Member will be terminated effective the last day of the month prior to the beginning of the grace period.

### **Grace period for subscribers with APTCs or state subsidy**

APTC and/or state subsidy Members are granted a three (3)-month grace period. During the first month of the grace period Claims and authorizations will continue to be processed, including pharmacy Claims. Services, authorization requests and Claims may be denied or have certain restrictions during the second and third months of the grace period. If the APTC and/or state subsidy Member's *full past-due premium* is not paid by the end of the third month of the grace period, the APTC and/or state subsidy Member will be retroactively terminated to the last day of the first month of the grace period.

## Eligibility messages

When a Member is in the grace period, Molina will include an eligibility message on the [Avality](#) portal, interactive voice response (IVR) and in the call centers. This message will provide information about the Member's grace period status, including which month of the grace period that the Member is in (first month vs. second or third) as well as information about how authorizations and Claims will be processed during this time. Providers should verify both the eligibility status AND any service messages when checking a Member's eligibility. For additional information about how authorizations and Claims will be processed during this time, please refer to the Member Evidence of Coverage, or contact the Molina Member Contact Center at (888) 858-3492.

## Notification

All Members will be notified upon entering the grace period. Additionally, when an APTC and/or state subsidy Member enters the grace period, their eligibility status becomes available on the [Avality](#) portal.

The online eligibility notification will inform Providers as follows:

- Members who receive APTC and have entered the first month of the grace period will not have any service restrictions. Therefore, the message that Providers will see upon checking the [Availity](#) portal will read as follows: No Enrollment Restrictions.
- Providers will be notified and can check that the APTC and/or state subsidy Member entered the second or third month of the grace period.
- All Providers, specifically Providers who submitted Claims for the APTC and/or state subsidy Member in the two (2) months prior to the start of the grace period, will be notified and able to check that the APTC and/or state subsidy Member entered the second or third months of the grace period.
- Providers will be notified and be able to check if the APTC and/or state subsidy Member is in the second or third months of the grace period before services are rendered and before submitting Claims.

The online eligibility notification will advise Providers that services rendered during the second and third months of the grace period may be denied if the premium is not paid in full prior to the expiration of the third month of the grace period.

### **Prior authorizations**

All authorization requests will be reviewed based on medical necessity and will expire after 90 days. If a request for a prior authorization is made, the Provider will receive the following disclaimer:

“Prior Authorization is a review of medical necessity and is not a guarantee of payment for services. Payment will be made in accordance with a determination of the member’s eligibility on the date of service (for Molina Marketplace members, this includes grace period status), benefit limitations/exclusions, and other applicable standards during the claim review, including the terms of any applicable provider agreement. If permitted under state law, Molina Healthcare will pend claims for services provided to Marketplace members in months 2 & 3 of the Federally required grace period until such time as all outstanding premiums due are received or the grace period expires, whichever occurs first. For additional information on a Marketplace member’s grace period status, please contact Molina Healthcare.”

### **APTC and/or state subsidy Members**

If the APTC and/or state subsidy Member pays the full premium payment prior to the expiration of the three (3)-month grace period, Providers may then seek authorization for services. If the APTC and/or state subsidy Member received services during the second or third month of the grace period without prior authorization, the Provider may request a retro-authorization for those services already rendered. All authorization requests will be reviewed based on medical necessity.

## Non-APTC Members

Authorization requests received during a Non-APTC Member's grace period will be processed according to medical necessity standards.

## Claim processing

### APTC and/or state subsidy Members

**First month of grace period:** Clean Claims received for services rendered during the first month of a grace period will be processed using Molina's standard processes in accordance with state and federal statutes and regulations and within established turnaround times.

**Second/Third Month of Grace Period:** Clean Claims received for services rendered during the second and third months of an APTC and/or state subsidy Member's grace period will be pending until the premium is paid in full. If the APTC and/or state subsidy Member is terminated for non-payment of the *full premium* prior to the end of the grace period, Molina will deny Claims for services rendered in the second and third months of the grace period.

Pharmacy Claims will be processed based on program drug utilization review and formulary edits; the APTC and/or state subsidy Member will be charged 100% of the discounted cost for prescriptions filled during the second and third months of the grace period.

### Non-APTC Members

Clean Claims received for services rendered during the grace period will be processed using Molina's standard processes, in accordance with state and federal statutes and regulations, and within established turnaround times.

## 7. Benefits and covered services

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Molina covers the services described in the Summary of Benefits and Coverage and Schedule of Benefits documentation for each Molina Marketplace plan type. If there are questions as to whether a service is covered or requires prior authorization, please reference the prior authorization tools location on the [MolinaMarketplace.com](https://MolinaMarketplace.com) website and the [Availity](#) portal. You may also contact the Molina Provider Contact Center at (855) 322-4082, Monday through Friday, 7:30 a.m. to 6:30 p.m., Pacific Time, excluding state and federal holidays.

### Verification of benefits

Detailed information about benefits and services can be found in the Schedule of Benefits made available to Molina Marketplace Members via the Molina Member Portal. Providers can access Schedule of Benefits documents via the [Availity](#) portal.

### Member cost sharing

Molina requires Members to pay cost-sharing for certain covered services under their Agreement. Members should review their Summary of Benefits and Coverage for all applicable cost-sharing for covered services. For certain covered services, such as laboratory and X-rays, that are provided on the same date of service and in the same location as an office visit to a PCP or a Specialist, Members will only be responsible for the applicable cost-sharing amount for the office visit. Cost sharing will not be more than the actual charge for the service, drug or supply.

Members receiving covered inpatient hospital or skilled nursing facility services on the effective date of their Agreement pay the cost-sharing in effect for their Agreement upon the effective date of coverage with Molina. For items ordered in advance, Members pay the cost-sharing in effect for their Agreement upon the effective date for covered services only. For outpatient prescription drugs, the order date is the date the participating Provider pharmacy processes the order after receiving all the information they need to fill the prescription.

### Formulary exception request process

A request for a formulary exception must be submitted using the same forms and procedures as submitting a prescription drug prior authorization request. The information submitted should show the Member factors and medical reasons why formulary drugs are not right for them. A copy of the Drug Formulary and Guide is found in the Provider Drug List section of [MolinaMarketplace.com](https://MolinaMarketplace.com).

Formulary exceptions can be designated as urgent to expedite the review process and timeframe:

- “Urgent care review request” means any request for approval of care or treatment where the passage of time could seriously jeopardize the life or health of the patient, seriously

jeopardize the patient's ability to regain maximum function or, in the opinion of the Provider with knowledge of the patient's medical condition, would subject the patient to severe pain that cannot be adequately managed without the care or is undergoing a current course of treatment using the requested drug. Drug samples will not be considered as current treatment.

- "Standard Exception Request" – are for circumstances that do not meet the definition of urgent.

The Member and/or Member's representative and the prescribing Provider will be notified of Molina's decision no later than:

- 24 hours following receipt of request for Urgent Care Review Request.
- 72 hours following receipt of request for Standard Exception Request.

If the initial request is denied, an external review may be requested. The Member and/or Member's representative and the prescribing Provider will be notified of the external review decision no later than:

- 24 hours following receipt of the request for external review of the Urgent Care Review Request.
- 72 hours following receipt of the request for external review of the Standard Exception Request.

## **Injectable and infusion services**

Many self-administered and office-administered injectable products require prior authorization. In some cases, they will be made available through a vendor designated by Molina. The Pharmacy section of this Provider Manual provides more information about our prior authorization process, including a link to the prior authorization request form.

Family planning services related to the injection or insertion of a contraceptive drug or device are covered.

## **Access to behavioral health services**

Behavioral health services are a direct access benefit and are available with no referral required to an in-network provider. Healthcare professionals may assist Members in finding a behavioral health Provider, or Members may contact Molina's Member Contact Center at (888) 858-3492. Molina's 24-hour Nurse Advice Line is available 24 hours a day, 7 days a week, 365 days per year for mental health or substance abuse needs. The services Members receive will be confidential.

The benefit information linked above or by contacting Molina provides additional information regarding covered services and any limitations. If inpatient services are needed, prior authorization must be obtained unless the admission is due to an emergency situation, and inpatient Member cost sharing will apply.

## Emergency mental health or substance use disorder services

Members are directed to call 988, 911, or go to the nearest emergency room if they are in need of emergency mental health or substance use services. Examples of emergency mental health or substance use problems are:

- Danger to self or others.
- Not being able to carry out daily activities.
- Things that will likely cause death or serious bodily harm.

## Emergency services when out of the service area

Members having a health emergency who cannot get to a Molina approved Provider are directed to do the following:

- Go to the nearest hospital or facility.
- Call the number on their Molina Member ID card.
- Call Member's PCP and follow-up within 24 to 48 hours

For out-of-area emergency care, out-of-network Providers are directed to call the Molina contact number on the back of the Molina Member ID card for additional benefit information and may be asked to transfer the Member to an in-network facility when the Member is stable.

## Emergency transportation

When a Member's condition is life-threatening and requires the use of special equipment, life support systems, and close monitoring by trained attendants while en route to the nearest appropriate facility, emergency transportation is required. Emergency transportation includes but is not limited to, ambulance, air, or boat transports.

For emergency ground and air ambulance, for both in-network and out-of-network Providers, the Member is responsible only for the plan's in-network cost-sharing amount and Providers are prohibited by state and federal law from balance billing the Member.

## Telehealth and telemedicine services

Molina Members may obtain physical and behavioral health covered services by participating Providers, using telehealth and telemedicine services. Not all participating Providers offer these services. The following additional provisions apply to the use of telehealth and telemedicine services:

- Services must be obtained from a participating Provider.
- Members have the option of receiving telehealth services from participating Providers, who offer and can provide these services with associated plan cost sharing.
- Services are a method of accessing covered services and not a separate benefit.

- Services are not permitted when the Member and participating Provider are in the same physical location.
- Member cost sharing may apply based on the applicable Schedule of Benefits.
- Services must be coded in accordance with applicable reimbursement policies and billing guidelines.
- Rendering Provider must comply with applicable federal and state guidelines for telehealth service delivery.

For information on Telehealth and Telemedicine Services Claims and billing, please refer to the **Claims and Compensation** section of this Provider Manual.

## Preventive care

Preventive Care Guidelines are located on the Molina website at [MolinaHealthcare.com/providers/common/marketplace/resource/Pages/hlthguide.aspx](https://MolinaHealthcare.com/providers/common/marketplace/resource/Pages/hlthguide.aspx).

## 24-hour Nurse Advice Line

Members may call the 24-hour Nurse Advice Line anytime they are experiencing symptoms or need health care information. Registered nurses are available 24 hours a day, 7 days a week.

Molina is committed to helping our Members:

- Prudently use the services of your office.
- Understand how to handle routine health problems at home.
- Avoid making non-emergent visits to the emergency room.

These registered nurses do not diagnose. They assess symptoms and guide the patient to the most appropriate level of care following specially designed algorithms unique to the 24-hour Nurse Advice Line. The 24-hour Nurse Advice Line may refer the Member back to the PCP, a specialist, 911, or the emergency room. By educating patients, it reduces costs and over-utilization of the health care system.

## 8. Health care services

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### Introduction

Health Care Services (HCS) is comprised of utilization management (UM) and care management (CM) departments that work together to achieve an integrated model based upon empirically validated best practices that have demonstrated positive results. Research and experience show that a higher-touch, Member-centric care environment for at-risk Members supports better health outcomes. Molina provides care management services to Members to address a broad spectrum of needs, including chronic conditions that require the coordination and provision of health care services. Elements of the Molina utilization management program include pre-service authorization review, inpatient authorization management that includes admission and concurrent medical necessity review, and restrictions on the use of out-of-network or non-participating Providers.

### Utilization management (UM)

Molina ensures the service delivered is medically necessary and demonstrates an appropriate use of resources based on the level of care needed for a Member. This program promotes the provision of quality, cost-effective, and medically appropriate services that are offered across a continuum of care as well as integrating a range of services appropriate to meet individual needs. Molina's UM program maintains flexibility to adapt to changes in the Member's condition and is designed to influence a Member's care by:

- Managing available benefits effectively and efficiently while ensuring quality care.
- Evaluating the medical necessity and efficiency of health care services across the continuum of care.
- Defining the review criteria, information sources, and processes that are used to review and approve the provision of items and services, including prescription drugs.
- Coordinating, directing, and monitoring the quality and cost-effectiveness of health care resource utilization.
- Implementing comprehensive processes to monitor and control the utilization of health care resources.
- Ensuring services are available in a timely manner, in appropriate settings, and are planned, individualized, and measured for effectiveness.
- Review processes to ensure care is safe and accessible.
- Ensuring qualified health care professionals perform all components of the UM processes.
- Ensuring UM decision-making tools are appropriately applied in determining medical necessity decisions.

## Key functions of the UM program

All prior authorizations are based on a specific standardized list of services. The key functions of the UM program are listed below:

- **Eligibility and oversight**
  - Eligibility verification
  - Benefit administration and interpretation
  - Verification that authorized care correlates to Member's medical necessity need(s) and benefit plan
  - Verifying of current Physician/hospital contract status
- **Resource management**
  - Prior authorization and referral management
  - Admission and inpatient review
  - Referrals for discharge planning and care transitions
  - Staff education on consistent application of UM functions
- **Quality management**
  - Evaluate satisfaction of the UM program using Member and Provider Input
  - Utilization data analysis
  - Monitor for possible over- or under-utilization of clinical resources
  - Quality oversight
  - Monitor for adherence to Center for Medicare & Medicaid (CMS), NCQA, state and health plan UM standards

For more information about Molina's UM program or to obtain a copy of the HCS program description, clinical criteria used for decision-making, and how to contact a UM reviewer, access the Molina website or contact the UM department.

Medical groups/IPAs and delegated entities that assume responsibility for UM must adhere to Molina's UM Policies. Molina reviews their programs, policies, and supporting documentation at least once annually.

## UM decisions

An organizational determination is any decision made by Molina or the delegated medical group/IPA or other delegated entity with respect to the following:

- Determination to authorize, provide, or pay for services (favorable determination).
- Determination to delay, modify, or deny authorization or payment of request (adverse determination).

Molina follows a hierarchy of medical necessity decision-making, with federal and state regulations taking precedence. Molina covers all services and items required by state and federal regulations.

Board-certified licensed reviewers from appropriate specialty areas are utilized to assist in making determinations of medical necessity, as appropriate. All utilization determinations are made in a timely manner to accommodate the clinical urgency of the situation, in accordance with federal and state regulatory requirements and NCQA standards.

Requests for authorization not meeting medical necessity criteria are reviewed by a designated Molina Medical Director or other appropriate clinical professional. Only a licensed physician or pharmacist, doctoral-level clinical psychologist, or certified addiction medicine specialist, as appropriate, may determine to delay, modify, or deny authorization of services to a Member.

Providers can obtain Molina's UM criteria by contacting its HCS department at (800) 869-7175.

Where applicable, Molina clinical policies can be found on the public website at [MolinaClinicalPolicy.com](https://www.molinahealthcare.com/clinical-policy). Please note that Molina follows state-specific criteria, if available, before applying Molina-specific criteria.

## Medical necessity

**“Medically Necessary”** or **“Medical Necessity”** means health care services that a physician, exercising prudent clinical judgment, would provide to a patient.

This is for the purpose of preventing, evaluating, diagnosing, or treating an illness, injury, disease, or its symptoms. Molina must deem those services to be:

- In accordance with generally accepted standards of medical practice.
- Clinically appropriate and clinically significant in terms of type, frequency, extent, site, and duration. They are considered effective for the patient's illness, injury, or disease.
- Not primarily for the convenience of the patient, physician, or other health care Provider. The services must not be more costly than an alternative service or sequence of services and at least as likely to produce equivalent therapeutic or diagnostic results as to the diagnosis or treatment of that patient's illness, injury, or disease.

For these purposes, “generally accepted standards of medical practice” means standards based on credible scientific evidence published in peer-reviewed medical literature. This literature is generally recognized by the relevant medical community, physician specialty society recommendations, the views of physicians practicing in relevant clinical areas, and any other relevant factors.

The fact that a Provider has prescribed, recommended, or approved medical or allied goods or services does not, by itself, make such care, goods, or services medically necessary, a medical necessity, or a covered service/benefit.

## MCG Cite for Guideline Transparency and MCG Cite AutoAuth

Molina has partnered with MCG Health to implement Cite for Guideline Transparency. Providers can access this feature through the [Availity](#) portal. With MCG Cite for Guideline

Transparency, Molina can share clinical indications with Providers. The tool operates as a secure extension of Molina’s existing MCG investment and helps meet regulations around transparency for the delivery of care:

- Transparency—Delivers medical determination transparency.
- Access—Clinical evidence that payers use to support member care decisions.
- Security—Ensures easy and flexible access via secure web access.

MCG Cite for Guideline Transparency does not affect the process for notifying Molina of admissions or for seeking Prior Authorization approval. To learn more about MCG or Cite for Guideline Transparency, visit [MCG's website](#) or call (888) 464-4746.

Molina has also partnered with MCG Health, to extend our Cite AutoAuth self-service method for all lines of business to submit advanced imaging prior authorization requests.

Cite AutoAuth can be accessed via the [Availity](#) portal and is available 24 hours per day/7 days per week. This method of submission is strongly encouraged as your primary submission route, existing fax/phone/email processes will also be available. Clinical information submitted with the prior authorization will be reviewed by Molina. This system will provide quicker and more efficient processing of your authorization request, and the status of the authorization will be available immediately upon completion of your submission.

### **What is Cite AutoAuth and how does it work?**

By attaching the relevant care guideline content to each prior authorization request and sending it directly to Molina, health care providers receive an expedited, often immediate, response. Through a customized rules engine, Cite AutoAuth compares Molina’s specific criteria to the clinical information and attached guideline content to the procedure to determine the potential for auto authorization.

The Cite AutoAuth tool offers self-services for MRIs, CTs, and PET scans. For a full list of imaging codes that require prior authorization, refer to the Prior Authorization Code LookUp Tool at [MolinaMarketplace.com](#).

### **Medical necessity review**

Molina only reimburses for medically necessary services. Medical necessity review may take place prospectively, as part of the inpatient admission notification/concurrent review, or retrospectively. To determine medical necessity, in conjunction with independent professional medical judgment, Molina uses nationally recognized evidence-based guidelines, third-party guidelines, CMS guidelines, state guidelines, Molina clinical policies, guidelines from recognized professional societies, and advice from authoritative review articles and textbooks.

## Levels of administrative and clinical review

The Molina review process begins with administrative review followed by clinical review if appropriate. Administrative review includes verifying eligibility, appropriate vendor or Participating Provider, and benefit coverage. The Clinical review includes medical necessity and level of care.

All UM requests that may lead to a medical necessity adverse determination are reviewed by a health care professional at Molina (medical director, pharmacy director, or appropriately licensed health care professional).

Molina's Provider training includes information on the UM processes and authorization requirements.

## Clinical information

Molina requires copies of clinical information be submitted for documentation. Clinical information includes but is not limited to physician emergency department notes, inpatient history/physical exams, discharge summaries, physician progress notes, physician office notes, physician orders, nursing notes, results of laboratory or imaging studies, therapy evaluations, and therapist notes. Molina does not accept clinical summaries, telephone summaries, or inpatient case manager criteria reviews as meeting the clinical information requirements unless state or federal regulations allow such documentation to be acceptable.

## Prior authorization

Molina requires prior authorization for specified services as long as the requirement complies with federal or state regulations and the Provider Agreement with Molina. The list of services that require prior authorization is available in narrative form, along with a more detailed list by Current Procedural Terminology (CPT®) and Healthcare Common Procedure Coding System (HCPCS) codes. To see the full list of codes that require prior authorization, please refer to the Prior Authorization LookUp Tool at [MolinaMarketplace.com](https://MolinaMarketplace.com). Molina prior authorization documents are customarily updated quarterly but may be updated more frequently as appropriate and are posted on the Molina website at [MolinaMarketplace.com](https://MolinaMarketplace.com).

*CPT® is a registered trademark of the American Medical Association.*

Providers are encouraged to use the Molina prior authorization form provided on the Molina website. If using a different form, the prior authorization request must include the following information:

- Member demographic information (name, date of birth, Molina Member ID number).
- Provider demographic information (referring Provider and referred to Provider/facility, including address and NPI number).
- Member diagnosis and International Classification of Diseases 10th Revision (ICD-10) codes.

- Requested service/procedure, including all appropriate CPT and HCPCS codes.
- Location where service will be performed.
- Clinical information sufficient to document the medical necessity of the requested service is required including:
  - Pertinent medical history (including treatment, diagnostic tests, examination data).
  - Requested length of stay (for inpatient requests).
  - Rationale for expedited processing.

Services performed without authorization may not be eligible for payment. Services provided emergently (as defined by federal and state law) are excluded from the prior authorization requirements. Obtaining authorization does not guarantee payment. Molina retains the right to review benefit limitations and exclusions, beneficiary eligibility on the date of service, correct coding, billing practices, and whether the service was provided in the most appropriate and cost-effective setting of care. Molina does not retroactively authorize services that require prior authorization.

Molina follows all prior authorization requirements related to care for newborns and their mothers in alignment with the Newborns' and Mothers' Health Protection Act (NMHPA).

Molina makes UM decisions in a timely manner to accommodate the urgency of the situation as determined by the Member's clinical situation. The definition of expedited/urgent is when the standard time frame or decision-making process could seriously jeopardize the life or health of the Member, the health or safety of the Member or others, due to the Member's psychological state, or in the opinion of the Provider with knowledge of the Member's medical or behavioral health condition, would subject the Member to adverse health consequences without the care or treatment that is subject of the request or could jeopardize the Member's ability to regain maximum function. Supporting documentation is required to justify the expedited request.

Molina will make an organizational determination as promptly as the Member's health requires and no later than contractual and regulatory requirements. Expedited timeframes are followed when the Provider indicates, or if we determine that a standard authorization decision timeframe could jeopardize a Member's life or health.

Providers who request prior authorization for services and/or procedures may request to review the criteria used to make the final decision. A Molina Medical Director is available to discuss medical necessity decisions with the requesting Provider at (855) 322-4082 during business hours.

Upon approval, the requestor will receive an authorization number. The number may be provided by telephone or fax, or via the [Availity](#) portal. If a request is denied, the requestor and the Member will receive a letter explaining the reason for the denial and additional information regarding the grievance and appeals process. Denials also are communicated to the Provider

## Evolut (formerly known as New Century Health)

Molina collaborates with Evolut to conduct a medical necessity review on certain prior authorizations. Participating Providers are to submit prior authorization requests for cardiovascular professional services' review and decisions for Molina Members ages 18 and over to Evolut. Molina will review all out-of-network Provider prior authorization requests and prior authorization requests for Members under the age of 18.

Evolut conducts reviews for the following oncology-related professional services:

- Infused and injectable chemotherapeutic agents
- Supportive/symptom management medications
  - Radiation treatments
  - Cardiology

Please consult the posted prior authorization code list for further guidance on where to submit cardiovascular professional services prior authorization requests.

For inpatient service requests, the inpatient status will be approved simultaneously with the approval of the cardiovascular professional service(s) being reviewed. The inpatient admission length of stay will be determined by Inpatient utilization management (concurrent review) at the time of any needed hospitalization. Providers are to follow Molina's inpatient notification process as you do today, and the continued stay will be reviewed for medical necessity, and a decision will be made at that time. If other services are being performed during the inpatient stay that are unrelated to the cardiac procedures, a separate authorization will need to be completed through Molina's standard prior authorization process for medical necessity determination.

The requesting in-network Provider must complete a prior authorization request using one of the following methods:

- For Providers' convenience, logging into the Evolut Provider Web Portal is the preferred submission method: [my.newcenturyhealth.com](http://my.newcenturyhealth.com)
- Evolut's Provider Web Portal functionality offers instant approvals or denials for prior authorization requests
- Calling (888) 999-7713:
  - Medical Oncology – Option 2
  - Radiation Oncology – Option 3
  - Cardiology – Option 1

Providers that cannot use the provider portal may submit prior authorization requests and supporting clinical documentation using the eFax or email addresses below.

| Specialty          | eFax #         | eFax Email Address                  |
|--------------------|----------------|-------------------------------------|
| Cardiology         | (877) 370-0963 | efax-carepro-mol-cardio@evolent.com |
| Radiation Oncology | (877) 380-7848 | efax-carepro-mol-radonc@evolent.com |
| Medical Oncology   | (877) 230-4493 | efax-carepro-mol-medonc@evolent.com |

Providers should call the Evolent Network Operations department at (888) 999-7713 with questions or for assistance with access/training on the Evolent Provider Web Portal. You may also email your questions to [providertraining@newcenturyhealth.com](mailto:providertraining@newcenturyhealth.com).

Molina allows a 14-day retro period from the date of service to request additional services that may not have been in the initial request. Due to Evolent not reviewing retro services, if you need to add additional services within 14 days of the date of service, please submit a retro request via fax to Molina at (800) 767-7188.

### Peer-to-peer review

In the case of an adverse determination, the requesting Provider has the option to submit a reconsideration request or schedule a peer-to-peer discussion with a medical director within the time frames listed below to avoid the appeals process. Pursuing these options is appropriate when additional information or context is not provided in the clinical information that may result in approval.

For the peer-to-peer discussion, a “peer” is considered the Member’s or Provider’s clinical representative (licensed medical professional). Contracted external parties, administrators, or facility UM staff can only request that a peer-to-peer telephone communication be arranged and performed, but the discussion should be performed by a peer.

When requesting a peer-to-peer discussion, please be prepared with the following information:

- Member name and Molina Member ID number
- Auth ID number
- Requesting Provider Name and contact number and best times to call

### Peer-to-peer

- May be requested within five (5) **business days** from adverse benefit determination (denial) notification (written or fax notification).
- May not be requested if a formal appeal has been filed.
- May not be requested when no clinical information was submitted, and the denial was based on lack of information. In this case, please follow the reconsideration pathway.

Submitting clinical information with a reconsideration request may result in approval or a revised denial that would come with new peer-to-peer and reconsideration timeframes;

- Time period to request a **Peer-to-Peer: (five) 5 business days**.

### Reconsideration by the utilization management department

- May be requested within 5 **calendar days** from adverse benefit determination (denial) notification (written or fax notification).
- May be requested if new clinical information is available that was not previously submitted at the time of the initial denial determination.
- May be requested if no clinical information was submitted and the denial was based on lack of information.
- May be requested following discharge from an inpatient level of care.
- Reconsideration cannot be requested following a peer-to-peer discussion. In this case, please follow the appeal pathway for further dispute rights.
- Time period to request a reconsideration: **5 calendar days**.

### Scheduling a peer-to-peer

Please call (425) 398-2603 to request and schedule a peer-to-peer discussion or if you have questions regarding the peer-to-peer or reconsideration process.

Peer-to-peer discussions will be scheduled Monday through Friday from 9 a.m. to 4 p.m., PST, excluding state and federal holidays. For advance imaging (AI) authorizations, please call (855) 714-2415 (enter 92 for WA). A Molina Medical Director will call you at your scheduled date and time, at the direct number provided.

### Requesting prior authorization

Notwithstanding any provision in the Provider Agreement with Molina that requires Providers to obtain prior authorization directly from Molina, Molina may choose to contract with external vendors to help manage prior authorization requests.

For additional information regarding the prior authorization of specialized clinical services, please refer to the Prior Authorization tools located on the [MolinaMarketplace.com](https://MolinaMarketplace.com) website:

- Prior Authorization Code Look-up Tool
- Prior Authorization Code Matrix

**Availity portal:** Participating Providers are encouraged to use the [Availity](#) portal for prior authorization submissions whenever possible. Instructions for how to submit a prior authorization request are available on the [Availity](#) portal. The benefits of submitting your prior authorization request through the [Availity](#) portal are:

- Create and submit prior authorization requests
- Check the status of prior authorization requests.

- Receive notification of changes in the status of prior authorization requests.
- Attach medical documentation required for timely medical review and decision-making.

**Fax:** The Prior Authorization Request Form can be faxed to Molina at (800) 767-7188. For behavioral health, forms can be faxed to Molina at (509) 530-2828.

## Open communication about treatment

Molina prohibits contracted Providers from limiting Provider or Member communication regarding a Member's health care. Providers may freely communicate with and advocate for their patients. Molina requires provisions within Provider contracts that prohibit the solicitation of Members for alternative coverage arrangements for the primary purpose of securing financial gain. No communication regarding treatment options may be represented or construed to expand or revise the scope of benefits under a health plan or insurance contract.

Molina and its contracted Providers may not enter contracts that interfere with any ethical responsibility or legal right of Providers to discuss information with a Member about the Member's health care. This includes, but is not limited to, treatment options, alternative plans or other coverage arrangements.

## Delegated utilization management functions

Molina may delegate UM functions to qualifying medical groups/IPAs and delegated entities. They must have the ability to perform the delegated activities and maintain specific delegation criteria in compliance with all current Molina policies and regulatory and certification requirements. For additional information about delegated UM functions and the oversight of such delegation, please refer to the **Delegation** section of this Provider Manual.

## Communication and availability to Members and providers

HCS staff is available for inbound and outbound calls through an automatic rotating call system triaged by designated staff by calling (855) 322-4082, Monday through Friday (except for state and federal holidays) from 7:30 a.m. to 6:30 p.m., PST. All staff members identify themselves by providing their first name, job title, and organization.

TTY/TDD services are available for Members who are deaf, hard of hearing, or speech impaired. Language assistance is also always available for Members.

After business hours, Providers can also utilize fax and the [Availity](#) portal for UM access.

Molina's 24-hour Nurse Advice Line is available to Members and Providers 24 hours a day, 7 days a week, at (888) 275-8750. The Line may handle urgent and emergent after-hours UM calls.

## Emergency services

Emergency services mean a medical screening examination that is within the capability of a hospital's emergency department, including ancillary services routinely available to the emergency department to evaluate that emergency medical condition and further medical examination and treatment to the extent they are within the capabilities of the hospital's staff and facilities.

Emergency medical condition or emergency means the sudden onset of what reasonably appears to be a medical condition that manifests itself by symptoms of sufficient severity, including severe pain, which in the absence of immediate medical attention could reasonably be expected by a reasonable layperson, to result in jeopardy to the person's health, serious impairment of bodily functions, serious dysfunction of any bodily organ or part, or disfigurement to the person; in the case of a pregnant woman, serious jeopardy to the health of the fetus.

A medical screening exam performed by licensed medical personnel in the emergency department and subsequent emergency services rendered to the Member does not require prior authorization from Molina.

All members experiencing an emergency medical condition are covered by emergency services 24 hours a day without the need for prior authorization.

Post-stabilization care services are covered services that are:

1. Related to an Emergency Medical Condition.
2. Provided after the Member is stabilized; and
3. Provided to maintain the stabilized condition or, under certain circumstances, to improve or resolve the Member's condition.

Providers requesting an in-patient admission as a post-stabilization service must request this type of service at (855) 322-4082.

Molina also provides Members with a 24-hour Nurse Advice Line for medical advice. The 911 information is given to all Members at the onset of any call to the plan.

For Members within our service area, Molina contracts with vendors that provide 24-hour emergency services for ambulances and hospitals. An out-of-network emergency hospital stay may only be covered until the Member has stabilized sufficiently to transfer to an available participating facility. Services provided after stabilization in a non-participating facility are not covered and the Member may be responsible for payment. Member payments to the non-participating facility will not apply to the Member's deductible or annual out-of-pocket maximum.

Care Managers will contact Members identified as high utilizers of emergency services and provide outreach, assessment of utilization of emergency services, and education on appropriate care and services to meet medical needs.

## **Inpatient management**

### **Planned admissions**

Molina requires prior authorization for all elective inpatient procedures at any facility. Facilities are required to notify Molina within 24 hours or by the following business day once admission has occurred for concurrent review. Elective inpatient admission services performed without prior authorization may not be eligible for payment.

### **Emergent inpatient admissions**

Molina requires notification of all emergent inpatient admissions within 24 hours of admission or by the following business day. Notification of admission is required to verify eligibility, authorize care, including level of care (LOC), and initiate concurrent review and discharge planning. Molina requires that notification includes Member demographic information, facility information, date of admission, and clinical information sufficient for services performed without meeting admission notification, medical necessity requirements or failure to include all of the needed clinical documentation to support the inpatient admission may result in a denial of authorization for the inpatient stay.

### **Inpatient at the time of termination of coverage**

If coverage with Molina terminates during a hospital stay, the services received after the Member's termination date are not covered services.

### **Inpatient/concurrent review**

Molina performs concurrent inpatient reviews to ensure the medical necessity of ongoing inpatient services, adequate progress of treatment, and development of appropriate discharge plans. Performing these functions requires timely clinical information updates from inpatient facilities. Molina will request updated clinical records from inpatient facilities at regular intervals during a member's inpatient stay. Molina requires that requested clinical information updates be received by Molina from the inpatient facility within 24 hours of the request. Failure to provide timely clinical information updates may result in a denial of authorization for the remainder of the inpatient stay dependent on the Provider contract terms and agreements.

Molina will authorize hospital care as an inpatient, when the clinical record supports the medical necessity for the need for continued hospital stay. It is the expectation that observation has been tried in those patients that require a period of treatment or assessment, pending a decision regarding the need for additional care, and the observation level of care has failed. Upon discharge, the Provider must provide Molina with a copy of the Member's

discharge summary including demographic information, date of discharge, discharge plan, and instructions and disposition.

### Inpatient status determinations

Molina's UM staff follow federal and state guidelines along with evidence-based criteria to determine if the collected clinical information for requested services is "reasonable and necessary for the diagnosis or treatment of an illness or injury, or to improve the functioning of malformed body member" by meeting all coverage, coding, and medical necessity requirements (refer to the Medical Necessity Review subsection of this chapter).

### Discharge planning

The goal of discharge planning is to initiate cost-effective, quality-driven treatment interventions for post-hospital care as soon as possible after admission.

UM staff work closely with hospital discharge planners to determine the most appropriate discharge setting for our members. The clinical staff reviews medical necessity and appropriateness for home health, infusion therapy, durable medical equipment (DME), skilled nursing facility, and rehabilitative services.

### Readmissions

Readmission review is an important part of Molina's Quality Improvement (QI) Program to ensure that Molina Members are receiving hospital care that is compliant with nationally recognized guidelines as well as federal and state regulations.

Molina will conduct readmission reviews when both admissions occur at the same acute inpatient facility within the state regulatory requirement dates.

When a subsequent admission to the same facility with the same or similar diagnosis occurs within 24 hours of discharge, the hospital will be informed that the readmission will be combined with the initial admission and will be processed as a continued stay.

When a subsequent admission to the same facility occurs within 30 days of discharge, and it is determined that the subsequent admission is related to the first admission and determined to be preventable, then a single payment may be considered as payment in full for both the first and second hospital admissions.

- A Readmission is considered potentially preventable if it is clinically related to the prior admission and includes the following circumstances:
  - Premature or inadequate discharge from the same hospital.
  - Issues with transition or coordination of care from the initial admission.
  - For an acute medical complication plausibly related to care that occurred during the initial admission.

- Readmissions that are excluded from consideration as preventable readmissions include:
  - Planned readmissions associated with major or metastatic malignancies, multiple traumas, and burns.
  - Neonatal and obstetrical readmissions.
  - Initial admissions with a discharge status of “left against medical advice” because the intended care was not completed.
  - Behavioral health readmissions.
  - Transplant-related readmissions.

### **Post-service review**

Failure to obtain authorization when required may result in denial of payment for those services. The only possible exception for payment because of post-service review is if information is received indicating the Provider did not know nor reasonably could have known that the patient was a Molina Member or there was a Molina error. In those cases, a medical necessity review will be performed. Decisions, in this circumstance, will be based on medical necessity.

Specific federal or state requirements or Provider contracts that prohibit administrative denials supersede this policy.

### **Affirmative statement about incentives**

All medical decisions are coordinated and rendered by qualified Practitioners and licensed staff unhindered by fiscal or administrative concerns. Molina and its delegated contractors do not use incentive arrangements to reward the restriction of medical care to Members.

Molina requires that all utilization-related decisions regarding Member coverage and/or services are based solely on the appropriateness of care and the existence of coverage. Molina does not specifically reward Practitioners or other individuals for issuing denials of coverage or care. Molina does not receive financial incentives or other types of compensation to encourage decisions that result in underutilization.

### **Out-of-network providers and services**

Molina maintains a contracted network of qualified health care professionals who have undergone a comprehensive credentialing process to provide medical care to Molina Members. Molina requires Members to receive medical care within the participating, contracted network of Providers unless it is for emergency services as defined by federal law. If there is a need to go to a non-contracted Provider, all care provided by non-contracted, non-network Providers must be prior authorized by Molina. Non-network Providers may provide emergency services for a Member who is temporarily outside the service area, without prior authorization or as otherwise required by federal or state laws or regulations.

Except for emergency services and out-of-area urgent care services, Marketplace Members must receive covered services from participating Providers; otherwise, the services are not covered. Marketplace Members will be 100% responsible for payment, and the payments will not apply towards deductibles or annual out-of-pocket maximums.

### **Avoiding conflict of interest**

The HCS department affirms its decision-making is based on the appropriateness of care and service and the existence of benefit coverage.

Molina does not reward Providers or other individuals for issuing coverage or care denials. Furthermore, Molina never provides financial incentives to encourage authorization decision makers to make determinations that result in underutilization. Molina also requires our delegated medical groups/IPAs to avoid this kind of conflict of interest.

### **Coordination of care and services**

Molina HCS staff work with Providers to assist with coordinating referrals, services, and benefits for Members who have been identified for Molina's Integrated Care Management (ICM) program via assessment or referral, such as self-referral, caregiver, or Provider referrals. In addition, the coordination of care process assists Molina Members, as necessary, in transitioning to other care when benefits end.

Molina staff provide an integrated approach to care needs by assisting Members with the identification of resources available to the Member, such as community programs, national support groups, appropriate specialists and facilities, and identifying best practices or new and innovative approaches to care. Care coordination by Molina staff is done in partnership with Providers, Members and/or their authorized representative(s) to ensure efforts are efficient and non-duplicative.

### **Continuity of care and transition of Members**

Molina's policy is to provide Members with advance notice when a Provider they are seeing will no longer be in-network. Members and Providers are encouraged to use this time to transition care to an in-network Provider. The Provider leaving the network shall provide all appropriate information related to the course of treatment, medical treatment, etc., to the Provider(s) assuming care. Under certain circumstances, Members may be able to continue treatment with the out-of-network Provider that has terminated their contractual agreement for a given period if the following conditions exist at the time of termination.

- Acute condition or serious chronic condition—Following termination, the terminated Provider will continue to provide covered services to the Member for up to 90 days or longer, if necessary, for a safe transfer to another Provider as determined by Molina or its delegated medical group/IPA.

- High risk of second or third-trimester pregnancy – The terminated Provider will continue to provide services following termination until postpartum services related to delivery are completed or longer, if necessary, for a safe transfer.

For additional information regarding continuity of care and Member transitions, please call Molina at (800) 869-7175.

### **Continuity and coordination of provider communication**

Molina stresses the importance of timely communication between Providers involved in a Member's care. This is especially critical between specialists, including behavioral health Providers and the Member's PCP. Information should be shared in such a manner as to facilitate communication of urgent needs or significant findings.

### **Reporting of suspected abuse and/or neglect**

A vulnerable adult is a person who is receiving or may need receiving community care services by reason of mental or other disability, age, or illness; and who is, or may be unable to, take care of themselves, or unable to protect themselves against significant harm or exploitation. When working with children, one may encounter situations suggesting abuse, neglect, and/or unsafe living environments.

Every person who knows or has reasonable suspicion that a child or adult is being abused or neglected must report the matter immediately. Specific professionals mentioned under the law as mandated reporters are:

- Physicians, dentists, interns, residents or nurses
- Public or private school employees or childcare givers.
- Psychologists, social workers, family protection workers, or family protection specialists
- Attorneys, ministers, or law enforcement officers.

Suspected abuse and/or neglect should be reported as follows:

#### **Child abuse**

Washington State's toll-free, 24 hours per day, 7 days per week hotline will connect you directly to the appropriate local office to report suspected child abuse or neglect.

Hotline – call 1-866-ENDHARM (1-866-363-4276)

TTY/TDD Callers – call 1-800-624-6186 to place a direct TTY/TDD call.

#### **Adult abuse:**

Office of the Attorney General's Vulnerable Adult Abuse reporting line at (866) 363-4276 (866-END-HARM).

Molina's HCS teams will work with PCPs medical groups/IPA and other delegated entities who are obligated to communicate with each other when there is a concern that a Member is being abused. Final actions are taken by the PCP/medical group/IPA, other delegated entities, or other clinical personnel. Under state and federal law, a person participating in good faith in making a report or testifying about the alleged abuse, neglect, abandonment, financial exploitation, or self-neglect of a vulnerable adult in a judicial or administrative proceeding may be immune from liability resulting from the report or testimony.

Molina will follow up with Members who are reported to have been abused, exploited, or neglected to ensure appropriate measures were taken and follow up on safety issues. Molina will track, analyze, and report aggregate information regarding abuse reporting to the Healthcare Services Committee and the proper state agency.

### **PCP responsibilities in care management referrals**

The Member's PCP is the primary leader of the health team involved in coordinating and directing services for the Member. The case manager provides the PCP with the Member's individualized care plan (ICP), interdisciplinary care team (ICT) updates, and information regarding the Member's progress through the ICP when requested by the PCP. The PCP is responsible for the provision of preventive services and for the primary medical care of Members.

### **Case manager responsibilities**

The case manager collaborates with the Member and any additional participants as directed by the Member to develop an ICP that includes recommended interventions from the Member's ICT, as applicable. ICP interventions include the appropriate information to address medical and psychosocial needs and/or barriers to accessing care, care coordination to address Member's health care goals, health education to support self-management goals, and a statement of expected outcomes. Jointly, the case manager and the Member/authorized representative(s) are responsible for implementing the plan of care. Additionally, the case manager:

- Assess the Member to determine if the Member's needs warrant care management.
- Monitors and communicates the progress of the implemented ICP to the Member's ICT as Member needs warrant.
- Serves as a coordinator and resource to the Member, their representative, and ICT participants throughout the implementation of the ICP and revises the plan as suggested and needed.
- Coordinates appropriate education and encourages the Member's role in self-management.
- Monitors progress toward the Member's achievement of ICP goals to determine an appropriate time for the Member's graduation from the ICM program.

## Health management

The tools and services described here are educational support for Molina Members and may be changed at any time as necessary to meet the needs of Molina Members. Level 1 Members can be engaged in the program for up to 90 days depending on Member preferences and the clinical judgment of the health management team.

### Level 1 health management

Molina offers programs to help our Members and their families manage various health conditions. The programs include telephonic outreach from our clinical staff and health educators that includes condition-specific triage assessment, care plan development and access to tailored educational materials. Members are identified via Health Risk assessments and identification and stratification. Providers can also directly refer Members who may benefit from these program offerings by contacting Molina. Members can request to be enrolled or disenrolled in these programs at any time. Molina My Health programs include:

- Molina My Health – Weight Management
- Molina My Health – Tobacco Cessation
- Molina My Health – Nutrition

For more information about these programs, please call (833) 269-7830 or TTY/TDD at 711 Relay. Fax: (800) 642-3691

### Maternity screening and high-risk obstetrics

Molina offers to all pregnant Members prenatal health education with resource information as appropriate and screening services to identify high-risk pregnancy conditions. Care managers with specialized OB training provide additional care coordination and health education for Members with identified high-risk pregnancies to ensure the best outcomes for Members and their newborns during pregnancy, delivery, and through their 6th-week post-delivery. Pregnant Member outreach, screening, education, and care management are initiated by Provider notification to Molina, Member self-referral, and internal Molina notification processes. Providers can notify Molina of pregnant/high-risk pregnant Members via faxed Pregnancy Notification Report Forms.

### Member newsletters

Member newsletters are posted on the [MolinaMarketplace.com](https://www.molinamarketplace.com) website at least once a year. The articles cover topics asked by Members and offer tips to help them stay healthy.

### Member health education materials

Members can access our easy-to-read evidence-based education materials about nutrition, preventive services guidelines, stress management, exercise, cholesterol management, asthma, diabetes, depression, and other relevant health topics identified during our engagement with

Members. Materials are available through the Member Portal, direct mail as requested, email, and the My Molina mobile app.

### Program eligibility criteria and referral source

Health management (HM) programs are designed for Molina Members with a confirmed diagnosis. Identified Members will receive targeted outreach such as educational materials, telephonic outreach, or other materials to access information on their condition. Members can contact the Molina Member Contact Center at any time and request to be removed from the program.

Members may be identified for or referred to HM programs from multiple pathways which may include the following:

- Pharmacy Claims data for all classifications of medications.
- Encounter Data or paid Claims with a relevant CMS-accepted diagnosis or procedure code.
- Member Services welcome calls made by staff to new Member households and incoming Member calls have the potential to identify eligible program participants. Eligible Members are referred to the program registry.
- Member assessment calls made by staff for the initial health risk assessments (HRA) for newly enrolled Members.
- External referrals from Provider(s), caregivers or community-based organizations.
- Internal Molina referrals from the 24-hour Nurse Advice Line, medication management, or utilization management.
- Member self-referral due to general plan promotion of the program through Member newsletter or other Member communications.

### Provider participation

Provider resources and services may include:

- Annual Provider feedback letters containing a list of patients identified with the relevant disease
- Clinical resources such as patient assessment forms and diagnostic tools
- Patient education resources
- Provider newsletters promoting the health management programs, including how to enroll patients and outcomes of the programs
- Clinical practice guidelines
- Preventive health guidelines
- Case management collaboration with the Member's Provider
- Faxing a Provider Collaboration Form to the Member's Provider when indicated

Additional information on health management programs is available from your local Molina Healthcare Services department toll free at (888) 858-3492.

## Primary care providers

Molina provides a panel of PCPs to care for its Members. Providers in the specialties of Family Medicine, Internal Medicine, and Obstetrics and Gynecology are eligible to serve as PCPs. Members may choose a PCP or have one selected for them by Molina. Molina's Members are required to see a PCP who is part of the Molina Network. Molina Members may select or change their PCP by contacting the Molina Member Contact Center.

## Specialty providers

Molina maintains a network of specialty Providers to care for its Members. Some specialty care Providers may require a referral for a Member to receive specialty services; however, no prior authorization is required. Members are allowed to directly access women's health specialists for routine and preventive health without a referral.

Molina will help to arrange specialty care outside the network when Providers are unavailable, or the network is inadequate to meet a Member's medical needs. To obtain such assistance contact the Molina UM department. Referrals to specialty care outside the network require prior authorization from Molina.

## Care management (CM)

Molina provides a comprehensive ICM program to all Members who meet the criteria for services. The program focuses on coordinating the care, services, and resources needed by Members throughout the continuum of care. Molina adheres to the Case Management Society of America Standards of Practice Guidelines in executing the program.

The Molina case managers may be licensed professionals and are educated, trained, and experienced in Molina's ICM program. The ICM program is based on a Member advocacy philosophy, designed and administered to assure the Member value-added coordination of health care and services, to increase continuity and efficiency, and to produce optimal outcomes. The ICM program is individualized to accommodate a Member's needs with collaboration and input from the Member's PCP. The Molina case manager will complete an assessment with the Member upon engagement after identification for ICM enrollment and assist with the arrangement of individual services for Members whose needs include ongoing medical care, home health care, rehabilitation services, and preventive services. The Molina case manager is responsible for assessing the Member's appropriateness for the ICM program and for notifying the PCP of ICM program enrollment, as well as facilitating and assisting with the development of the Member's ICP.

## Referral to care management

Members with high-risk medical conditions and/or other care needs may be referred by their PCP or specialty care Provider to the ICM program. The case manager works collaboratively with the Member and all participants of the ICT when warranted, including the PCP and specialty Providers, ancillary Providers, the local health department, or other community resources when identified. The referral source should be prepared to provide the case manager with demographic, health care, and social data about the Member being referred.

Members with the following conditions may qualify for care management and should be referred to the Molina ICM Program for evaluation:

- High-risk pregnancy, including Members with a history of a previous preterm delivery.
- Catastrophic or end-stage medical conditions (e.g., neoplasm, organ/tissue transplants, End Stage Renal Disease).
- Comorbid chronic illnesses (e.g., asthma, diabetes, COPD, CHF, etc.).
- Preterm infants.
- High-technology home care requiring more than two (2) weeks of treatment.
- Member accessing emergency department services inappropriately.
- Children with special health care needs.

Referrals to the ICM program may be made by contacting Molina at:

Phone: (800) 869-7175

Fax: (800) 767-7188

## 9. Behavioral health

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### Overview

Molina provides a behavioral health benefit for Members. Molina takes an integrated, collaborative approach to behavioral health care, encouraging participation from PCPs, behavioral health, and other specialty Providers to ensure whole-person care. Molina complies with the most current Mental Health Parity and Addiction Equity Act requirements. All provisions within the Provider Manual are applicable to medical and behavioral health Providers unless otherwise noted in this section.

### Utilization management and prior authorization

Some behavioral health services may require prior authorization.

Behavioral health inpatient and residential services can be requested by submitting a prior authorization form or contacting Molina's prior authorization team at (855) 322-4082. Providers requesting after-hours authorization for these services should utilize [Availity](#) portal or fax submission options.

Emergency psychiatric services do not require prior authorization. All requests for behavioral health services should include the most current version of the Diagnostic and Statistical Manual of Mental Disorders (DSM) classification. Molina utilizes standard, generally accepted medical necessity criteria for prior authorization reviews. For additional information please refer to the Prior Authorization subsection found in the **Health Care Services** section of this Provider Manual.

### Access to behavioral health providers and PCPs

Members may be referred to an in-network behavioral health Provider via referral from a PCP or medical specialist or by Member self-referral. PCPs can screen and assess Members for the detection and treatment of, or referral for, any known or suspected behavioral health problems and disorders. PCPs may provide any clinically appropriate behavioral health service within the scope of their practice. A formal referral form or prior authorization is not needed for a Member to self-refer or be referred to a PCP or behavioral health Provider. However, individual services provided by non-network behavioral health Providers will require prior authorization.

Behavioral Health Providers may refer a Member to an in-network PCP, or a Member may self-refer. Members may be referred to PCP and specialty care Providers to manage their health care needs. Behavioral Health Providers may identify other health concerns, including physical health concerns, that should be addressed by referring the Member to a PCP.

## Care coordination and continuity of care

### Discharge planning

Discharge planning begins upon admission to an inpatient or residential behavioral health facility. Members who were admitted to an inpatient or residential behavioral health setting must have an adequate outpatient follow-up appointment scheduled with a behavioral health Provider prior to discharge and to occur within seven (7) days of the discharge date.

### Interdisciplinary care coordination

To provide care for the whole person, Molina emphasizes the importance of collaboration amongst all Providers on the Member's treatment team. behavioral health, primary care, and other specialty Providers shall collaborate and coordinate care amongst each other for the benefit of the Member. Collaboration of the treatment team will increase the communication of valuable clinical information, enhance the Member's experience with service delivery, and create opportunities for optimal health outcomes. Molina's care management program may assist in coordinating care and communication amongst all Providers of a Member's treatment team.

### Care management

Molina's care management team includes licensed nurses and clinicians with behavioral health experience to support Members with mental health and/or substance use disorder (SUD) needs. Members with high-risk psychiatric, medical or psychosocial needs may be referred by a behavioral health professional or primary care Provider to the ICM program.

Referrals to the ICM program may be made by contacting Molina at:

Phone: (800) 869-7175

Fax: (800) 767-7188

For additional information on the ICM program please refer to the Care Management subsection found in the **Health Care Services** section of this Provider Manual.

### Responsibilities of Behavioral Health Providers

Molina promotes collaboration with Providers and integration of both physical and behavioral health services to provide quality care coordination to Members. Behavioral Health Providers are expected to provide in-scope, evidence-based mental health, and substance use disorder services to Molina Members. Behavioral Health Providers may only provide physical health care services if they are licensed to do so.

Providers shall follow quality standards related to access. Molina oversees Providers to ensure Members can obtain needed health services within acceptable appointment timeframes. Please refer to the **Quality** section of this Provider Manual for specific access to appointment details.

All Members receiving inpatient psychiatric services must notify Molina at least 24 hours prior to discharge and ensure the member is scheduled for a psychiatric outpatient appointment prior to discharge. The aftercare outpatient appointment must include the specific time, date, location, and name of the Provider. This appointment must occur within seven (7) days of the discharge date. If a Member misses a behavioral health appointment, the Behavioral Health Provider shall contact the Member within 24 hours of a missed appointment to reschedule.

### **Behavioral health crisis line**

Molina has a Behavioral Health Crisis Line that may be accessed by Members 24 hours a day, 7 days a week, 365 days a year. The Molina Behavioral Health Crisis Line is staffed by behavioral health clinicians to provide urgent crisis intervention, emergent referrals and/or triage to appropriate supports, resources, and emergency response teams. Members experiencing psychological distress may access the Behavioral Health Crisis Line by calling 988 or the Member Services number listed on the back of their Molina Member ID card.

### **National suicide lifeline**

988 is the National Suicide Lifeline. Anyone in need of suicide or mental health crisis support or anyone with concerns about someone else can receive free and confidential support 24 hours a day, 7 days a week, 365 days per year, by dialing 988 from any phone.

### **Behavioral health toolkit for providers**

Molina has developed an online Behavioral Health Toolkit to provide support with screening, assessment, and diagnosis of common behavioral health conditions, plus access to behavioral health HEDIS® tip sheets and other evidence-based guidance, training opportunities for Providers, and recommendations for coordinating care. The material within this toolkit is applicable to Providers in both medical and behavioral health settings. The Behavioral Health Toolkit for Providers can be found under the “Health Resources” tab on the [MolinaMarketplace.com](https://MolinaMarketplace.com) Provider website.

## 10. Quality

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### Maintaining quality improvement processes and programs

Molina works with Members and Providers to maintain a comprehensive Quality Improvement (QI) Program. You can contact the Molina Quality Department at [MHW\\_QI\\_Department@MolinaHealthcare.com](mailto:MHW_QI_Department@MolinaHealthcare.com).

The address for mail requests is:

Molina Healthcare of Washington, Inc.  
Quality Department  
P.O. Box 4004  
Bothell, WA 98041-4004

This Provider Manual contains excerpts from the Molina QI program. For a complete copy of Molina's QI program, you can contact your Provider Relations representative or email [MHW\\_QI\\_Department@Molinahealthcare.com](mailto:MHW_QI_Department@Molinahealthcare.com) to receive a written copy.

Molina has established a QI program that complies with regulatory requirements and accreditation standards. The QI program provides structure and outlines specific activities designed to improve the care, service, and health of our Members. In our quality program description, we describe our program governance, scope, goals, measurable objectives, structure, and responsibilities.

Molina does not delegate quality improvement activities to medical groups/IPAs. However, Molina requires contracted medical groups/IPAs to comply with the following core elements and standards of care, Molina medical groups/IPAs must:

- Have a quality improvement program in place.
- Comply with and participate in Molina's QI program including reporting of access and availability survey and activity results and provision of medical records as part of the HEDIS® review process and during potential quality of care and/or critical incident investigations.
- Cooperate with Molina's quality improvement activities, which are designed to improve the quality of care and services and the Member experience.
- Allow Molina to collect, use, and evaluate data related to Provider performance for quality improvement activities, including but not limited to focus areas, such as clinical care, care coordination and management, service, and access and availability.
- Allow access to Molina Quality personnel for the site and medical record review processes.

### Patient safety program

Molina's Patient Safety Program identifies appropriate safety projects and error avoidance for Molina Members in collaboration with their PCPs. Molina continues to support safe health practices for our Members through our safety program, pharmaceutical management and care

management/health management programs and education. Molina monitors nationally recognized quality index ratings for facilities including adverse events and hospital-acquired conditions as part of a national strategy to improve health care quality mandated by the Patient Protection and Affordable Care Act (ACA) and the Department of Health and Human Services (HHS) is to identify areas that have the potential for improving health care quality to reduce the incidence of events.

## Quality of care

Molina has established a systematic process to identify, investigate, review, and report any quality of care, adverse event/never event, critical incident (as applicable), and/or service issues affecting Member care. Molina will research, resolve, track, and trend issues. Confirmed adverse events/never events are reportable when related to an error in medical care that is clearly identifiable, preventable and/or found to have caused serious injury or death to a patient. Some examples of never events include surgery on the wrong body part, surgery on the wrong patient, and wrong surgery on a patient.

Molina is not required to pay for inpatient care related to “never events.”

## Medical records

Molina requires that medical records are maintained in a manner that is current, detailed, and organized to ensure that care rendered to Members is consistently documented and that necessary information is readily available in the medical record. All entries will be indelibly added to the Member’s medical record. PCPs should maintain the following medical record components, that include but are not limited to:

- Medical record confidentiality and release of medical records within medical and behavioral health care records.
- Medical record content and documentation standards, including preventive health care.
- Storage maintenance and disposal processes.
- Process for archiving medical records and implementing improvement activities.

## Medical record-keeping practices

Below is a list of the minimum items that are necessary for the maintenance of the Member’s medical records:

- Each patient has a separate record.
- Medical records are stored away from patient areas and preferably locked.
- Medical records are available during each visit and archived records are available within 24 hours.
- If hard copy, pages are securely attached to the medical record, and records are organized by dividers or color-coded when the thickness of the record dictates.
- If electronic, all those with access have individual passwords.

- Record keeping is monitored for quality and HIPAA compliance, including privacy of confidential information, such as race, ethnicity, language, sexual orientation and gender identity.
- Storage maintenance for the determined timeline and disposal are managed per record management processes.
- Process is in place for archiving medical records and implementing improvement activities.
- Medical records are kept confidential, and there is a process for release of medical records, including behavioral health care records.

## Content

Providers must remain consistent in their practices with Molina’s medical record documentation guidelines. Medical records are maintained and should, but are not limited to, the following information. All medical records should contain the following information:

- The patient’s name or ID number on each page in the record.
- The patient’s name, date of birth, sex, marital status, address, employer, home and work telephone numbers, and emergency contact.
- Legible signatures and credentials of the Provider and other staff members within a paper chart.
- A list of all Providers who participate in the Member’s care.
- Information about services that are delivered by these Providers.
- A problem list that describes the Member’s medical and behavioral health conditions.
- Presenting complaints, diagnoses, and treatment plans, including follow-up visits and referrals to other Providers.
- Prescribed medications, including dosages and dates of initial or refill prescriptions.
- Medication reconciliation within 30 days of an inpatient discharge should with evidence of current and discharge medication reconciliation and the date performed.
- Allergies and adverse reactions (or notation that none are known).
- Documentation that shows advance directives, power of attorney, and living will have been discussed with Member, and a copy of advance directives when in place.
- Past medical and surgical history, including physical examinations, treatments, preventive services, and risk factors.
- Treatment plans that are consistent with diagnosis.
- A working diagnosis that is recorded with the clinical findings.
- Pertinent history for the presenting problem.
- Pertinent physical exam for the presenting problem.
- Lab and other diagnostic tests that are ordered as appropriate by the Provider.
- Clear and thorough progress notes that state the intent for all ordered services and treatments.
- Notations regarding follow-up care, calls, or visits that include the specific time of return are noted in weeks, months, or as needed, and included in the next preventative care visit when appropriate.
- Notes from consultants as applicable.

- Up-to-date immunization records and documentation of appropriate history.
- All staff and Provider notes are signed physically or electronically with either name or initials.
- All entries are dated.
- All abnormal lab/imaging results show explicit follow-up plan(s).
- All ancillary services reports.
- Documentation of all emergency care provided in any setting.
- Documentation of all hospital admissions and follow-up care, inpatient and outpatient care, including hospital discharge summaries, hospital history and physicals, and operative reports.
- Labor and delivery record for any child seen since birth.
- A signed document stating with whom protected health information may be shared.

### Organization

- The medical record is legible to someone other than the writer.
- Each patient has an individual record.
- Chart pages are bound, clipped, or attached to the file.
- Chart sections are easily recognized for retrieval of information.
- A release document for each Member authorizing Molina to release medical information for the facilitation of medical care.

### Retrieval

- The medical record is available to the Provider at each encounter.
- The medical record is available to Molina for purposes of quality improvement.
- The medical record is available to the applicable state and/or federal agency and the external quality review organization upon request.
- The medical record is available to the Member upon their request.
- A storage system for inactive Member medical records that allows retrieval within 24 hours, is consistent with state and federal requirements, and maintains the record for not less than ten (10) years from the last date of treatment or, for a minor, one (1) year past their 20th birthday but never less than ten (10) years.
- An established and functional data recovery procedure in the event of data loss.

### Confidentiality

Molina Providers shall develop and implement confidentiality procedures to guard Member protected health information, in accordance with HIPAA privacy standards and all other applicable federal and state regulations. This should include, and is not limited to, the following:

- Ensure that medical information is released only in accordance with applicable federal or state law in pursuant to court orders or subpoenas.

- Maintain records and information in an accurate and timely manner.
- Ensure timely access by Members to the records and information that pertain to them.
- Abide by all federal and state laws regarding confidentiality and disclosure of medical records or other health and enrollment information.
- Medical records are protected from unauthorized access.
- Access to computerized confidential information is restricted
- Precautions are taken to prevent inadvertent or unnecessary disclosure of protected health information.
- Education and training all staff on handling and maintaining protected health care information.
- Ensure that confidential information such as patient race, ethnicity, preferred language, sexual orientation, gender identity, and social determinants of health is protected.

Additional information on medical records is available from your local Molina Quality department. For additional information regarding HIPAA, please refer to the **Compliance** section of this Provider Manual.

### **Advance directives (Patient Self-Determination Act)**

Molina complies with the advance directive requirements of the states in which the organization provides services. Responsibilities include ensuring Members receive information regarding advance directives and that contracted Providers and facilities uphold executed documents.

Advance directives are a written choice for health care. There are two (2) types of advance directives:

- **Durable power of attorney for health care:** allows an agent to be appointed to carry out health care decisions.
- **Living will:** allows choices about withholding or withdrawing life support and accepting or refusing nutrition and/or hydration.

**When there is no advance directive:** The Member's family and Provider will work together to decide on the best care for the Member based on information they may know about the Member's end-of-life plans.

Providers must inform adult Molina Members 18 years old and up of their right to make health care decisions and execute advance directives. It is important that Members are informed about advance directives.

Members who would like more information are instructed to contact the Molina Member Contact Center or are directed to the CaringInfo website at [caringinfo.org/planning/advance-directives](https://caringinfo.org/planning/advance-directives) for forms available to download. Additionally, the Molina website offers information to both Providers and Members regarding advance directives, with a link to forms that can be downloaded and printed.

PCPs must discuss advance directives with a Member and provide appropriate medical advice if the Member desires guidance or assistance.

Molina network Providers and facilities are expected to communicate any objections they may have to a Member directive prior to service when possible. If the assigned provider objects to the Member's desired decision, members may select a new PCP. Molina will facilitate finding a new PCP or specialist as needed.

In no event may any Provider refuse to treat a Member or otherwise discriminate against a Member because the Member has completed an advance directive. CMS regulations give Members the right to file a complaint with Molina or the state survey and certification agency if the Member is dissatisfied with Molina's handling of advance directives and/or if a Provider fails to comply with advance directive instructions.

Molina will notify the Provider of an individual Member's advance directives identified through care management, care coordination or case management. Providers are instructed to document the presence of an advance directive in a prominent location of the medical record. Advance directive forms are state-specific to meet state regulations.

Molina expects that there will be documented evidence of the discussion between the Provider and the Member during routine medical record reviews.

## Access to care

Molina maintains access to care standards and processes for ongoing monitoring of access to health care provided by contracted PCPs and participating specialists. Providers surveyed include PCPs (family/general practice, internal medicine, and pediatric), OB/GYN (high-volume specialists), Oncologist (high-impact specialists), and behavioral health Providers. Providers are required to conform to the access to care appointment standards listed below to ensure that health care services are provided in a timely manner. The PCP or their designee must be available 24 hours a day, 7 days a week to Members.

## Appointment Access

All Providers who oversee the Member's health care are responsible for providing the following appointments to Molina Members in the timeframes noted.

### Medical appointment

| Primary Care Practitioner (PCP) |   |
|---------------------------------|---|
| Types of Care for Appointment   | Appointment Wait Time (Appointment Standards) |
| Emergency Care                  | Available 24 hours/7 days.                    |
| Urgent Care                     | Within 24 hours of the appointment request.   |
| Preventive Care – non-urgent    | Within 30 calendar days of request.           |

|   |   |
|---|---|
| Routine or non-urgent care appointments | Within 10 calendar days of the request.   |
| Office waiting time                     | Should not exceed 30 minutes.   |
| <b>After Hours Care</b>                 | <b>After Hours Instruction/Standards</b>  |
| After Hours Emergency Instruction       | Members who call Member Services are instructed “if this is an emergency, please hang up and dial 911.” |
| After Hours Care                        | Available by telephone 24 hours/7 days.   |
| <b>Specialty Care Provider (SCP)</b>    |   |
| <b>Types of Care for Appointment</b>    | <b>Appointment Wait Time (Appointment Standards)</b>  |
| Routine Care                            | Within 30 calendar days of the request.   |

### Behavioral health appointment

| <b>Mental/Behavioral Health</b>        |  |
|--|--|
| <b>Types of Care for Appointment</b>   | <b>Appointment Wait Time (Appointment Standards)</b> |
| Life-threatening                       | Immediately.   |
| Non-life-threatening<br>Emergency Care | Within 6 hours of request.                           |
| Urgent Care                            | Within 24 hours of request.                          |
| Routine Care                           | Within 10 calendar days of request.                  |
| Routine Follow up Care                 | Within 30 calendar days of request.                  |

Additional information on appointment access standards is available from your local Molina Quality department.

### Office wait time

The wait time in offices for scheduled appointments should not exceed 30 minutes. All PCPs are required to monitor waiting times and adhere to this standard.

### After hours

All Providers must have backup (on-call) coverage after hours or during the Provider’s absence or unavailability. Molina requires Providers to maintain a 24-hour telephone service, 7 days a week. This access may be through an answering service or a recorded message after office hours. The service or recorded message should instruct Members with an emergency to hang up and call 911 or go immediately to the nearest emergency room. Voicemail alone after hours is not acceptable.

## Women's health access

Molina allows Members the option to seek obstetric and gynecological care from an in-network obstetrician or gynecologist or directly from a participating PCP designated by Molina as providing obstetric and gynecological services. Member access to obstetric and gynecological services is monitored to ensure Members have direct access to participating Providers for obstetric and gynecological services. Gynecological services must be provided when requested regardless of the gender status of the Member.

Additional information on access to care is available from your local Molina Quality department.

## Monitoring access for compliance with standards

The Quality Improvement and Health Equity Transformation Committee reviews, revises as necessary, and approves access to care standards on an annual basis.

Provider network adherence to access standards is monitored via one or more of the following mechanisms:

- Provider access studies: Provider office assessments of appointment availability, after-hours access, Provider ratios, and geographic access.
- Member complaint data – Assessment of Member complaints related to access and availability of care.
- Member satisfaction survey – Evaluation of Members' self-reported satisfaction with appointment and after-hours access.

Analysis of access data includes assessment of performance against established standards, review of trends over time, and identification of barriers. Results of the analysis are reported to the Quality Improvement and Health Equity Transformation Committee at least annually for review and determination of opportunities for improvement. Corrective actions are initiated when performance goals are not met and for identified provider-specific and/or organizational trends. Performance goals are reviewed and approved annually by the Quality Improvement and Health Equity Transformation Committee.

## Quality of provider office sites

Molina Providers are to maintain office site and medical record-keeping practices standards. Molina continually monitors Member appeals and complaints/grievances for all office sites to determine the need for an office site visit and will conduct office site visits as needed. Molina assesses the quality, safety, and accessibility of office sites where care is delivered against standards and thresholds. A standard survey form is completed at the time of each visit. This includes an assessment of:

- Physical accessibility.
- Physical appearance.

- Adequacy of waiting and examining room space.

### **Physical accessibility**

Molina evaluates office sites as applicable to ensure that Members have safe and appropriate access to them. This access includes but is not limited to, ease of entry into the building, accessibility of space within the office site, and ease of access for patients with physical disabilities.

### **Physical appearance**

The site visits include but are not limited to, an evaluation of office cleanliness, appropriateness of lighting, and patient safety as needed.

### **Adequacy of waiting and examining room space**

During the site visit, as required, Molina assesses waiting and examining room spaces to ensure that the office offers appropriate accommodations to Members. The evaluation includes but is not limited to, appropriate seating in the waiting room areas and the availability of exam tables in exam rooms.

### **Administration and confidentiality of facilities**

Facilities contracted with Molina must demonstrate overall compliance with the guidelines listed below:

- Office appearance demonstrates that housekeeping and maintenance are performed appropriately on a regular basis, the waiting room is well-lit, office hours are posted, and the parking area and walkways demonstrate appropriate maintenance.
- Accessible parking is available, the building and exam rooms are accessible with an incline ramp or flat entryway, and the restroom is accessible with a bathroom grab bar.
- Adequate seating includes space for an average number of patients in an hour and there is a minimum of two (2) office exam rooms per Provider.
- Basic emergency equipment is in an easily accessible area. This includes a pocket mask and Epinephrine, plus any other medications appropriate to the practice.
- At least one (1) CPR-certified employee is available.
- Yearly Occupational Safety and Health Administration (OSHA) training (fire, safety, blood-borne pathogens, etc.) is documented for offices with ten (10) or more employees.
- A container for sharps is in each room where injections are given.
- Labeled containers, policies, contracts, and evidence of a hazardous waste management system in place.
- Patient check-in systems are confidential. Alternative methods include signatures on fee slips, separate forms, stickers, or labels.

- Confidential information is discussed away from patients. When reception areas are unprotected by sound barriers, scheduling, and triage phones are best placed at another location.
- Medical records are stored away from patient areas. Record rooms and/or file cabinets are preferably locked.
- A Clinical Laboratory Improvement Amendment (CLIA) waiver is displayed when the appropriate lab work is run in the office.
- Prescription pads are not kept in exam rooms.
- Narcotics are locked, preferably double-locked. Medication and sample access is restricted.
- System in place to ensure expired sample medications are not dispensed and injectables and emergency medication are checked monthly for outdates.
- Drug refrigerator temperatures are documented daily.

### Services to enrollees under 21 years of age

Molina maintains systematic and robust monitoring mechanisms to ensure all preventive services necessary for Enrollees under 21 years of age are timely according to required preventive health guidelines. All Enrollees under 21 years of age should receive screening examinations including appropriate childhood immunizations at intervals as specified by the preventive health guidelines located on the Molina Provider website at [MolinaMarketplace.com](http://MolinaMarketplace.com) and referenced in the **Benefits and Covered Services** section of this Provider Manual.

### Well-child/adolescent visits

Visits consist of age-appropriate components that include but are not limited to:

- Comprehensive health and developmental history.
- Nutritional assessment.
- Height and weight and growth charting.
- Comprehensive unclothed physical examination.
- Appropriate immunizations according to the Advisory Committee on Immunization Practices.
- Laboratory procedures, including lead blood level assessment appropriate for age and risk factors.
- Periodic developmental and behavioral screening using a recognized, standardized developmental screening tool.
- Vision screening for preventive services. Only medically necessary services are covered. Pediatric routine vision services (one [1] eye exam per year) is accessed by Members through the VSP network.
- Hearing screening for preventive services.
- Dental assessment and services.
- Health education, including anticipatory guidance on topics such as child development, healthy lifestyles, and accident and disease prevention.

- Periodic objective screening for social-emotional development using a recognized, standardized tool.
- Perinatal depression for mothers of infants in the most appropriate clinical setting, e.g., at the pediatric, behavioral health, or OB/GYN visit.

Diagnostic services, treatment, or services Medically Necessary to correct or ameliorate defects, physical or mental illnesses, and conditions discovered during a screening or testing must be provided or arranged for either directly or through referrals. Any condition discovered during the screening examination or screening test requiring further diagnostic study or treatment must be provided if within the Member's covered benefit services. Members should be referred to an appropriate source of care for any required services that are not covered services.

Molina shall have no obligation to pay for services that are not covered services.

### Monitoring for compliance with standards

Molina monitors compliance with the established performance standards as outlined above at least annually. Performance below Molina's standards may result in a corrective action plan (CAP) with a request that the Provider submits a written CAP to Molina within 30 calendar days. Follow-up to ensure resolution is conducted at regular intervals until compliance is achieved. The information and any response made by the Provider are included in the Provider's permanent credentials file. If compliance is not attained at follow-up, an updated CAP will be required. Providers who do not submit a CAP may be terminated from network participation or closed to new Members.

### Quality improvement activities and programs

Molina maintains an active QI program. The program provides structure and key processes to carry out our ongoing commitment to improving care and service. Through the QI program, Molina focuses on reducing health care disparities. The goals identified are based on an evaluation of programs and services, regulatory, contractual, and accreditation requirements, and strategic planning initiatives.

### Health management and care management

The Molina health management and care management programs provide for the identification, assessment, stratification, and implementation of appropriate interventions for Members with chronic diseases.

For additional information please refer to the Health Management and Care Management headings in the **Health Care Services** section of this Provider Manual.

## Clinical practice guidelines

Molina adopts and disseminates Clinical Practice Guidelines (CPG) to reduce inter-provider variation in diagnosis and treatment. CPG adherence is measured at least annually. All guidelines are based on scientific evidence, a review of medical literature, and/or appropriately established authority.

Molina CPGs include the following:

- Acute Stress and Post-Traumatic Stress Disorder (PTSD)
- Anxiety/Panic Disorder
- Asthma
- Attention Deficit Hyperactivity Disorder (ADHD)
- Autism
- Bipolar Disorder
- Children with Special Health Care Needs
- Chronic Kidney Disease
- Chronic Obstructive Pulmonary Disease (COPD)
- Depression
- Diabetes
- Heart Failure in Adults
- HIV/AIDS
- Homelessness-Special Health Care Needs
- Hypertension
- Obesity
- Opioid Management
- Perinatal Care
- Pregnancy Management
- Schizophrenia
- Sickle Cell Disease
- Substance Use Treatment
- Suicide Prevention
- Trauma-Informed Primary Care

All CPGs are updated at least annually and more frequently, as needed when clinical evidence changes, and are approved by the Quality Improvement and Health Equity Transformation Committee. A review is conducted at least monthly to identify new additions or modifications. On an annual basis or when changes are made during the year, CPGs are distributed to Providers at [MolinaMarketplace.com](https://MolinaMarketplace.com) and the Provider Manual. Notification of the availability of the CPGs is published in the Molina Provider newsletter.

## Preventive health guidelines

Molina provides coverage of diagnostic and preventive procedures based on recommendations published by the U.S. Preventive Services Task Force (USPSTF), Bright Futures/American Academy of Pediatrics, and the Centers for Disease Control and Prevention (CDC) in accordance with CMS guidelines. Diagnostic preventive procedures include but are not limited to:

- Adult Preventive Services Recommendations (U.S. Preventive Services Task Force). Molina's website includes links to current recommendations.
- Recommendations for Preventive Pediatric Health Care (Bright Futures/American Academy of Pediatrics). Molina's website includes links to current recommendations.
- Recommended Adult Immunization Schedule for ages 19 Years or older (United States). The CDC revises these recommendations every year. Molina's website includes links to current recommendations.
- Recommended Child and Adolescent Immunization Schedule for ages 18 years or younger (United States). These recommendations are revised every year by the CDC. Links to current recommendations are included on Molina's website.

All preventive health guidelines are updated at least annually and more frequently as needed when clinical evidence changes and are approved by the Quality Improvement and Health Equity Transformation Committee. A review is conducted at least monthly to identify new additions or modifications. On an annual basis or when changes are made during the year, preventive health guidelines are distributed to Providers at [MolinaMarketplace.com](https://MolinaMarketplace.com) and the Provider Manual. Notification of the availability of the preventive health guidelines is published in the Molina Provider newsletter.

## Cultural and linguistically appropriate services

Molina works to ensure all Members receive culturally competent care across the service continuum to reduce health disparities and improve health outcomes. For additional information about Molina's program and services, please see the **Cultural Competency and Linguistic Services** section of this Provider Manual.

## Measurement of clinical and service quality

Molina monitors and evaluates the quality of care and services provided to Members through the following mechanisms:

- HEDIS®
- Qualified Health Plan (QHP) Enrollee Experience Survey
- Behavioral health satisfaction assessment
- Provider satisfaction survey
- Effectiveness of quality improvement initiatives

Molina evaluates continuous performance according to, or in comparison with objectives, measurable performance standards, and benchmarks at the national, regional, and/or local/health plan level.

Contracted Providers and facilities must allow Molina to use its performance data collected in accordance with the Provider Agreement with Molina. The use of performance data may include but is not limited to the following:

- (1) Development of quality improvement activities
- (2) Public reporting to consumers
- (3) Preferred status designation in the network
- (4) Reduced Member cost-sharing

Molina's most recent results can be obtained from your local Molina Quality department or by visiting our website at [MolinaMarketplace.com](https://MolinaMarketplace.com).

## HEDIS®

Molina utilizes NCQA HEDIS® as a measurement tool to provide a fair and accurate assessment of specific aspects of managed care organization performance. HEDIS® is an annual activity conducted in the spring. The data comes from on-site medical record review and available administrative data. All reported measures must follow rigorous specifications and are externally audited to assure continuity and comparability of results. The HEDIS® measurement set currently includes a variety of health care aspects including immunizations, women's health screening, diabetes care, well check-ups, medication use, and cardiovascular disease.

HEDIS® results are used in various ways. They evaluate the effectiveness of multiple quality improvement activities and clinical programs. The standards are based on established clinical guidelines and protocols, providing a firm foundation for measuring the effectiveness of these programs.

Selected HEDIS® results are provided to federal and state regulatory agencies and accreditation organizations. The data are also used to compare against established health plan performance benchmarks.

## Qualified Health Plan (QHP) Enrollee Experience Survey

The QHP Enrollee Experience Survey is a consumer experience survey that assesses enrollee experience with QHPs offered through Marketplaces. The QHP Enrollee Experience Survey is fielded nationally by HHS-approved survey vendors using a standardized protocol to facilitate QHP comparison both within and across Marketplaces.

The QHP Enrollee Experience Survey was designed to collect accurate and reliable information from consumers about their experience with the health care they received through Health Insurance Marketplace QHPs. The survey includes a set of core questions that address key areas of care and service, with some questions grouped to form composites.

QHP Enrollee Experience Survey topics include:

- Access to care
- Access to information
- Care coordination
- Cost
- Cultural competence
- Doctor's communication
- Plan administration
- Prevention

### **Behavioral Health Satisfaction Assessment**

Molina obtains feedback from Members about their experience, needs, and perceptions of accessing behavioral health care services. This feedback is collected at least annually to understand how our Members rate their experiences in getting treatment, communicating with their clinicians, receiving treatment, and information from the plan, among other areas.

### **Provider satisfaction survey**

Recognizing that HEDIS® and the QHP Enrollee Experience Survey both focus on Member experience with health care providers and health plans, Molina conducts a Provider Satisfaction Survey annually. The results from this survey are very important to Molina, as this is one of the primary methods used to identify improvement areas pertaining to the Molina Provider network. The survey results have helped establish improvement activities relating to Molina's specialty network, inter-provider communications, and pharmacy authorizations. This survey is fielded to a random sample of Providers each year. If your office is selected to participate, please take a few minutes to complete and return the survey.

### **Effectiveness of quality improvement initiatives**

Molina monitors the effectiveness of clinical and service activities through metrics selected to demonstrate clinical outcomes and service levels. The plan's performance is compared to that of available national benchmarks indicating "best practices." The evaluation includes an ongoing assessment of clinical and service improvements. The results of these measurements guide activities for successive periods.

In addition to the methods described above, Molina compiles complaint and appeals data, as well as requests for out-of-network services, to determine opportunities for service improvements.

## Quality Rating System (QRS) for Marketplace

Based on Section 1311(c)(3) of the Affordable Care Act, CMS developed the QRS to:

- Provide comparable and useful information to consumers about the quality of health care services provided by QHPs.
- Facilitate oversight of QHP issuer compliance with Marketplace quality standards.
- Provide actionable information for improving quality and performance.

Quality ratings are calculated for each eligible QHP product using clinical quality and enrollee experience survey data. Based on the results, CMS will calculate and produce quality performance ratings for each health plan on a 1 to 5-star rating scale.

Measures are organized into a hierarchical structure designed to make the QRS scores and ratings more understandable. They include, but are not limited to, the following domains:

- Clinical effectiveness
- Patient safety
- Prevention
- Access and coordination
- Doctor and care
- Efficiency and affordability
- Plan service

### What can providers do?

- Ensure patients are up to date with their annual physical exam and preventive health screenings, including related lab orders and referrals to specialists, such as ophthalmology.
- Review the HEDIS® preventive care listing of measures for each patient to determine if anything applicable to your patients' age and/or condition has been missed.
- Check that staff are properly coding all services provided.
- Be sure patients understand what *they* need to do.

Molina has additional resources to assist Providers and their patients. For access to tools that can assist, please visit the [Availity](#) portal. There are a variety of resources, including HEDIS® CPT/CMS-approved diagnostic and procedural code sheets. To obtain a current list of HEDIS® and QHP Enrollee Experience Survey Star Ratings measures, contact your local Molina Quality department.

## 11. Risk adjustment management program

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### What is risk adjustment?

CMS defines risk adjustment as a process that helps accurately measure the health status of a plan's membership based on medical conditions and demographic information.

This process helps ensure health plans receive accurate payment for services provided to Molina Members and prepares for resources that may be needed in the future to treat Members who have multiple clinical conditions.

### Why is risk adjustment important?

Molina relies on our Provider network to care for our Members based on their health care needs. Risk adjustment considers numerous clinical data elements of a Member's health profile to determine documentation gaps from past visits and identifies opportunities for gap closure for future visits. In addition, risk adjustment allows us to:

- Focus on quality and efficiency.
- Recognize and address current and potential health conditions early.
- Identify Members for care management referral.
- Ensures adequate resources for the acuity levels of Molina Members.
- To have the resources to deliver the highest quality of care to our Members.

### Interoperability

The Provider agrees to deliver relevant clinical documents (Clinical Document Architecture (CDA) or Continuity of Care Document (CCD) format) at encounter close for Molina Members by using one of the automated methods available and supported by the Provider's electronic medical records (EMR), including but not limited to Epic Payer Platform, Direct Protocol, Secure File Transfer Protocol (sFTP), query or Web service interfaces such as Simple Object Access Protocol (External Data Representation) or Representational State Transfer (Fast Healthcare Interoperability Resource).

The CDA or CCD document should include signed clinical notes or conform with the United States Core Data for Interoperability (USCDI) common data set and Health Level 7 (HL7) Consolidated Clinical Data Architecture (CCDA) standard.

The Provider will also enable HL7 v2 Admission/Discharge/Transfer (ADT) feed for all patient events for Molina Members to the interoperability vendor designated by Molina.

The Provider will participate in Molina's program to communicate clinical information using the Direct Protocol. The direct protocol is the HIPAA-compliant mechanism for exchanging health care information approved by the Office of the National Coordinator for Health Information Technology (ONC).

- If the Provider does not have a Direct Address, it will work with its EMR vendor to set up a Direct Messaging Account. This also supports the CMS requirement of having the Provider's Digital Contact Information added to the National Plan and Provider Enumeration System (NPPES).
- If the Provider's EMR does not support the Direct Protocol, the Provider will work with Molina's established interoperability partner to establish an account.

## Risk Adjustment Data Validation (RADV) audits

As part of the regulatory process, federal agencies may conduct RADV audits to ensure that the diagnosis data submitted by Molina for risk adjustment is complete and accurate. All Claims/Encounters submitted to Molina are subject to state and/or federal and internal health plan auditing. If Molina is selected for a RADV audit, Providers will be required to submit medical records in a timely manner to validate previously submitted data.

## Your role as a provider

As a Provider, complete and accurate documentation in a Member's medical record is critical to a Member's quality of care. We encourage Providers to record all diagnoses to the highest specificity. This will ensure Molina receives adequate resources to provide quality programs to you and our Members.

For a complete and accurate medical record, all Provider documentation must:

- Address clinical data elements (e.g., a diabetic patient needs an eye exam or multiple comorbid conditions) provided by Molina and reviewed with the Member.
- Be compliant with the CMS National Correct Coding Initiative (NCCI).
- Use the correct ICD-10 code by documenting the condition to the highest level of specificity.
- Only use diagnosis codes confirmed during a Provider visit with a Member. Depending on state or CMS requirements, the visit may be face-to-face or telehealth.
- Contain a treatment plan and progress notes.
- Contain the Member's name and date of service.
- Have the Provider's signature and credentials.

## Contact information

For questions about Molina's risk adjustment programs, please contact your Provider Relations representative.

## 12. Compliance

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### Fraud, waste and abuse

#### Introduction

Molina is dedicated to the detection, prevention, investigation, and reporting of potential health care fraud, waste, and abuse. As such, Molina's Compliance department maintains a comprehensive plan that addresses how Molina will uphold and follow state and federal statutes and regulations pertaining to fraud, waste, and abuse. The program also addresses fraud, waste, and abuse prevention, detection, and correction, along with the education of appropriate employees, vendors, Providers, and associates doing business with Molina.

Molina's Special Investigation Unit (SIU) supports Compliance in its efforts to prevent, detect, and correct fraud, waste, and abuse by conducting investigations aimed at identifying suspect activity and reporting these findings to the appropriate regulatory and/or law enforcement agency.

#### Mission statement

Our mission is to pay Claims correctly the first time, and that mission begins with the understanding that we need to proactively detect fraud, waste, and abuse, correct it, and prevent it from reoccurring. Since not all fraud, waste, or abuse can be prevented, Molina employs processes that retrospectively address fraud, waste, or abuse that may have already occurred. Molina strives to detect, prevent, investigate, and report suspected health care fraud, waste, and abuse to reduce health care costs and promote quality health care.

#### Regulatory requirements

##### Federal False Claims Act

The False Claims Act is a federal statute that covers fraud involving any federally funded contract or program. The act establishes liability for any person who knowingly presents or causes to be presented a false or fraudulent Claim to the U.S. government for payment.

The term "knowing" is defined to mean that a person with respect to information:

- Has actual knowledge of the falsity of information in the Claim
- Acts in deliberate ignorance of the truth or falsity of the information in a Claim
- Acts in reckless disregard of the truth or falsity of the information in a Claim

The Act does not require proof of a specific intent to defraud the U.S. government. Instead, health care Providers can be prosecuted for a wide variety of conduct that leads to the submission of fraudulent Claims to the government, such as knowingly making false

statements, falsifying records, double billing for items or services, submitting bills for services never performed or items never furnished or otherwise causing a false Claim to be submitted.

### **Anti-kickback statute (42 U.S.C. § 1320a-7b(b))**

Anti-kickback statute (AKS) is a criminal law that prohibits the knowing and willful payment of “remuneration” to induce or reward patient referrals or the generation of business involving any item or service payable by the federal health care programs (e.g., drugs, supplies, or health care services for Medicare or Medicaid patients). In some industries, it is acceptable to reward those who refer business to you. However, in the federal health care programs, paying for referrals is a crime. The statute covers the payers of kickbacks-those who offer or pay remuneration- as well as the recipients of kickbacks-those who solicit or receive remuneration.

Molina conducts all business in compliance with federal and state AKS statutes and regulations and federal and state marketing regulations. Providers are prohibited from engaging in any activities covered under this statute.

AKS statutes and regulations prohibit paying or receiving anything of value to induce or reward patient referrals or the generation of business involving any item or service payable by federal and state health care programs. The phrase “anything of value” can mean cash, discounts, gifts, excessive compensation, contracts not at fair market value, etc. Examples of prohibited AKS actions include a health care Provider who is compensated based on patient volume, or a Provider who offers remuneration to patients to influence them to use their services.

Under Molina’s policies, Providers may not offer, solicit an offer, provide, or receive items of value of any kind that are intended to induce referrals of federal health care program business. Providers must not, directly or indirectly, make or offer items of value to any third party for the purpose of obtaining, retaining, or directing our business. This includes giving, favors, preferential hiring, or anything of value to any government official.

### **Marketing guidelines and requirements**

Providers must conduct all marketing activities in accordance with the relevant contractual requirements and marketing statutes and regulations – both state and federal.

Under Molina’s policies, marketing means any communication to a beneficiary who is not enrolled with Molina that can reasonably be interpreted as intended to influence the beneficiary to enroll with Molina’s Medicaid, Marketplace, or Medicare products. This also includes communications that can be interpreted to influence a beneficiary to not enroll in or to disenroll from another health plan’s products.

Restricted marketing activities vary from state to state but generally relate to the types and forms of communications that health plans, Providers, and others can have with Members and prospective Members. Examples of such communications include those related to enrolling Members, Member outreach, and other types of communications.

## Stark statute

The Physicians Self-Referral Law (Stark Law) prohibits physicians from referring patients to receive “designated health services” payable by Medicare or Medicaid from entities with which the physician or an immediate family member has a financial relationship unless an exception applies. Financial relationships include both ownership/investment interests and compensation arrangements. The Stark law prohibits the submission or causing the submission, of Claims in violation of the law’s restrictions on referrals. “Designated health services” are identified in the Physician Self-Referral Law [42 U.S.C. § 1395nn].

## Sarbanes-Oxley Act of 2002

The Sarbanes-Oxley Act requires certification of financial statements by both the Chief Executive Officer and the Chief Financial Officer. The Act states that a corporation must assess the effectiveness of its internal controls and report this assessment annually to the Securities and Exchange Commission.

## Definitions

**Fraud** means an intentional deception or misrepresentation made by a person with the knowledge that the deception could result in an unauthorized benefit to themselves or another person. It includes any act that constitutes fraud under applicable federal or state law (42 CFR § 455.2).

**Waste** means health care spending that can be eliminated without reducing the quality of care. Quality waste includes overuse, underuse, and ineffective use. Inefficiency waste includes redundancy, delays, and unnecessary process complexity. An example would be the attempt to obtain reimbursement for items or services where there was no intent to deceive or misrepresent. However, the outcome resulted in poor or inefficient billing methods (e.g., coding), causing unnecessary costs to state and federal health care programs.

**Abuse** means Provider practices that are inconsistent with sound fiscal, business, or medical practices and result in unnecessary costs to state and federal health care programs, or in reimbursement for services that are not medically necessary or that fail to meet professionally recognized standards for health care. It also includes recipient practices that result in unnecessary costs to state and federal health care programs (42 CFR § 455.2).

## Examples of fraud, waste, and abuse by a provider

The types of questionable Provider schemes investigated by Molina include, but are not limited to the following:

- A Provider knowingly and willfully referring a Member to health care facilities in which or with which the Provider has a financial relationship (Stark Law).
- Altering Claims and/or medical record documentation to get a higher level of reimbursement.

- Balance billing a Molina Member for covered services. This includes asking the Member to pay the difference between the discounted and negotiated fees and the Provider's usual and customary fees.
- Billing and providing for services to Members that are not medically necessary.
- Billing for services, procedures and/or supplies that have not been rendered.
- Billing under an invalid place of service to receive or maximize reimbursement.
- Completing certificates of medical necessity for Members not personally and professionally known by the Provider.
- Concealing a Member's misuse of a Molina Member ID card.
- Failing to report a Member's forgery or alteration of a prescription or other medical document.
- False coding to receive or maximize reimbursement.
- Inappropriate billing of modifiers to receive or maximize reimbursement.
- Inappropriately billing a procedure that does not match the diagnosis to receive or maximize reimbursement.
- Knowingly and willfully soliciting or receiving payment of kickbacks or bribes in exchange for referring patients.
- Not following incident-to-billing guidelines to receive or maximize reimbursement.
- Overutilization.
- Participating in schemes that involve collusion between a Provider and a Member that result in higher costs or charges.
- Questionable prescribing practices.
- Unbundling services to get more reimbursement, which involves separating a procedure into parts and charging for each part rather than using a single global code.
- Underutilization, which means failing to provide services that are medically necessary.
- Upcoding, which is when a Provider does not bill the correct code for the service rendered and instead uses a code for a like service that costs more.
- Using the adjustment payment process to generate fraudulent payments.

### Examples of fraud, waste, and abuse by a Member

The types of questionable Member schemes investigated by Molina include, but are not limited to, the following:

- Benefit sharing with persons not entitled to the Member's benefits.
- Conspiracy to defraud state and federal health care programs.
- Doctor shopping, which occurs when a Member consults several Providers to obtain services inappropriately.
- Falsifying documentation to get services approved.
- Forgery related to health care.
- Prescription diversion, which occurs when a Member obtains a prescription from a Provider for a condition that they do not suffer from and the Member sells the medication to someone else.

## Review of provider claims and claims system

Molina Claims Examiners are trained to recognize unusual billing practices, which are key in identifying fraud, waste, and abuse. If the Claims Examiner suspects fraudulent, abusive, or wasteful billing practices, the practice is documented and reported to the SIU through our Compliance Alertline/reporting repository.

The Claim payment system utilizes system edits and flags to validate that claim elements are billed in accordance with standardized billing practices, ensure that Claims are processed accurately, and ensure that payments reflect the service performed as authorized.

Molina performs auditing to ensure the accuracy of data input into the Claim system. The Claims Department conducts regular audits to identify system issues or errors. If errors are identified, they are corrected, and a thorough review of system edits is conducted to detect and locate the source of the errors.

## Prepayment fraud, waste, and abuse detection activities

Through implementation of Claim edits, Molina's Claim payment system is designed to audit Claims concurrently, to detect and prevent paying Claims that are inappropriate.

Molina has a pre-payment Claim auditing process that identifies frequent correct coding billing errors ensuring that Claims are coded appropriately according to state and federal coding guidelines. Code edit relationships and edits are based on guidelines from specific state Medicaid guidelines, federal CMS guidelines, the American Medical Association (AMA), and published specialty-specific coding rules. Code Edit Rules are based on information received from the National Physician Fee Schedule Relative File (NPFS), the Medically Unlikely Edit (MUE) table, National Correct Coding Initiative (NCCI) files, Local Coverage Determination/National Coverage Determination (LCD/NCD) and state-specific policy manuals and guidelines as specified by a defined set of indicators in the Medicare Physician Fee Schedule Data Base (MPFSDB).

Additionally, Molina may, at the request of a state program or at its own discretion, subject a Provider to prepayment reviews, whereupon the Provider is required to submit supporting source documents that justify an amount charged. Where no supporting documents are provided or insufficient information is provided to substantiate a charge, the Claim will be denied until the Provider can provide sufficient accurate support.

## Post-payment recovery activities

The terms expressed in this section of this Provider Manual are incorporated into the Provider Agreement with Molina and are intended to supplement, rather than diminish, all other rights and remedies that may be available to Molina under the Provider Agreement with Molina or at law or equity.

In the event of any inconsistency between the terms expressed here and any terms expressed in the Provider Agreement with Molina, the parties agree that Molina shall in its sole discretion exercise the terms that are expressed in the Provider Agreement with Molina, the terms that are expressed here, its rights under law and equity, or some combination thereof.

The Provider will provide Molina, governmental agencies, and their representatives or agents, access to examine, audit and copy all records deemed by Molina, in Molina's sole discretion, necessary to determine compliance with the terms of the Provider Agreement with Molina, including for the purpose of investigating potential fraud, waste, and abuse. Documents and records must be readily accessible at the location where the Provider provides services to any Molina Members. Auditable documents and records include but are not limited to medical charts, patient charts, billing records, and coordination of benefits information. Production of auditable documents and records must be provided in a timely manner, as requested by Molina, and without charge to Molina. In the event Molina identifies fraud, waste, or abuse, the Provider agrees to repay funds, or Molina may seek recoupment.

If a Molina auditor is denied access to the Provider's records, all the Claims for which the Provider received payment from Molina are immediately due and owing. If the Provider fails to provide all requested documentation for any Claim, the entire amount of the paid Claim is immediately due and owing. Molina may offset such amounts against any amounts owed by Molina to the Provider. The Provider must comply with all requests for documentation and records timely (as reasonably requested by Molina) and without charge to Molina. Claims for which the Provider fails to furnish supporting documentation during the audit process are not reimbursable and are subject to chargeback.

The Provider acknowledges that HIPAA specifically permits a covered entity such as the Provider, to disclose protected health information for its own payment purposes (see 45 CFR 164.502 and 45 CFR 164.501). The Provider further acknowledges that to receive payment from Molina, the Provider is required to allow Molina to conduct audits of its pertinent records to verify the services performed and the payment claimed and that such audits are permitted as a payment activity of the Provider under HIPAA and other applicable privacy laws.

### **Claim auditing**

Molina shall use established industry Claim adjudication and/or clinical practices, state and federal guidelines, and/or Molina's policies and data to determine the appropriateness of the billing, coding, and payment.

The Provider acknowledges Molina's right to conduct pre-and post-payment billing audits. The Provider shall cooperate with Molina's Special Investigations Unit and audits of Claims and payments by providing access at reasonable times to requested Claim information, the Provider's charging policies, and other related data as deemed relevant to support the transactions billed. Additionally, Providers are required, by contract and in accordance with the Provider Manual, to submit all supporting medical records/documentation as requested. Failure

to do so in a timely manner may result in an audit failure and/or denial resulting in an overpayment.

In reviewing medical records for a procedure, Molina reserves the right, where unprohibited by regulation, to select a statistically valid random sample or a smaller subset of the statistically valid random sample. This gives an estimate of the proportion of claims that Molina paid in error. The estimated proportion, or error rate, may be extrapolated across all Claims to determine the amount of overpayment.

Provider audits may be telephonic, an on-site visit, internal Claim review, client-directed/regulatory investigation and/or compliance reviews and may be vendor-assisted. Molina asks that you provide Molina, or Molina's designee, during normal business hours, access to examine, audit, scan, and copy all records necessary to determine compliance and accuracy of billing.

If Molina's Special Investigations Unit suspects fraudulent or abusive activity, Molina may conduct an on-site audit without notice. Should you refuse to allow access to your facilities, Molina reserves the right to recover the full amount paid or due to you.

### **Provider education**

When Molina identifies, through an audit or other means, a situation with a Provider (e.g., coding, billing) that is either inappropriate or deficient, Molina may determine that a Provider education visit is appropriate.

Molina will notify the Provider of the deficiency and take steps to educate the Provider. This may include the Provider submitting a corrective action plan (CAP) to Molina addressing the issues identified and how it will cure these issues moving forward.

### **Reporting fraud, waste, and abuse**

Suspected cases of fraud, waste or abuse must be reported to Molina by contacting the Molina Alertline. The Molina Alertline is an external telephone and web-based reporting system hosted by NAVEX Global, a leading provider of compliance and ethics hotline services. The Molina Alertline telephone and web-based reporting is available 24 hours a day, 7 days a week, 365 days a year. When a report is made, callers can choose to remain confidential or anonymous. When calling the Molina Alertline, a trained professional at NAVEX Global will note the caller's concerns and provide them to the Molina Compliance department for follow-up. When electing to use the web-based reporting process, a series of questions will be asked concluding with the submission of the report. Reports to the Molina Alertline can be made from anywhere within the United States with telephone or internet access.

The Molina Alertline can be reached at (866) 606-3889 or by using the service's website, [MolinaHealthcare.alertline.com](http://MolinaHealthcare.alertline.com), to make a report at any time.

Fraud, waste, or abuse cases may also be reported to Molina's Compliance department anonymously without fear of retaliation.

Molina Healthcare of Washington, Inc.  
Attn: Compliance  
PO Box 4004  
Bothell, WA 98041-4004

The following information must be included when reporting:

- Nature of complaint.
- The names of individuals and/or entities involved in suspected fraud and/or abuse, including address, phone number, Marketplace Member ID number, and any other identifying information.

Suspected fraud and abuse may also be reported directly to the state at:

Washington State Healthcare Authority  
Attn: Office of Program Integrity  
626 8th Ave SE  
PO Box 45503  
Olympia, WA 98504-5503

Fax: (360) 586-0212

Suspected fraud and abuse may also be reported directly to CMS at 1-800-MEDICARE (1-800-633-4227)

or

Office of Inspector General  
Attn: OIG Hotline Operations  
PO Box 23489  
Washington, DC 20026

Phone: (800) 447-8477  
TTY/TDD: (800) 377-4950  
Fax (10 page max): (800) 223-8164

Online at the Health and Human Services Office of the Inspector General website at [oig.hhs.gov/FRAUD/REPORT-FRAUD/INDEX](http://oig.hhs.gov/FRAUD/REPORT-FRAUD/INDEX).

## HIPAA requirements and information

### Molina's commitment to patient privacy

Protecting the privacy of Members' personal health information is a core responsibility that Molina takes very seriously. Molina is committed to complying with all federal and state laws regarding the privacy and security of Members' protected health information (PHI).

### Provider Responsibilities

Molina expects that its contracted Providers will respect the privacy of Molina Members (including Molina Members who are not patients of the Provider) and comply with all applicable laws and regulations regarding the privacy of patients and Member PHI. Molina provides its Members with a privacy notice upon their enrollment in our health plan. The privacy notice explains how Molina uses and discloses their PHI and includes a summary of how Molina safeguards their PHI.

Telehealth/telemedicine providers: telehealth transmissions are subject to HIPAA-related requirements outlined under state and federal law, including:

- 42 C.F.R. Part 2 regulations
- Health Information Technology for Economic and Clinical Health Act (HITECH Act)

### Applicable laws

Providers must understand all state and federal health care privacy laws applicable to their practice and organization. Currently, there is no comprehensive regulatory framework that protects all health information in the United States; instead, there is a patchwork of laws that Providers must comply with. In general, most health care Providers are subject to various laws and regulations pertaining to the privacy of health information, including, without limitation, the following:

#### 1. Federal laws and regulations

- HIPAA
- The Health Information Technology for Economic and Clinical Health Act (HITECH)
- 42 C.F.R. Part 2
- Medicare and Medicaid laws
- The Affordable Care Act

#### 2. State medical privacy laws and regulations.

Providers should be aware that HIPAA provides a floor for patient privacy, but that state laws should be followed in certain situations, especially if the state law is more stringent than HIPAA. Providers should consult with their own legal counsel to address their specific situation.

## Artificial intelligence

The Provider shall comply with all applicable state and federal laws and regulations related to artificial intelligence and the use of artificial intelligence tools (AI). Artificial Intelligence or AI means a machine-based system that can, with respect to a given set of human-defined objectives, input, or prompt, as applicable, make predictions, recommendations, data sets, work products (whether eligible for copyright protection), or decisions influencing physical or virtual environments. The Provider is prohibited from using AI for any functions that result in a denial, delay, reduction, or modification of covered services to Molina Members including, but not limited to utilization management, prior authorizations, complaints, appeals, and grievances, and quality of care services, without review of the denial, delay, reduction or modification by a qualified clinician.

Notwithstanding the foregoing, the Provider shall give advance written notice to your Molina Contract Manager (for any AI used by the Provider that may impact the provision of covered services to Molina Members) that describes (i) Providers' use of the AI tool(s) and (ii) how the Provider oversees, monitors and evaluates the performance and legal compliance of such AI tool(s). If the use of AI is approved by Molina, the Provider further agrees to (i) allow Molina to audit Providers' AI use, as requested by Molina from time to time, and (ii) to cooperate with Molina regarding any regulatory inquiries and investigations related to Providers' AI use related to the provision of covered services to Molina Members.

If you have additional questions, please contact your Molina Contract Manager.

## Uses and disclosures of PHI

Member and patient PHI should only be used or disclosed as permitted or required by applicable law. Under HIPAA, a Provider may use and disclose PHI for their own treatment, payment, and health care operations activities (TPO) without the consent or authorization of the patient who is the subject of the PHI. Uses and disclosures for TPO apply not only to the Provider's own TPO activities but also to the TPO of another covered entity<sup>1</sup>. Disclosure of PHI by one covered entity to another covered entity or health care Provider for the recipient's TPO is specifically permitted under HIPAA in the following situations:

1. A covered entity may disclose PHI to another covered entity or a health care Provider for the payment activities of the recipient. Please note that "payment" is a defined term under the HIPAA Privacy Rule that includes, without limitation, utilization review activities, such as preauthorization of services, concurrent review, and retrospective review of services<sup>2</sup>.
2. A covered entity may disclose PHI to another covered entity for the health care operations activities of the covered entity that receives the PHI, if each covered entity

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<sup>1</sup>See Sections 164.506(c) (2) & (3) of the HIPAA Privacy Rule

<sup>2</sup>See the definition of Payment, Section 164.501 of the HIPAA Privacy Rule

either has or had a relationship with the individual who is the subject of the PHI being requested, the PHI pertains to such relationship, and the disclosure is for the following health care operations activities:

- Quality improvement
- Disease management
- Care management and care coordination
- Training programs
- Accreditation, licensing and credentialing

Importantly, this allows Providers to share PHI with Molina for our health care operations activities, such as HEDIS® and quality improvement.

### Confidentiality of substance use disorder patient records

Federal confidentiality of substance uses disorder patient records regulations apply to any entity or individual providing federally assisted alcohol or drug abuse prevention treatment. Records of the identity, diagnosis, prognosis, or treatment of any patient that are maintained in connection with substance use disorder treatment or programs are confidential and may be disclosed only as permitted by 42 CFR Part 2. Although HIPAA protects substance use disorder information, the federal confidentiality of substance use disorder patient records regulations are more restrictive than HIPAA and they do not allow disclosure without the Member's written consent except as set forth in 42 CFR Part 2.

### Inadvertent disclosure of PHI

Molina may, on occasion, inadvertently misdirect or disclose PHI pertaining to Molina Member(s) who are not the patients of the Provider. In such cases, the Provider shall return or securely destroy the PHI of the affected Molina Members to protect their privacy. The Provider agrees to not further use or disclose such PHI and further agrees to provide an attestation of return, destruction and non-disclosure of any such misdirected PHI upon the reasonable request of Molina.

### Written authorizations

Uses and disclosures of PHI that are not permitted or required under applicable law require the patient's valid written authorization. Authorizations should meet the requirements of HIPAA and applicable state law.

### Patient rights

Patients are afforded various rights under HIPAA. Molina Providers must allow patients to exercise any of the below-listed rights that apply to the Provider's practice:

#### 1. Notice of privacy practices

Providers covered under HIPAA and having a direct treatment relationship with patients should provide patients with a notice of privacy practices that explains the patient's privacy rights and the process the patient should follow to exercise those rights. The Provider should obtain a written acknowledgment that the patient received the notice of privacy practices.

**2. Requests for restrictions on uses and disclosures of PHI**

Patients may request that a healthcare Provider restrict its uses and disclosures of PHI. The Provider is not required to agree to any such request for restrictions.

**3. Requests for confidential communications**

Patients may request that a healthcare Provider communicate PHI by alternative means or at alternative locations. Providers must accommodate reasonable requests by the patient.

**4. Requests for patient access to PHI**

Patients have a right to access their own PHI within a Provider's designated record set. Personal representatives of patients have the right to access the PHI of the subject patient. The designated record set of a Provider includes the patient's medical record, as well as billing and other records used to make decisions about the Member's care or payment for care.

**5. Request to amend PHI**

Patients have a right to request that the Provider amend information in their designated record set.

**6. Request an accounting of PHI disclosures**

Patients may request an accounting of disclosures of PHI made by the Provider during the preceding six (6)-year period. The list of disclosures does not need to include disclosures made for treatment, payment, or health care operations.

## HIPAA security

Providers must implement and maintain reasonable and appropriate safeguards to protect the confidentiality, availability, and integrity of Molina Member and patient PHI. As more Providers implement electronic health records, Providers need to ensure that they have implemented and maintained appropriate cyber-security measures. Providers should recognize that identity theft—both financial and medical—is a rapidly growing problem and that their patients trust their health care Providers to keep their most sensitive information private and confidential.

Medical identity theft is an emerging threat in the healthcare industry. Medical identity theft occurs when someone uses a person's name and sometimes other parts of their identity – such as health insurance information – without the person's knowledge or consent to obtain health care services or goods. Medical identity theft frequently results in erroneous entries being put into existing medical records. Providers should be aware of this growing problem and report any suspected fraud to Molina.

## HIPAA transactions and code sets

Molina strongly supports the use of electronic transactions to streamline health care administrative activities. Molina Providers are encouraged to submit Claims and other transactions to Molina using electronic formats. Certain electronic transactions in health care are subject to HIPAA's Transactions and Code Sets rule including but not limited to the following:

- Claims and Encounters
- Member eligibility status inquiries and responses
- Claim status inquiries and responses
- Authorization requests and responses
- Remittance advice

Molina is committed to complying with all HIPAA Transaction and Code Sets standard requirements. Providers should refer to Molina's website at [MolinaMarketplace.com](https://MolinaMarketplace.com) for additional information regarding HIPAA standard transactions.

1. Click on the area titled "Providers"
2. Click the tab titled "HIPAA"
3. Click on the tab titled "HIPAA Transactions" or "HIPAA Code Sets"

## Code sets

HIPAA regulations require that only approved code sets may be used in standard electronic transactions.

## National Provider Identifier (NPI)

Provider must comply with the NPI rule promulgated under HIPAA. The Provider must obtain an NPI from NPPES for itself or for any subparts of the Provider. The Provider must report its NPI and any subparts to Molina and to any other entity that requires it. Any changes in its NPI or subparts information must be reported to NPPES within 30 days and should also be reported to Molina within 30 days of the change. Providers must use their NPI to identify it on all electronic transactions required under HIPAA and on all Claims and Encounters (both electronic and paper formats) submitted to Molina.

## Additional requirements for delegated providers

Providers delegated for Claims and utilization management activities are Molina's "business associates." Under HIPAA, Molina must obtain contractual assurances from all business associates that they will safeguard Member PHI. Delegated Providers must also agree to various contractual provisions required under HIPAA's privacy and security rules.

## Reimbursement for copies of PHI

Molina does not reimburse Providers for copies of PHI related to our program Members. These requests may include, although are not limited to, the following purposes:

- Utilization management
- Care coordination and/or complex medical care management services
- Claim review
- Resolution of an appeal and/or grievance
- Anti-fraud program review
- Quality of care issues
- Regulatory audits
- Risk adjustment
- Treatment, payment, and/or operation purposes
- Collection of HEDIS® medical records

## Information security and cybersecurity

**NOTE:** This section (Information Security and Cybersecurity) applies only to Providers who have been delegated by Molina to perform a health plan function(s) and in connection with such delegated functions.

### 1. Definitions:

- (a) “Molina Information” means any information: (i) provided by Molina to Provider; (ii) accessed by Provider or available to Provider on Molina’s Information Systems; or (iii) any information with respect to Molina or any of its consumers developed by Provider or other third parties in Provider’s possession, including without limitation any Molina Nonpublic Information.
- (b) “Cybersecurity Event” means any actual or reasonably suspected contamination, penetration, unauthorized access or acquisition, or other breach of confidentiality, data integrity or security compromise of a network or server resulting in the known or reasonably suspected accidental, unauthorized, or unlawful destruction, loss, alteration, use, disclosure of, or access to Molina Information. For clarity, a Breach or Security Incident, as these terms are defined under HIPAA, constitutes a Cybersecurity Event for the purpose of this section. Unsuccessful security incidents, which are activities such as pings and other broadcast attacks on the Provider’s firewall, port scans, unsuccessful log-on attempts, denials of service, and any combination of the above, do not constitute a Cybersecurity Event under this definition so long as no such incident results in or is reasonably suspected to have resulted in unauthorized access, use, acquisition, or disclosure of Molina Information, or sustained interruption of service obligations to Molina.

- (c) “HIPAA” means the Health Insurance Portability and Accountability Act, as may be amended from time to time.
- (d) “HITECH” means the Health Information Technology for Economic and Clinical Health Act, as may be amended from time to time.
- (e) “Industry Standards” mean as applicable, codes, guidance (from regulatory and advisory bodies, whether mandatory or not), international and national standards, relating to the security of network and information systems and security breach and incident reporting requirements, all as amended or updated from time to time, and including but not limited to the current standards and benchmarks set forth and maintained by the following, in accordance with the latest revisions and/or amendments:
  - i. HIPAA and HITECH
  - ii. HITRUST Common Security Framework
  - iii. Center for Internet Security
  - iv. National Institute for Standards and Technology (“NIST”) Special Publications 800.53 Rev.5 and 800.171 Rev. 1, or as currently revised
  - v. Federal Information Security Management Act (“FISMA”)
  - vi. ISO/ IEC 27001
  - vii. Federal Risk and Authorization Management Program (“FedRamp”)
  - viii. NIST Special Publication 800-34 Revision 1 – “Contingency Planning Guide for Federal Information Systems.”
  - ix. International Organization for Standardization (ISO) 22301 – “Societal security – Business continuity management systems – Requirements.”
- (f) “Information Systems” means all computer hardware, databases and data storage systems, computer, data, database, and communications networks (other than the Internet), cloud platform, architecture interfaces and firewalls (whether for data, voice, video or other media access, transmission or reception) and other apparatus used to create, store, transmit, exchange or receive information in any form.
- (g) “Multi-Factor Authentication” means authentication through verification of at least two of the following types of authentication factors: (1) knowledge factors, such as a password; (2) possession factors, such as a token or text message on a mobile phone; (3) inherence factors, such as a biometric characteristic; or (4) any other industry standard and commercially accepted authentication factors.
- (h) “Nonpublic Information” includes:
  - i. Molina’s proprietary and/or confidential information.
  - ii. Personally Identifiable Information as defined under applicable state data security laws, including, without, limitation, “nonpublic personal information,” “personal data,” “personally identifiable information,” “personal information” or any other similar term as defined pursuant to any applicable law; and

- iii. Protected Health Information as defined under HIPAA and HITECH.
2. Information Security and Cybersecurity Measures. Provider shall implement, and at all times maintain, appropriate administrative, technical, and physical measures to protect and secure the Information Systems, as well as Nonpublic Information stored thereon, and Molina Information that are accessible to, or held by, Provider. Such measures shall conform to generally recognized industry standards and best practices and shall comply with applicable privacy and data security laws, including implementing and maintaining administrative, technical, and physical safeguards pursuant to HIPAA, HITECH, and other applicable U.S. federal, state, and local laws.
- (a) Policies, Procedures, and Practices. Provider must have policies, procedures and practices that address its information security and cybersecurity measures, safeguards, and standards, including as applicable, a written information security program, which Molina shall be permitted to audit via a written request, and which shall include at least the following:
    - i. Access Controls. Access controls, including Multi-Factor Authentication, to limit access to the Information Systems and Molina Information accessible to or held by the Provider.
    - ii. Encryption. Use of encryption to protect Molina Information, in transit and at rest, accessible to or held by Provider.
    - iii. Security. Safeguarding the security of the Information Systems and Molina Information accessible to or held by Provider, which shall include hardware and software protections such as network firewall provisioning, intrusion and threat detection controls designed to protect against malicious code and/or activity, regular (three or more annually) third party vulnerability assessments, physical security controls, and personnel training programs that include phishing recognition and proper data management hygiene.
    - iv. Software Maintenance. Software maintenance, support, updates, upgrades, third-party software components, and bug fixes ensure that the software is and remains secure from vulnerabilities in accordance with the applicable Industry Standards.
  - (b) Technical Standards. Provider shall comply with the following requirements and technical standards related to network and data security:
    - i. Network Security. Network security shall conform to generally recognized industry standards and best practices. Generally recognized industry standards include but are not limited to, the applicable Industry Standards.
    - ii. Cloud Services Security: If the Provider employs cloud technologies, including infrastructure as a service (IaaS), software as a service (SaaS), or platform as a service (PaaS), for any services, the Provider shall adopt a “zero-trust architecture” satisfying the requirements described in NIST 800-207 (or any successor cybersecurity framework thereof).

- iii. Data Storage. Provider agrees that all Molina Information will be stored, processed, and maintained solely on designated target servers or cloud resources. No Molina Information at any time will be processed on or transferred to any portable or laptop computing device or any portable storage medium unless that device or storage medium is in use as part of the Provider's designated backup and recovery processes and is encrypted in accordance with the requirements set forth herein.
  - iv. Data Encryption. Provider agrees to store all Molina Information as part of its designated backup and recovery processes in encrypted form, using a commercially supported encryption solution. Provider further agrees that any and all Molina Information, stored on any portable or laptop computing device or any portable storage medium be likewise encrypted. Encryption solutions will be deployed with no less than a 128-bit key for symmetric encryption, a 1024 (or larger) bit key length for asymmetric encryption, and the Federal Information Processing Standard Publication 140-2 ("FIPS PUB 140-2").
  - v. Data Transmission. Provider agrees that all electronic transmission or exchange of system and application data with Molina and/or any other parties expressly designated by Molina shall take place via secure means (using HTTPS or SFTP or equivalent) and solely in accordance with FIPS PUB 140-2 and the Data Re-Use requirements set forth herein.
  - vi. Data Re-Use. Provider agrees that all Molina Information exchanged shall be used expressly and solely for the purposes enumerated in the Provider Agreement and this section. Data shall not be distributed, repurposed, or shared across other applications, environments, or business units of the Provider. Provider further agrees that no Molina Information or data of any kind shall be transmitted, exchanged, or otherwise passed to other affiliates, contractors, or interested parties except on a case-by-case basis as specifically agreed to in advance and in writing by Molina.
3. Business Continuity ("BC") and Disaster Recovery ("DR"). The provider shall have documented procedures in place to ensure continuity of its business operations, including disaster recovery, in the event of an incident that has the potential to impact, degrade, or disrupt its delivery of services to Molina.
- (a) Resilience Questionnaire. Molina provides a questionnaire for the provider to complete to establish the Provider's resilience capabilities.
  - (b) BC/DR Plan.
    - i. Provider's procedures addressing continuity of business operations, including disaster recovery, shall be collected and/or summarized in a documented BC and DR plan or plans in written format ("BC/DR Plan"). The BC/DR Plan shall identify the service level agreement(s) established between Provider and Molina. The BC/DR Plan shall include the following:

- a) Notification, escalation, and declaration procedures.
  - b) Roles, responsibilities, and contact lists.
  - c) All Information Systems that support services provided to Molina.
  - d) Detailed recovery procedures in the event of the loss of people, processes, technology, and/or third parties or any combination thereof providing services to Molina.
  - e) Recovery procedures in connection with a Cybersecurity Event, including ransomware.
  - f) Detailed list of resources to recover services to Molina, including but not limited to applications, systems, vital records, locations, personnel, vendors, and other dependencies.
  - g) Detailed procedures to restore services from a Cybersecurity Event including ransomware.
  - h) Documented risk assessment which shall address and evaluate the probability and impact of risks to the organization and services provided to Molina. Such risk assessment shall evaluate natural, man-made, political, and cybersecurity incidents.
- ii. To the extent that Molina Information is held by Provider, Provider shall maintain backups of such Molina Information that are adequately protected from unauthorized alterations or destruction consistent with applicable Industry Standards.
  - iii. Provider shall develop information technology disaster recovery or systems contingency plans consistent with applicable Industry Standards and in accordance with all applicable laws.
- (c) **Notification.** Provider shall notify Molina’s Chief Information Security Officer by telephone and email (provided herein) as promptly as possible, but not to exceed 24 hours, of either of the following:
- i. Provider’s discovery of any potentially disruptive incident that may impact or interfere with the delivery of services to Molina or that detrimentally affects Provider’s Information Systems or Molina’s Information.
  - ii. Provider’s activation of business continuity plans. Provider shall provide Molina with regular updates by telephone or email (provided herein) on the situation and actions taken to resolve the issue until normal services have been resumed.
- (d) **BC and DR Testing.** For services provided to Molina, the Provider shall exercise its BC/DR Plan at least once each calendar year. Provider shall exercise its cybersecurity recovery procedures at least once each calendar year. At the conclusion of the exercise, the Provider shall provide Molina with a written report in electronic format upon request. At a minimum, the written report shall include the date of the test(s), objectives, participants, a description of activities performed, results of the activities, corrective actions identified, and modifications to plans based on the results of the exercise(s).

4. Cybersecurity Events.

- (a) Provider agrees to comply with all applicable data protection and privacy laws and regulations. Provider will implement best practices for incident management to identify, contain, respond to, and resolve Cybersecurity Events.
- (b) In the event of a Cybersecurity Event that threatens or affects Molina's Information Systems (in connection with Provider having access to such Information Systems); Provider's Information Systems; or Molina Information accessible to or held by Provider, Provider shall notify Molina's Chief Information Security Officer of such event by telephone and email as provided below (with follow-up notice by mail) as promptly as possible, but in no event later than 24 hours from Provider's discovery of the Cybersecurity Event.
  - i. If Provider makes a ransom or extortion payment in connection with a Cybersecurity Event that involves or may involve Molina Information, Provider shall notify Molina's Chief Information Security Officer (by telephone and email, with follow-up notice by mail) within 24 hours following such payment.
  - ii. Within 15 days of such a ransom payment that involves or may involve Molina Information, the Provider shall provide a written description of the reasons for which the payment was made, a description of alternatives to payment considered, a description of due diligence undertaken to find alternatives to payment, and evidence of all due diligence and sanctions checks performed in compliance with applicable rules and regulations, including those of the Office of Foreign Assets Control.
- (c) Notification to Molina's Chief Information Security Officer shall be provided to:  
Molina Chief Information Security Officer  
Telephone: (844) 821-1942  
Email: [CyberIncidentReporting@Molinahealthcare.com](mailto:CyberIncidentReporting@Molinahealthcare.com)  
Molina Chief Information Security Officer  
Molina Healthcare, Inc.  
200 Oceangate Blvd., Suite 100  
Long Beach, CA 90802
- (d) In the event of a Cybersecurity Event, Provider will, at Molina's request, (i) fully cooperate with any investigation concerning the Cybersecurity Event by Molina, (ii) fully cooperate with Molina to comply with applicable law concerning the Cybersecurity Event, including any notification to consumers, and (iii) be liable for any expenses associated with the Cybersecurity Event including without limitation: (a) the cost of any required legal compliance (e.g., notices required by applicable law), and (b) the cost of providing two (2) years of credit monitoring services or other assistance to affected consumers. In no event will Provider serve any notice of or otherwise publicize a Cybersecurity Event involving Molina Information without the prior written consent of Molina

- (e) Following notification of a Cybersecurity Event, Provider must promptly provide Molina any documentation requested by Molina to complete an investigation, or, upon request by Molina, complete an investigation pursuant to the following requirements:
- i. decide as to whether a Cybersecurity Event occurred.
  - ii. assess the nature and scope of the Cybersecurity Event.
  - iii. identify Molina’s Information that may have been involved in the Cybersecurity Event; and
  - iv. perform or oversee reasonable measures to restore the security of the Information Systems compromised in the Cybersecurity Event to prevent further unauthorized acquisition, release, or use of Molina Information.
- (f) The Provider must provide Molina with the following required information regarding a Cybersecurity Event in electronic form. Provider shall have a continuing obligation to update and supplement the initial and subsequent notifications to Molina concerning the Cybersecurity Event. The information provided to Molina must include at least the following, to the extent known:
- i. the date of the Cybersecurity Event.
  - ii. a description of how the information was exposed, lost, stolen, or breached.
  - iii. how the Cybersecurity Event was discovered.
  - iv. whether any lost, stolen, or breached information has been recovered, and, if so, how this was done.
  - v. the identity of the source of the Cybersecurity Event;
  - vi. whether the Provider has filed a police report or has notified any regulatory, governmental, or law enforcement agencies and, if so, when such notification was provided.
  - vii. a description of the specific types of information accessed or acquired without authorization, which means particular data elements including, for example, types of medical information, types of financial information, or types of information allowing identification of the consumer.
  - viii. the period during which the Information System was compromised by the Cybersecurity Event.
  - ix. the number of total consumers in each State affected by the Cybersecurity Event.
  - x. the results of any internal review identifying a lapse in either automated controls or internal procedures or confirming that all automated controls or internal procedures were followed.
  - xi. a description of efforts being undertaken to remediate the situation that permitted the Cybersecurity Event to occur.
  - xii. a copy of Provider’s privacy policy and a statement outlining the steps Provider will take to investigate and if requested by Molina, the steps that Provider will take to notify consumers affected by the Cybersecurity Event; and

- xiii. the name of a contact person who is familiar with the Cybersecurity Event and authorized to act on behalf of the Provider.
  - (g) Provider shall maintain records concerning all Cybersecurity Events for a period of at least five (5) years from the date of the Cybersecurity Event or such longer period as required by applicable laws and produce those records upon Molina’s request.
- 5. Right to Conduct Assessments; Provider Warranty. Provider agrees to fully cooperate with any security risk assessments performed by Molina and/or any designated representative or vendor of Molina. Provider agrees to promptly provide accurate and complete information with respect to such security risk assessments. If Molina performs a due diligence/security risk assessment of Provider, Provider (i) warrants that the services provided pursuant to the Provider Agreement will be in compliance with generally recognized industry standards and as provided in Provider's response to Molina’s due diligence/security risk assessment questionnaire; (ii) agrees to inform Molina promptly of any material variation in operations from what was provided in Provider’s response to Molina’s due diligence/security risk assessment; and (iii) agrees that any material deficiency in operations from those as described in the Provider’s response to Molina’s due diligence/security risk assessment questionnaire may be deemed a material breach of the Provider Agreement.
- 6. Other Provisions. The Provider acknowledges that there may be other information security and data protection requirements applicable to It in the performance of services, which may be addressed in an agreement between Molina and the Provider but are not contained in this section.
- 7. Conflicting Provisions. In the event of any conflict between the provisions of this section and any other agreement between Molina and Provider, the stricter of the conflicting provisions will be controlled.

## Disclosures required by the Consolidated Appropriations Act of 2021

The Consolidated Appropriations Act of 2021 (the “Act”), Section 201, prohibits Molina from entering into agreements with health care providers or facilities that would directly or indirectly restrict Molina from:

1. Providing Provider-specific cost or quality of care information or data to referring Providers, plan sponsors, participants, beneficiaries, or Enrollees, or individuals eligible to become participants, beneficiaries, or Enrollees of the plan or coverage; or
2. Electronically accessing de-identified Claim and Encounter data for each participant, beneficiary, or enrollee; or
3. Sharing such information, consistent with applicable privacy laws.

Therefore, to the extent that your Provider Agreement with Molina contains a Confidentiality provision (or similar provision that requires the protection of information under the

agreement), it can't be interpreted to prohibit Molina from making any disclosures that are required by the Act, and Molina may disclose any information required to be in compliance with Section 201 of the Act.

## Standards for downstream and delegated entities

1.1 **Definitions.** The following definitions apply only in this attachment:

- a. **Delegated Entity** means any party that enters into an agreement with a qualified health plan ("QHP") issuer to provide administrative services or health care services to qualified individuals and their dependents.
- b. **Downstream Entity** means any party that enters into an agreement with a Delegated Entity or with another downstream entity for purposes of providing administrative or health care services related to the agreement between the Delegated Entity and the QHP issuer. The term is intended to reach the entity that directly provides administrative services or health care services to qualified individuals and their dependents.

Consistent with the above definitions, the Provider is a Delegated Entity, and the Health Plan is a QHP issuer.

1.2 **Health Plan Accountability.** Notwithstanding any relationship the Health Plan may have with Provider, as Delegated Entity, and any Downstream Entity, the Health Plan maintains responsibility for its compliance, as well as the compliance of the Provider and any Downstream Entity, with all applicable standards enumerated at 45 CFR 156.340(a).

1.3 **Delegated Entity and Downstream Entity Compliance.** If any of the Health Plan's issuer activities and obligations, in accordance with 45 CFR 156.340(a), are delegated to Provider, then Provider, as Delegated Entity, agrees to the following. Provider further agrees that it will require the same of any Downstream Entities. (45 CFR 156.340(b))

- a. **Standards for Downstream and Delegated Entities.** The Agreement, including, when applicable, any delegated services attachment/addendum, specifies the delegated activities and reporting responsibilities. (45 CFR 156.340(b)(1))
- b. **Revocation of Delegated Activities.** In the event the United States Department of Health and Human Services ("HHS") or Health Plan determines, in its sole discretion, that the Provider or any Downstream Entity has not performed the delegated activities and reporting obligations satisfactorily, consistent with applicable standards enumerated at 45 CFR 156.340(a), then the delegated activities and reporting obligations shall be revoked. The foregoing does not preclude the employment of other remedies in lieu of revocation of the delegated activities or reporting responsibilities if deemed appropriate by HHS or Health Plan, as applicable. (45 CFR 156.340(b)(2))

- c. **Compliance with Laws.** Provider will perform such activities and obligations in compliance with all applicable laws and regulations relating to the standards specified in 45 CFR 156.340(a). (45 CFR 156.340(b)(3))
  - d. **Right to Audit.** Provider and any Downstream Entity shall permit access to the relevant Health Insurance Marketplace authority, the Secretary of HHS, and the Office of the Inspector General, or their designees, to evaluate through audit, inspection, or other means, Provider's or Downstream Entity's books, contracts, computers, or other electronic systems, including medical records and documentation, relating to Health Plan's obligations in accordance with the standards enumerated at 45 CFR 156.340(a), as applicable, until ten (10) years from the final date of the Agreement period. (45 CFR 156.340(b)(4)-(5))
- 1.4 **Privacy and Security of Personally Identifiable Information.** Provider must adhere to privacy and security standards and obligations to which Health Plan has agreed to in its contract or agreement with the Health Insurance Marketplace authority, as applicable. (45 CFR 155.260(b)(2)(v))

## 13. Claims and compensation

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|----------------------------------|--|
| <b>Payer ID</b>                  | <b>38336</b>   |
| <b>Availity portal</b>           | <a href="https://provider.MolinaHealthcare.com">provider.MolinaHealthcare.com</a>                                  |
| <b>Clean Claim Timely Filing</b> | <b>180 calendar days after the discharge for inpatient services or the Date of Service for outpatient services</b> |

### Electronic Claim submission

Molina strongly encourages participating Providers to submit Claims electronically, including secondary Claims. Electronic Claim submission provides significant benefits to the Provider including:

- Helps to reduce operation costs associated with paper Claims (printing, postage, etc.).
- Increases accuracy of data and efficient information delivery.
- Reduces Claim delays since errors can be corrected and resubmitted electronically.
- Eliminates mailing time and Claims to reach Molina faster.

#### **Molina offers the following electronic Claim submission options:**

- Submit Claims directly to Molina via the [Availity](#) portal.
- Submit Claims to Molina via your regular EDI clearinghouse using Payer ID 38336.

### Availity portal

The [Availity](#) portal is a no-cost online platform that offers a number of Claim processing features:

- Submit Professional (CMS-1500) and Institutional (CMS-1450 [UB04]) Claims with attached files.
- Correct/void Claims.
- Add attachments to previously submitted Claims.
- Check Claim status.
- View ERA and EOP.
- Create and manage Claim templates.
- Create and submit a Claim appeal with attached files.

### Clearinghouse

Molina uses the SSI Group as its gateway clearinghouse. The SSI Group has relationships with hundreds of other clearinghouses. Typically, Providers can continue to submit Claims to their usual clearinghouse.

If you do not have a clearinghouse, Molina offers additional electronic Claim submission options as shown by logging on to the [Availity](#) portal.

Molina accepts EDI transactions through our gateway clearinghouse for Claims via the 837P for Professional and 837I for institutional. It is important to track your electronic transmissions using your acknowledgment reports. The reports ensure that Claims are received for processing in a timely manner.

#### **When your Claims are filed via a clearinghouse:**

- You should receive a 999 acknowledgment from your clearinghouse.
- You should also receive a 277CA response file with the initial status of the Claim from your clearinghouse.
- You should refer to the Molina Companion Guide for information on the response format and messages.
- You should contact your local clearinghouse representative if you experience any problems with your transmission.

### **EDI Claim submission issues**

Providers experiencing EDI Submission issues should work with their clearinghouse to resolve them. If the clearinghouse is unable to resolve the issue, the Provider should contact their Provider Relations representative for additional support.

### **Timely Claim filing**

Providers shall promptly submit to Molina Claims for covered services rendered to Members. All Claims shall be submitted in a form acceptable to and approved by Molina and shall include all medical records pertaining to the Claim if requested by Molina or otherwise required by Molina's policies and procedures. Claims must be submitted by the Provider to Molina within 180 days after the discharge for inpatient services or the date of service for outpatient services. If Molina is not the primary payer under coordination of benefits or third-party liability, the Provider must submit Claims to Molina within 180 calendar days after final determination by the primary payer. Except as otherwise provided by law or provided by government program requirements, any Claims that are not submitted to Molina within these timelines shall not be eligible for payment, and the Provider hereby waives any right to payment.

### **Claim submission**

Participating Providers are required to submit Claims to Molina with appropriate documentation. Providers must follow the appropriate state and CMS Provider billing guidelines. Providers must utilize electronic billing through a clearinghouse or the [Availity](#) portal whenever possible and use current HIPAA-compliant American National Standards Institute (ANSI) X 12N format (e.g., 837I for institutional Claims, 837P for professional Claims, and 837D for dental Claims) and use electronic Payer ID number: 38336. For Members assigned to a delegated medical group/IPA that processes its own Claims, please verify the Claim submission instructions on the Molina Member ID card.

Providers must bill Molina for services with the most current CMS-approved diagnostic and procedural coding available as of the date the service was provided or, for inpatient facility Claims, the date of discharge.

## National Provider Identifier (NPI)

A valid NPI is required on all Claim submissions. Providers must report any changes in their NPI or subparts to Molina as soon as possible, not to exceed 30 calendar days from the change. Molina supports the CMS recommendations around NPPES data verification and encourages our Provider network to verify Provider data via [nppes.cms.hhs.gov](http://nppes.cms.hhs.gov). Molina may validate the NPI submitted in a Claim transaction is a valid NPI and is recognized as part of the NPPES data.

## Required elements

Electronic submitters should use the Implementation Guide and Molina Companion Guide for format and code set information when submitting or receiving files directly with Molina. In addition to the Implementation Guide and Companion Guide, electronic submitters should use the appropriate state-specific Companion Guides and Provider Manuals. These documents are subject to change as new information is available. Please check the Molina website at [MolinaMarketplace.com/marketplace](http://MolinaMarketplace.com/marketplace) under EDI>Companion Guides for regularly updated information regarding Molina's companion guide requirements. Be sure to choose the appropriate state from the drop-down list at the top of the page. In addition to the Molina Companion Guide, it is also necessary to use the state health plan-specific companion guides, which are also available on our Molina website for your convenience (remember to choose the appropriate state from the drop-down list).

Electronic Claim submissions will adhere to specifications for submitting medical Claims data in standardized Accredited Standards Committee (ASC) X12N 837 formats. Electronic Claims are validated for compliance with Strategic National Implementation Process (SNIP) levels 1 to 5.

The following information must be included on every Claim whether electronic or paper:

- Member name, date of birth, and Molina Member ID number
- Member's gender
- Member's address
- Date(s) of service
- Valid International Classification of Diseases diagnosis and procedure codes
- Valid revenue, CPT, or HCPCS for services or items provided
- Valid Diagnosis Pointers
- Total billed charges
- Place and type of service code (anesthesia Claims require minutes)
- Days or units as applicable
- Provider tax identification number (TIN)
- 10-digit NPI or Atypical Provider Identifier (API)

- Rendering Provider information when different than billing
- Billing/Pay-to-Provider name and billing address
- Place of service and type (for facilities)
- Disclosure of any other health benefit plans
- National Drug Code (NDC), NDC Units, Units of Measure and Days or Units for medical injectables
- E-signature
- Service facility location information
- Any other state-required data

Provider and Member data will be verified for accuracy and active status. Be sure to validate this data in advance of Claim submission. This validation will apply to all Provider data submitted and applies to atypical and out-of-state Providers.

Inaccurate, incomplete, or untimely submissions and re-submissions may result in denial of the Claim.

### EDI (clearinghouse) submission

Corrected Claim information submitted via EDI submission is required to follow electronic Claim standardized ASC X12N 837 formats. Electronic Claims are validated for compliance with SNIP levels 1 to 5. The 837 Claim format allows you to submit changes to Claims that were not included in the original adjudication.

The 837 Implementation Guides refer to the National Uniform Billing Data Element Specifications Loop 2300 CLM05-3 for explanation and usage. In the 837 formats, the codes are called “Claim frequency codes.” Using the appropriate code, you can indicate that the Claim is an adjustment of a previously submitted finalized Claim. Use the below frequency codes for Claims that were previously adjudicated.

| Claim frequency code | Description                                    | Action   |
|----------------------|--|--|
| 7                    | Use to replace an entire Claim.                | Molina will adjust the original Claim. The corrections submitted represent a complete replacement of the previously processed Claim. |
| 8                    | Use to eliminate a previously submitted Claim. | Molina will void the original Claim from records based on request.   |

When submitting Claims noted with Claim frequency code 7 or 8, the original Claim number must be submitted in Loop 2300 REF02 – Payer Claim Control Number with qualifier F8 in REF01. The original Claim number can be obtained from the 835 ERA. Without the original

Claim number, adjustment requests will generate a compliance error, and the Claim will be rejected.

Claim corrections submitted without the appropriate frequency code will be denied as duplicates, and the original Claim number will not be adjusted.

## Paper Claim submissions

Participating Providers should submit Claims electronically. If electronic Claim submission is not possible, please submit paper Claims to the following address:

Molina Healthcare of Washington  
PO Box 22612  
Long Beach, CA 90801

When submitting paper Claims:

- Paper Claim submissions are not considered to be “accepted” until received at the appropriate Claims PO Box; Claims received outside of the designated PO Box will be returned for appropriate submission.
- Paper Claims are **required** to be submitted on original red and white CMS-1500 and CMS-1450 (UB-04) Claim forms.
- Paper Claims not submitted on the required forms will be rejected and returned. This includes black and white forms, copied forms and any altering to include Claims with handwriting.
- Claims must be typed with either 10 or 12-point Times New Roman font, using black ink.
- Link to paper Claim submission guidance from CMS:  
[cms.gov/Medicare/Billing/ElectronicBillingEDITrans/1500](https://www.cms.gov/Medicare/Billing/ElectronicBillingEDITrans/1500)

## Corrected Claim process

Providers may correct any necessary field of the CMS-1500 and CMS-1450 (UB-04) forms.

Molina strongly encourages participating Providers to submit Corrected Claims electronically via EDI or the [Availity](#) portal.

All Corrected Claims:

- Must be free of handwritten or stamped verbiage (paper Claims).
- Must be submitted on a standard red and white CMS-1450 (UB-04) or CMS-1500 Claim form (paper Claims).
- Original Claim number must be inserted in field 64 of the CMS-1450 (UB-04) or field 22 of the CMS-1500 of the paper Claim, or the applicable 837 transaction loop for submitting corrected claims electronically.
- The appropriate frequency code/resubmission code must also be billed in field 4 of the CMS-1450 (UB-04) and 22 of the CMS-1500.

Note: The frequency/resubmission codes can be found in the National Uniform Claim Committee (NUCC) manual for CMS-1500 Claim forms or the Uniform Billing (UB) Editor for CMS-1450 (UB-04) Claim forms.

Corrected Claims must be sent within 180 calendar days of the most recent adjudicated date of the Claim.

**Corrected Claim submission options:**

- Submit corrected Claims directly to Molina via the [Availity](#) portal.
- Submit corrected Claims to Molina via your regular EDI clearinghouse.

**Coordination of benefits (COB) and third-party liability (TPL)**

Our benefit plans are subject to subrogation and COB rules.

Subrogation — Molina retains the right to recover benefits paid for a Member’s health care services when a third party is responsible for the Member’s injury or illness to the extent permitted under state and federal law and the Member’s benefit plan. If third-party liability is suspected or known, please refer pertinent case information to Molina’s vendor, Optum, at [submitreferrals@optum.com](mailto:submitreferrals@optum.com).

COB — Coordination of Benefits (COB) exists when an individual has more than one policy at the same time and order of benefits are established pursuant to national and/or state guidelines. Primary payers should be billed prior to claim submission to secondary/tertiary payers to cover any remaining liability.

Workers’ Compensation—Workers' compensation is the primary payer when a Member's damages are related to an incident that occurred while working. Claims related to a workers' compensation incident should be submitted to the carrier prior to being submitted to Molina for payment.

Medicare — Medicare is the primary payer for covered services and providers accepting Medicare assignments except in the following instances:

- Members Entitled to Medicare due to Age: Commercial health plans are primary to Medicare if the employer has 20 or more employees, and the Member is actively working.
- Disabled employees (large group health plan): Commercial health plans are primary to Medicare if the employer has 100 or more employees, and the Member is actively working.
- End-Stage Renal Disease (ESRD): If a Member is entitled to Medicare due to ESRD while covered under an employer’s group health plan, a commercial group health plan is primary for the first 30 months after becoming eligible for Medicare. After the 30 months, Medicare is the primary payer. However, if the commercial group health plan was secondary to Medicare when the Member became entitled due to ESRD, Medicare will remain the primary payer, and no 30-month coordination period is required.

## Hospital-acquired conditions (HAC) and present on admission (POA) program

The Deficit Reduction Act of 2005 (DRA) mandated that Medicare establish a program that would modify reimbursement for fee-for-service beneficiaries when certain conditions occurred as a direct result of a hospital stay that could have been reasonably prevented using evidence-based guidelines. CMS titled the program “Hospital—Acquired Conditions and Present on Admission Indicator Reporting.”

The following is a list of CMS hospital-acquired conditions. CMS reduces payment for hospitalizations complicated by these categories of conditions that were not present on admission:

1. Foreign Object Retained After Surgery
2. Air Embolism
3. Blood Incompatibility
4. Stage III and IV Pressure Ulcers
5. Falls and Trauma
  - a) Fractures
  - b) Dislocations
  - c) Intracranial Injuries
  - d) Crushing Injuries
  - e) Burn
  - f) Other Injuries
6. Manifestations of Poor Glycemic Control
  - a) Diabetic Ketoacidosis
  - b) Nonketotic Hyperosmolar Coma
  - c) Hypoglycemic Coma
  - d) Secondary Diabetes with Ketoacidosis
  - e) Secondary Diabetes with Hyperosmolarity
7. Catheter-Associated Urinary Tract Infection (UTI)
8. Vascular Catheter-Associated Infection
9. Surgical Site Infection, Mediastinitis, Following Coronary Artery Bypass Graft (CABG)
10. Surgical Site Infection Following Bariatric Surgery Procedures for Obesity:
  - a) Laparoscopic Gastric Bypass
  - b) Gastroenterostomy
  - c) Laparoscopic Gastric Restrictive Surgery
11. Surgical Site Infection Following Certain Orthopedic Procedures:
  - a) Spine
  - b) Neck
  - c) Shoulder
  - d) Elbow
12. Surgical Site Infection Following Cardiac Implantable Electronic Device (CIED)
13. Deep Vein Thrombosis (DVT)/Pulmonary Embolism (PE) Following Certain Orthopedic Procedures:

- a) Total Knee Replacement
  - b) Hip Replacement
14. Iatrogenic Pneumothorax with Venous Catheterization

### What this means to Providers

- Acute Inpatient Prospective Payment System (IPPS) hospital Claims will be returned with no payment if the POA indicator is coded incorrectly or missing.
- No additional payment will be made on IPPS hospital Claims for conditions that are acquired during the patient's hospitalization.

For additional information on the Medicare HAC/POA program, including billing requirements, please refer to the CMS website at [cms.hhs.gov/HospitalAcqCond/](https://cms.hhs.gov/HospitalAcqCond/).

### Molina coding policies and payment policies

Frequently requested information on Molina's coding policies and payment policies is available on the [MolinaMarketplace.com](https://MolinaMarketplace.com) website under the Policies tab. Questions can be directed to your Provider Relations representative.

### Reimbursement guidance and payment guidelines

Providers are responsible for the submission of accurate Claims. Molina requires coding of both diagnoses and procedures for all Claims as follows:

- For diagnoses, the required coding schemes are the International Classification of Diseases, 10th Revision, and Clinical Modification (ICD-10-CM).
- For procedures:
  - Professional and outpatient Claims require the Healthcare Common Procedure Coding System, Current Procedural Terminology Level 1 (CPT codes), Level 2 and 3 (HCPCS codes).
  - Inpatient hospital Claims require International Classification of Diseases, 10th Revision, Procedure Coding System (ICD-10-PCS).

Furthermore, Molina requires that all Claims be coded in accordance with the HIPAA transaction code set guidelines and follow the guidelines within each code set.

Molina utilizes a Claim adjudication system that encompasses edits and audits that follow federal requirements as well as administers payment rules based on generally accepted principles of correct coding. These payment rules include, but are not limited to, the following:

- Manuals and Relative Value Unit (RVU) files published by CMS including:
  - NCCI edits, including procedure-to-procedure (PTP) bundling edits and Medically Unlikely Edits (MUE). If a professional organization has a more stringent/restrictive standard than a federal MUE, the professional organization standard may be used.
  - Medicare National Coverage Determinations (NCD).

- Medicare Local Coverage Determinations (LCD).
- CMS Physician Fee Schedule RVU indicators.
- CPT guidance published by the American Medical Association (AMA).
- ICD-10 guidance published by the National Center for Health Statistics.
- Other coding guidelines published by industry-recognized resources.
- Payment policies based on professional associations or other industry-recognized guidance for specific services. Such payment policies may be more stringent than federal guidelines.
- Molina policies based on the appropriateness of health care and medical necessity.
- Payment policies published by Molina.

### Telehealth Claims and billing

Providers must follow CMS guidelines as well as state-level requirements.

All telehealth Claims for Molina Members must be submitted to Molina with the correct codes for the plan type in accordance with applicable billing guidelines. For guidance, please refer to Molina's Telemedicine, Telehealth Services, and Virtual Visits policy at [MolinaMarketplace.com/marketplace/wa/en-us/Providers/Policies/benefit-interpretation-policies](https://MolinaMarketplace.com/marketplace/wa/en-us/Providers/Policies/benefit-interpretation-policies).

### National Correct Coding Initiative (NCCI)

CMS has directed all federal agencies to implement NCCI as a policy in support of Section 6507 of the Patient Affordable Care Act. Molina uses NCCI standard payment methodologies.

NCCI procedure-to-procedure edits prevent inappropriate payment of services that should not be bundled or billed together and promote correct coding practices. Based on the NCCI coding manual and CPT guidelines, some services/procedures performed in conjunction with an evaluation and management (E&M) code will bundle into the procedure when performed by the same physician, and separate reimbursement will not be allowed if the sole purpose for the visit is to perform the procedures. NCCI editing also includes Medically Unlikely Edits (MUE) which prevent payment for an inappropriate number/quantity of the same service on a single day. An MUE for a HCPCS/CPT code is the maximum number of units of service under most circumstances reportable by the same Provider for the same patient on the same date of service. Providers must correctly report the most comprehensive CPT code that describes the service performed, including the most appropriate modifier when required.

### General coding requirements

Correct coding is required to properly process Claims. Molina requires that all Claims be coded in accordance with the HIPAA transaction code set guidelines and follow the guidelines within each code set.

## CPT and HCPCS codes

Codes must be submitted in accordance with the chapter and code-specific guidelines set forth in the current/applicable version of the AMA CPT and HCPCS codebooks. To ensure proper and timely reimbursement, codes must be effective on the date of service for which the procedure or service was rendered, not the date of submission.

## Modifiers

Modifiers consist of two (2) alphanumeric characters and are appended to HCPCS/CPT codes to provide additional information about the services rendered. Modifiers may be appended only if the clinical circumstances justify the use of the modifier(s). For example, modifiers may be used to indicate whether a:

- Service or procedure has a professional component
- Service or procedure has a technical component
- Service or procedure was performed by more than one (1) physician
- Unilateral procedure was performed
- A bilateral procedure was performed
- Service or procedure was provided more than once
- Only part of a service was performed

Consult the AMA CPT and HCPCS code books for a complete listing of modifiers and their appropriate use.

## ICD-10-CM/PCS codes

Molina utilizes ICD-10-CM and ICD-10-PCS billing rules and will deny Claims that do not meet Molina's ICD-10 Claim submission guidelines. To ensure proper and timely reimbursement, codes must be effective on the date of service for which the procedure or service was rendered and not the date of submission. Refer to the ICD-10 CM/PCS Official Guidelines for Coding and Reporting on the proper assignment of principal and additional diagnosis codes.

## Place of service (POS) codes

POS codes are two (2)-digit codes placed on health care professional Claims (CMS 1500) to indicate the setting in which a service was provided. CMS maintains POS codes used throughout the health care industry. The POS should be indicative of where that specific procedure/service was rendered. If billing multiple lines, each line should indicate the POS code for the procedure/service on that line.

## Type of bill

The type of bill is a four (4)-digit alphanumeric code that gives three (3) specific pieces of information after the first digit, a leading zero. The second digit identifies the type of facility. The third classifies the type of care. The fourth indicates the sequence of this bill in this episode

of care, also referred to as a “frequency” code. For a complete list of codes, reference the National Uniform Billing Committee’s (NUBC) Official CMS-1450 (UB-04) Data Specifications Manual.

### Revenue codes

Revenue codes are four (4)-digit codes used to identify specific accommodation and/or ancillary charges. There are certain revenue codes that require CPT/HCPCS codes to be billed. For a complete list of codes, reference the NUBC’s Official CMS-1450 (UB-04) Data Specifications Manual.

### Diagnosis-related group (DRG)

Facilities contracted to use DRG payment methodology submit Claims with DRG coding. Claims submitted for payment by DRG must contain the minimum requirements to ensure accurate Claim payment.

Molina processes DRG Claims through DRG software. If the submitted DRG and system-assigned DRG differ, the Molina-assigned DRG will take precedence. Providers may appeal with medical record documentation to support the ICD-10-CM principal and secondary diagnoses (if applicable) and/or the ICD-10-PCS procedure codes (if applicable). If the Claim cannot be grouped due to insufficient information, it will be denied and returned for lack of sufficient information.

### National Drug Code (NDC)

The NDC number must be reported on all professional and outpatient Claims when submitted on the CMS-1500 Claim form, CMS-1450 (UB-04), or its electronic equivalent.

Providers will need to submit Claims with both HCPCS and NDC codes with the exact NDC number that appears on the medication packaging in the 5-4-2 digit format (i.e., xxxxx-xxxx-xx) as well as the NDC units and descriptors. Claims submitted without the NDC number will be denied.

## Coding sources

### Definitions

CPT – Current Procedural Terminology 4th Edition; an American Medical Association (AMA) maintained uniform coding system consisting of descriptive terms and codes that are used primarily to identify medical services and procedures furnished by physicians and other health care professionals. There are three (3) types of CPT codes:

- Category I Code – Procedures/Services
- Category II Code – Performance Measurement
- Category III Code – Emerging Technology

HCPCS – HealthCare Common Procedural Coding System; a CMS-maintained uniform coding system consisting of descriptive terms and codes that are used primarily to identify procedure, supply, and durable medical equipment codes furnished by physicians and other health care professionals.

ICD-10-CM – International Classification of Diseases, 10th revision, Clinical Modification ICD-10-CM diagnosis codes are maintained by the National Center for Health Statistics, Centers for Disease Control (CDC) within the Department of Health and Human Services (HHS).

ICD-10-PCS - International Classification of Diseases, 10th revision, Procedure Coding System used to report procedures for inpatient hospital services.

## Claim auditing

Molina shall use established industry claims adjudication, clinical practices, state and federal guidelines, and/or Molina’s policies and data to determine the appropriateness of the billing, coding, and payment.

The Provider acknowledges Molina’s right to conduct pre-and post-payment billing audits. The Provider shall cooperate with Molina’s Special Investigations Unit and audits of Claims and payments by providing access at reasonable times to requested Claims information, the Provider’s charging policies, and other related data as deemed relevant to support the transactions billed. Additionally, Providers are required, by contract and in accordance with the Provider Manual, to submit all supporting medical records/documentation as requested. Failure to do so in a timely manner may result in an audit failure and/or denial, resulting in an overpayment.

In reviewing medical records for a procedure, Molina reserves the right, where unprohibited by regulation, to select a statistically valid random sample or a smaller subset of the statistically valid random sample. This gives an estimate of the proportion of Claims Molina paid in error. The estimated proportion, or error rate, may be extrapolated across all Claims to determine the amount of overpayment.

Provider audits may be telephonic, an on-site visit, internal Claim review, client-directed/regulatory investigation and/or compliance reviews and may be vendor-assisted. Molina asks that you provide Molina, or Molina’s designee, during normal business hours, access to examine, audit, scan, and copy all records necessary to determine compliance and accuracy of billing.

If Molina’s Special Investigations Unit suspects fraudulent or abusive activity, we may conduct an on-site audit without notice. If you refuse to allow access to your facilities, Molina reserves the right to recover the full amount paid or due to you.

## Timely Claim processing

Claims processing will be completed for contracted Providers in accordance with the timeliness provisions set forth in the Provider Agreement with Molina. Unless the Provider and Molina or the contracted medical group/IPA have agreed in writing to an alternate schedule, Molina will process the Claim for service as follows:

- 95% of the monthly volume of “clean” Claims will be adjudicated within 30 calendar days of receipt by Molina. A “clean” Claim has no defect, impropriety, lack of any required substantiating documentation, or circumstance requiring special treatment that prevents timely payment.
- 95% of the monthly volume of Claims shall be paid or denied within 60 calendar days of receipt by Molina.
- 99% of all Claims shall be paid or denied within 90 calendar days of receipt by Molina.

The receipt date of a Claim is the date Molina receives notice of the Claim.

## Electronic Claim payment

Participating Providers are required to enroll for EFT and ERA. Providers who enroll in EFT payments will automatically receive ERAs as well. EFT/ERA services allow Providers to reduce paperwork and provide searchable ERAs, and Providers receive payment and ERA access faster than the paper check and RA processes. There is no cost to the Provider for EFT enrollment and Providers are not required to be in-network to enroll. Molina uses a vendor to facilitate the HIPAA-compliant EFT payment and ERA delivery. Additional information about EFT/ERA is available at [MolinaMarketplace.com](https://MolinaMarketplace.com) or by contacting the Molina Provider Contact Center.

## Overpayments and incorrect payments refund requests

Molina requires network Providers to report when they have received an overpayment, return the overpayment within 60 calendar days after the date on which the overpayment was identified, and notify Molina in writing of the reason for the overpayment.

If, because of a retroactive review of the Claim payment, Molina determines that it has made an overpayment to a Provider for services rendered to a Member, it will make a claim for such overpayment. Providers will receive an Overpayment Request Letter if the overpayment is identified in accordance with state and CMS guidelines. Providers will be given the option to either:

1. Submit a refund to satisfy Overpayment
2. Submit a request to offset future Claim payments
3. Dispute Overpayment findings

The Availity portal has a copy of the overpayment request letter and details. In the Overpayment Application section, Providers can inquire, contest an overpayment with

supporting documentation, resolve an overpayment, or check status. This is Molina's preferred method of communication.

Instructions will be provided on the Overpayment Notice and overpayments will be adjusted and reflected in your remittance advice. The letter timeframes are Molina standards and may vary depending on applicable state guidelines and contractual terms.

Overpayments related to TPL/COB will contain primary insurer information necessary for rebilling, including the policy number, effective date, term date, and subscriber information. For Members with Commercial COB, Molina will provide notice within 270 days from the Claim's paid date if the primary insurer is a commercial plan. For Members with Medicare COB Molina will provide notice within 540 days from the Claim's paid date if the primary insurer is a Medicare plan. A Provider may resubmit the Claim with an attached primary EOB after submission to the primary payer for payment. Molina will adjudicate the Claim and pay or deny the Claim in accordance with Claim processing guidelines.

A Provider shall pay a Claim for an overpayment made by Molina which the Provider does not contest or dispute within the specified number of days on the refund request letter mailed to the Provider. If a Provider does not repay or dispute the overpaid amount within the timeframe allowed Molina may offset the overpayment amount(s) against future payments made to the Provider.

Payment of a claim for overpayment is considered made on the date payment was received electronically transferred or otherwise delivered to Molina, or the date that the Provider receives a payment from Molina that reduces or deducts the overpayment.

### **Provider dispute resolution process**

Information on Claim disputes/reconsiderations and appeals is in the Complaints, Grievance, and Appeals Process section of this Provider Manual.

### **Balance billing**

The Provider is responsible for verifying eligibility and obtaining approval for those services that require prior authorization.

Providers agree that under no circumstance shall a Member be liable to the Provider for any sums that are the legal obligation of Molina to the Provider. Balance billing a Member for covered services is prohibited, except for the Member's applicable copayment, coinsurance, and deductible amounts.

A health care provider, health care facility, behavioral health emergency services provider, or air ambulance service provider may not request or require a patient at any time, for any procedure, service, or supply, to sign or otherwise execute by oral, written, or electronic

means, any document that would attempt to avoid, waive, or that would authorize a provider or facility to ask a patient to consent to waive their balance billing protections.

## Fraud, waste and abuse

Failure to report instances of suspected fraud, waste and abuse is a violation of the law and subject to the penalties provided by law. For additional information please refer to the **Compliance** section of this Provider Manual.

## Encounter data

Each Provider, capitated Provider, or organization delegated for Claim processing is required to submit Encounter data to Molina for all adjudicated Claims. The data is used for many purposes, such as regulatory reporting, rate setting, and risk adjustment, hospital rate setting, the QI program, and HEDIS® reporting.

Encounter data must be submitted at least once per month and within 365 days from the date of service to meet state and CMS encounter submission thresholds and quality measures. Encounter data must be submitted via HIPAA-compliant transactions, including the ANSI X12N 837I – Institutional, 837P—Professional, and 837D—Dental. Data must be submitted with claims-level detail for all non-institutional services provided.

Molina has a comprehensive automated and integrated Encounter data system capable of supporting all 837 file formats and proprietary formats if needed.

Providers must correct and resubmit any encounters that Molina rejects (non-HIPAA-compliant) or denies. Encounters must be corrected and resubmitted within 15 days of the rejection/denial.

Molina has created 837P, 837I, and 837D Companion Guides with the specific submission requirements available to Providers.

When Encounters are filed electronically Providers should receive two (2) types of responses:

- First, Molina will provide a 999 acknowledgment of the transmission
- Second, Molina will provide a 277CA response file for each transaction.

## Molina's Marketplace payment rate

Molina's Marketplace payment rate does not include any add-on payments, adjustments, or deductions that are only allowed for a Medicare Member, including but not limited to uncompensated disproportionate share hospital (DSH) payments, operating and capital DSH payments, operating and capital indirect medical education (IME) payments, direct graduate medical education expense payments and deductions for sequestration.

## 14. Complaints, grievance and appeals process

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### Member grievance and appeal process

Molina Members or Member's personal representatives have the right to file a grievance and/or submit an appeal through a formal process. This section addresses the identification, review, and resolution of Member grievances and appeals. Below is Molina's Member grievance and appeals process.

#### Grievance process

Grievance means a verbal or written complaint submitted by the Member or Member's representative regarding service delivery issues other than denial of payment for or non-provision of medical services, including dissatisfaction with medical care, waiting time for medical services, Provider or staff attitude or dissatisfaction with the service provided by Molina.

A Member or Member's representative can file a grievance with Molina if the Member is not happy with the way they were treated, the quality of care or services received, or the Member has problems getting care or billing issues. A Member may file a grievance in person, in writing, or by telephone. The Member/Member's representative must file the grievance within one hundred eighty (180) calendar days from the day the incident or action occurred which caused the dissatisfaction. If the Member needs help filing a grievance, they may call the Molina Member Contact Center. Members may file a grievance by:

Phone: (888) 858-3492

Molina Healthcare  
Attention: Grievance and Appeals Unit  
PO Box 4004  
Bothell, WA 98041-4004

Fax: (877) 814-0342

Email: [wamemberservices@MolinaHealthcare.com](mailto:wamemberservices@MolinaHealthcare.com)

Molina will keep grievances private. Molina will let the Member know we received the written grievance within 72 hours of the receipt of the grievance. Molina will resolve the Member grievance within 30 calendar days and notify the Member how it was resolved.

#### Appeal process

The Member, Provider, or an authorized representative may appeal an adverse benefit determination in writing or over the phone. The Member has the right to be represented in the appeal process by anyone they choose, including an attorney. The Member has up to 180 calendar days from the date they receive the adverse benefit determination to file an appeal.

The Member, Provider or authorized representative can submit any supporting documentation with the appeal. Written appeals should be submitted to:

Molina Healthcare  
Attention: Grievance and Appeals Unit  
PO Box 4004  
Bothell, WA 98041-4004

Email: [wamemberservices@molinahealthcare.com](mailto:wamemberservices@molinahealthcare.com)

Fax: (877) 814-0342

If the Member needs assistance in preparing the appeal, they may contact the Molina Member Contact Center at (888) 858-3492. If the Member is hearing impaired, they may dial the National Relay Service at TTY/TDD 711.

If the Member wants their Provider or other designated representative to file an appeal on their behalf, the Member will need to give their signed, written permission, except in situations where an expedited review (faster decision) of an adverse benefit determination is being requested. In this situation, the Provider may act as the representative even if the Member has not formally notified Molina of the designation. Molina will send you a letter acknowledging receipt of your appeal within 72 hours of receiving your request.

If the request is to review an adverse benefit determination, Molina will continue to provide coverage for the disputed benefit pending the outcome of the review, if the Member is currently receiving services or supplies under the disputed benefit. If Molina denies the appeal, the Member may be responsible for the cost of coverage received during the review period. The decision at the external review level is binding unless other remedies are available under state or federal law.

There are two (2) types of internal appeals: standard and expedited. The Member may also have the right to an external appeal.

### **Standard appeal process**

- A standard appeal will be resolved, and notification will be sent, in writing, of the decision within 14 calendar days and 20 calendar days for appeals involving experimental and investigational procedures. Molina may extend the time up to 16 additional calendar days if notification is sent regarding the extension and the reason for the extension. This timeframe will not exceed 30 calendar days without written consent.

### **Expedited appeal process**

- The Member may request an expedited appeal of an adverse benefit determination if they are currently receiving or have been prescribed treatment or benefits that would end because of the adverse benefit determination, the Provider believes that a delay in treatment based on the standard review time may seriously jeopardize the Member's life,

overall health or ability to regain maximum function, or would subject the Member to severe and intolerable pain, or the adverse benefit determination is related to admission, availability of care, continued stay, or emergency health care services and the Member has not been discharged from the emergency room or transport service.

- If the Member's request for an expedited appeal qualifies as expedited, Molina will review the request and decide preferably within 24 hours, but in no case later than 72 hours after initial contact with Molina.

### **External appeal process**

- Within 180 calendar days after the Member receives Molina's final internal adverse benefit determination, they may request an external review from an independent review organization (IRO). External review can also be requested if Molina waives the exhaustion requirement or if Molina has not responded to the request for an internal review or appeal within the required time periods.
- Requests for an External Appeal must be in writing and sent to:  
Molina Healthcare  
Attention: Grievance and Appeals Unit  
PO Box 4004  
Bothell, WA 98041-4004  
  
Email: [wamemberservices@molinahealthcare.com](mailto:wamemberservices@molinahealthcare.com)
- Molina will arrange for the review from an IRO and will provide the IRO contact information within 24 hours of selecting the IRO.
- A Member has the right to give information in support of their request and has five (5) business days from the request for an external review or appeal to submit any supporting written information to the IRO.
- For non-urgent cases, the IRO will notify the Member within the earlier of 15 calendar days after the IRO receives the necessary information or 20 calendar days of receipt of the request.
- The Member may request an expedited external review or appeal if they receive a final adverse benefit determination concerning an admission, availability of care, continued stay, or health care service for which they received emergency services and have not been discharged from the facility, or if they receive a final adverse benefit determination involving a medical condition for which the standard external review time would seriously jeopardize their life or health or jeopardize their ability to regain maximum function.
- If the external appeal is expedited, the IRO will notify the Member within 72 hours of its final review decision. If the notice is not in writing, the IRO must provide the Member with written confirmation of its final external review decision within 48 hours after the date of the decision.

### **Concurrent expedited appeal**

- A Member may also request a concurrent expedited review of an adverse benefit determination, which means that the internal appeal and the external appeal are handled at the same time. Requests for concurrent expedited appeal may be made in writing or by telephone at the numbers above.
- If the external appeal is expedited, the IRO will notify the Member within 72 hours of its final review decision. If the notice is not in writing, the IRO must provide the Member with written confirmation of its final external review decision within 48 hours after the date of the decision.

### **Washington State Office of the Insurance Commissioner**

If a Member has questions or concerns about the actions of the insurance company or agent or for information on Member rights to file an appeal, the member can contact the Washington State Office of the Insurance Commissioner's consumer protection hotline at 1-800-562-6900 or visit [insurance.wa.gov](http://insurance.wa.gov). The insurance commissioner protects and educates insurance consumers, advances the public interest, and provides fair and efficient regulation of the insurance industry.

The Washington State Office of the Insurance Commissioner's Consumer Protection Division is currently designated by the U.S. Department of Health and Human Services as the official ombudsman in the State of Washington for consumers who have questions or complaints about health care appeals. If the member has any questions or complaints, they may contact the Washington State Office of the Insurance Commissioner at:

Consumer Protection Division  
PO Box 40256  
Olympia, WA 98504-0256

Phone: (800) 562-6900 or (360) 725-7080  
TTY/TDD: (360) 586-0241  
Fax: (360) 586-2018  
Email [CAP@oic.wa.gov](mailto:CAP@oic.wa.gov)

### **Provider Appeal and dispute resolution process**

The Provider appeal and dispute resolution process (different from appeals on behalf of Members) offers recourse for Providers who are dissatisfied with the payment or denial of a Claim from Molina or any of its delegated medical groups/IPAs. For Appeals, Molina follows the [Best Practice Recommendation for Extenuating Circumstances](#).

In the event a Provider would like to appeal or dispute a Claim, the Provider may request this within 24 months of the date the original Claim was denied or payment intended to satisfy the Claim was made; or within 30 months after the date the Claim was denied or payment intended to satisfy the Claim was made if the request is related to coordination of benefits with another

carrier or entity responsible for payment of the Claim. Any request for review must be submitted to Molina in accordance with the requirements stated in this section.

Molina requires submission of your appeal or dispute through the options:

### **Availity portal**

To initiate an appeal or reconsideration, the provider must search for a claim on the Claims Status page. The provider selects the claim and then clicks "Dispute Claim" to initiate the process.

Next, the provider navigates to the Appeals page, where all initiated disputes are listed. To submit their appeal or reconsideration, the provider selects the actions icon and selects Complete Dispute Request. Note the use of the words "Appeals" and "Dispute" interchangeably.

A pop-up appears for the provider to complete their request by selecting the request reason, such as Reconsideration or Appeal, based on the type of issue. Please note that we do not accept attachments for Reconsiderations. If you need to submit documentation, please submit an Appeal.

The benefits of submitting your appeal or dispute request electronically via the [Availity](#) portal include:

- The Member, Claim number, and Provider information auto-populate in the form.
- Electronically attach chart notes or any other supporting documentation.
- Type additional information you would like included in the text box regarding your appeal or dispute request. Specify why the Provider believes the services should be compensated or adjusted. If the service was denied for no prior authorization/notification you must include the extenuating circumstances as to why the prior authorization was not obtained.
- In the case of coordination of benefits, include the name and mailing address of any entity that has disclaimed responsibility for payment including the denied explanation of benefits (EOB) from the carrier.
- Receive an electric acknowledgment letter immediately following submission.
- Free of charge, no more postage.

### **Fax or Mail**

The Provider Dispute Resolution Request form must be completed with your request via fax or mail.

Complete all elements of the Dispute Resolution Request form located at <https://www.molinamarketplace.com/marketplace/wa/en-us/Providers/Provider-Forms.aspx>. Include supporting medical records and any other required documentation for review of your request. Request forms that are incomplete or missing required information will not be

reviewed and will be returned to the Provider without review. Appeals or disputes submitted untimely will be denied.

If the appeal or dispute is regarding a Claim denied for no prior authorization, you must include the extenuating circumstance as to why authorization was not obtained. Extenuating Circumstances include the inability to know Member had Molina coverage, the inability to anticipate services in advance, inherent components where a service is essential to another, received misinformation from Molina, and untimely authorization decision from Molina. In the case of coordination of benefits, include the name and mailing address of any entity that has disclaimed responsibility for payment including the denied explanation of benefits (EOB). Include proof of due diligence, including dated eligibility confirmation from another payer, such as an eligibility screenshot and/or primary payer's EOB showing denied services or ineligibility of coverage.

Additional information regarding extenuating circumstances can be found under the [Best Practice Recommendation for Extenuating Circumstances](#).

**Fax:** (877) 814-0342

Mail:

Firstsource

Molina Provider Appeals & Disputes WA,

PO Box 182273,

Chattanooga, TN 37422

Molina has two (2) levels for the appeal or dispute process. Third-level requests will be denied as the process has been exhausted.

The Provider will be notified of Molina's decision within 60 days of receiving the request. Providers are reminded that they can NOT bill the Member when a denial for covered services is upheld.

## Reporting

Grievance and appeal trends are reported to the Quality Improvement and Health Equity Transformation Committee quarterly. This trend report includes a quantitative review of trends, qualitative or barriers analysis, and identification of interventions that address key drivers. An annual evaluation of grievance and appeal analysis is then completed and presented to the Quality Improvement and Health Equity Transformation Committee for evaluation. If required by the state or CMS, reporting is submitted to the appropriate agency as needed.

## 15. Credentialing and recredentialing

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The purpose of the Credentialing program is to assure that Molina Healthcare and its subsidiaries (Molina) network consists of quality Providers who meet clearly defined criteria and standards. It is the objective of Molina to provide superior health care to the community.

Additional information is available in the Credentialing Policy and Procedure which can be requested by contacting your Molina Provider Relations representative.

The decision to accept or deny a credentialing applicant is based upon primary source verification, secondary source verification and additional information as required. The information gathered is confidential and disclosure is limited to parties who are legally permitted to have access to the information under State and Federal Law.

The Credentialing program has been developed in accordance with state and federal requirements and the standards of the NCQA.

The Credentialing program is reviewed annually, revised and updated as needed.

### Non-discriminatory credentialing and re-credentialing

Molina does not make credentialing and re-credentialing decisions based on an applicant's race, ethnic/national identity, gender, gender identity, age, sexual orientation, ancestry, religion, marital status, health status, or patient types (e.g., Medicaid) in which the Practitioner specializes. This does not preclude Molina from including in its network Practitioners who meet certain demographic or specialty needs, for example, to meet the cultural needs of Members.

### Types of practitioners credentialed and re-credentialed

Practitioners and groups of Practitioners with whom Molina contracts must be credentialed prior to the contract being implemented.

Practitioner types requiring credentialing include but are not limited to:

- Acupuncturists
- Addiction medicine specialists
- Audiologists
- Behavioral health care practitioners who are licensed, certified, or registered by the State to practice independently
- Chiropractors
- Clinical Social Workers
- Dentists
- Doctoral or master's-level psychologists
- Licensed/Certified Midwives (Non-Nurse)
- Massage Therapists

- Master’s-level clinical social workers
- Master’s-level clinical nurse specialists or psychiatric nurse practitioners
- Medical Doctors (MD)
- Naturopathic Physicians
- Nurse Midwives
- Nurse Practitioners
- Occupational Therapists
- Optometrists
- Oral Surgeons
- Osteopathic Physicians (DO)
- Pharmacists
- Physical Therapists
- Physician Assistants
- Podiatrists
- Psychiatrists and other physicians
- Speech and Language Pathologists
- Telemedicine Practitioners

### Criteria for participation in the Molina network

Molina has established criteria, and the sources used to verify these criteria for the evaluation and selection of Practitioners for participation in the Molina network. These criteria have been designed to assess a Practitioner’s ability to deliver care. This policy defines the criteria that are applied to applicants for initial participation, re-credentialing, and ongoing participation in the Molina network. To remain eligible for participation, Practitioners must continue to satisfy all applicable requirements for participation as stated herein and in all other documentation provided by Molina.

Molina reserves the right to exercise discretion in applying any criteria and to exclude Practitioners who do not meet the criteria. Molina may, after considering the recommendations of the Professional Review Committee, waive any of the requirements for network participation established pursuant to these policies for good cause if it is determined such waiver is necessary to meet the needs of Molina and the community it serves. Molina's refusal to waive any requirement shall not entitle any practitioner to a hearing or any other rights of review.

Practitioners must meet the following criteria to be eligible to participate in the Molina network. The Practitioner shall have the burden of producing adequate information to prove they meet all criteria for initial participation and continued participation in the Molina network. If the Practitioner does not provide this information, the credentialing application will be deemed incomplete, and it will result in an administrative denial or administrative termination from the Molina network. Practitioners who fail to provide this burden of proof do not have the right to submit an appeal.

- **Application**—Practitioners must submit to Molina a complete credentialing application, either from CAQH ProView or another state-mandated practitioner application. The attestation must be signed within 120 days. The application must include all required attachments.
- **License, certification or registration** – Practitioners must hold a current and valid license, certification or registration to practice in their specialty in every State in which they will provide care and/or render services for Molina Members. Telemedicine Practitioners are required to be licensed in the State where they are located, and the State the Member is located.
- **Drug Enforcement Agency (DEA) certificate** – Practitioners must hold a current, valid, unrestricted DEA certificate. Practitioners must have a DEA certificate in every state where the Practitioner provides care to Molina Members. If a Practitioner has a pending DEA certificate and never had any disciplinary action taken related to their DEA certificate or chooses not to have a DEA certificate, the Practitioner must then provide a documented process that allows another Practitioner with a valid DEA certificate to write all prescriptions requiring a DEA number.
- **Controlled Dangerous Substances (CDS) certificate** – Practitioners working from Idaho practice locations must meet CDS requirements in that state.
- **Specialty** – Practitioners must only be credentialed in the specialty in which they have adequate education and training. Practitioners must confine their practice to their credentialed area of practice when providing services to Molina Members.
- **Education**—Practitioners must have graduated from an accredited school with a degree required to practice in their designated specialty.
- **Residency training** – Practitioners must have satisfactorily completed residency programs from accredited training programs in the specialties in which they are practicing. Molina only recognizes residency training programs that have been accredited by the Accreditation Council of Graduate Medical Education (ACGME) and the American Osteopathic Association (AOA) in the United States or by the College of Family Physicians of Canada (CFPC), the Royal College of Physicians and Surgeons of Canada. Oral Surgeons must complete a training program in Oral and Maxillofacial Surgery accredited by the Commission on Dental Accreditation (CODA). Training must be successfully completed prior to completing the verification. It is not acceptable to verify completion prior to graduation from the program. As of July 2013, podiatric residencies are required to be three (3) years in length. If the podiatrist has not completed a three (3)-year residency or is not board-certified, the podiatrist must have five (5) years of work history practicing podiatry.
- **Fellowship training**—Fellowship training is verified when a Practitioner is advertised in the directory in their fellowship specialty. Molina only recognizes fellowship programs accredited by ACGME, AOA, CFPC, and CODA.
- **Board certification** – Board certification in the specialty in which the Practitioner is practicing is not required. Initial applicants who are not board-certified will be considered for participation if they have satisfactorily completed residency training from an accredited program in the specialty in which they are practicing. Molina recognizes certification only from the following boards:

- American Board of Medical Specialties (ABMS)
- American Osteopathic Association (AOA)
- American Board of Foot and Ankle Surgery (ABFAS)
- American Board of Podiatric Medicine (ABPM)
- American Board of Oral and Maxillofacial Surgery
- American Board of Addiction Medicine (ABAM)
- College of Family Physicians of Canada (CFPC)
- Royal College of Physicians and Surgeons of Canada (RCPSC)
- Behavioral Analyst Certification Board (BACB)
- National Commission on Certification of Physician Assistants (NCCPA)
- **General practitioners** – Practitioners who are not board certified and have not completed training from an accredited program are only eligible to be considered for participation as a General Practitioner in the Molina network. To be eligible, the Practitioner must have maintained a primary care practice in good standing for a minimum of the most recent five (5) years without any gaps in work history. Molina will consider allowing a practitioner who is/was board certified and/or residency trained in a specialty other than primary care to participate as a General Practitioner if the Practitioner is applying to participate as a Primary Care Physician (PCP) or as an Urgent Care or Wound Care Practitioner. General Practitioners providing only wound care services do not require five (5) years of work history as a PCP.
- **Nurse Practitioners and Physician Assistants** – In certain circumstances, Molina may credential a Practitioner who is not licensed to practice independently. In these instances, the Practitioner providing the supervision and/or oversight must also be contracted and credentialed with Molina.
- **Work history** – Practitioners must supply most the recent five (5)-years of relevant work history on the application or curriculum vitae. Relevant work history includes work as a health professional. If a gap in employment exceeds six (6) months, the Practitioner must clarify the gap verbally or in writing. The organization documents a verbal clarification in the Practitioner's credentialing file. If the gap in employment exceeds one (1) year, the Practitioner must clarify the gap in writing.
- **Malpractice history** –Practitioners must supply a history of malpractice and professional liability claims and settlement history in accordance with the application. Documentation of malpractice and professional liability claims, and settlement history is requested from the Practitioner on the credentialing application. If there is an affirmative response to the related disclosure questions on the application, a detailed response is required from the Practitioner.
- **State sanctions, restrictions on licensure, or limitations on the scope of practice** – **Practitioners must disclose a full history of all license/certification/registration actions, including denials, revocations, terminations, suspension, restrictions, reductions, limitations, sanctions, probations, and non-renewals.** Practitioners must also disclose any history of voluntarily or involuntarily relinquishing, withdrawing, or failing to proceed with an application to avoid an adverse action or to preclude an investigation or while under investigation relating to professional competence or conduct. If there is an affirmative response to the related disclosure questions on the application, a detailed response is

required from the Practitioner. At the time of initial application, the Practitioner must not have any pending or open investigations from any state or governmental professional disciplinary body<sup>3</sup>. This would include Statement of Charges, Notice of Proposed Disciplinary Action, or the equivalent.

- **Medicare, Medicaid and other sanctions and exclusions** – Practitioners must not be currently sanctioned, excluded, expelled, or suspended from any state or federally funded program including but not limited to the Medicare or Medicaid programs. Practitioners must disclose all Medicare and Medicaid sanctions. If there is an affirmative response to the related disclosure questions on the application, a detailed response is required from the Practitioner. Practitioners must disclose all debarments, suspensions, proposals for debarments, exclusions or disqualifications under the non-procurement common rule, or when otherwise declared ineligible from receiving federal contracts, certain subcontracts, and certain federal assistance and benefits. If there is an affirmative response to the related disclosure questions on the application, a detailed response is required from the Practitioner.
- **Medicare Opt-Out** – Practitioners currently listed on the Medicare Opt-Out Report may not participate in the Molina network for any Medicare or Duals (Medicare/Medicaid) lines of business.
- **Social Security Administration Death Master File** – Practitioners must provide their Social Security number. That Social Security number should not be listed on the Social Security Administration Death Master File.
- **Medicare Preclusion List** – Practitioners currently listed on the Preclusion List may not participate in the Molina network for any Medicare or Duals (Medicare/Medicaid) lines of business.
- **Professional liability insurance** – Practitioners must have and maintain professional malpractice liability insurance with limits that meet Molina criteria. This coverage shall extend to Molina Members and the Practitioner’s activities on Molina's behalf. Practitioners maintaining coverage under federal tort or self-insured policies are not required to include amounts of coverage on their application for professional or medical malpractice insurance.
- **Inability to perform** – Practitioners must disclose any inability to perform essential functions of a practitioner in their area of practice with or without reasonable accommodation. If there is an affirmative response to the related disclosure questions on the application, a detailed response is required from the Practitioner.
- **Lack of present illegal drug use** – Practitioners must disclose if they are currently using any illegal drugs/substances.
- **Criminal convictions** – Practitioners must disclose if they have ever had any of the following:

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<sup>3</sup>If a practitioner’s application is denied solely because a practitioner has a pending Statement of Charges, Notice of Proposed Disciplinary Action, Notice of Agency Action or the equivalent from any state or governmental professional disciplinary body, the practitioner may reapply as soon as practitioner is able to demonstrate that any pending Statement of Charges, Notice of Proposed Disciplinary Action, Notice of Agency Action, or the equivalent from any state or governmental professional disciplinary body is resolved, even if the application is received less than one year from the date of original denial.

- Criminal convictions, including convictions, guilty pleas, or adjudicated pretrial diversions for crimes against the persons, such as murder, rape, assault, and other similar crimes.
- Financial crimes such as extortion, embezzlement, income tax evasion, insurance fraud, and other similar crimes.
- Any crime that placed the Medicaid or Medicare program or its beneficiaries at immediate risk, such as a malpractice suit results in a conviction of criminal neglect or misconduct.
- Any crime that would result in mandatory exclusion under section 1128 of the Social Security Act.
- Any crime related to fraud, kickbacks, healthcare fraud, claims for excessive charges, unnecessary services or services that fail to meet professionally recognized standards of healthcare, patient abuse or neglect, controlled substances, or similar crimes.

At the time of initial credentialing, Practitioners must not have any pending criminal charges in the categories listed above.

- **Loss or limitations of clinical privileges** – At initial credentialing, Practitioners must disclose all past and present issues regarding the loss or limitation of clinical privileges at all facilities or organizations with which the Practitioner has had privileges. If there is an affirmative response to the related disclosure questions on the application, a detailed response is required from the Practitioner. At re-credentialing, Practitioners must disclose past and present issues regarding the loss or limitation of clinical privileges at all facilities or organizations with which the Practitioner has had privileges since the previous credentialing cycle.
- **Hospital privileges** – Practitioners must list all current hospital privileges on their credentialing application. If the Practitioner has current privileges, they must be in good standing.
- **NPI** – Practitioners must have an NPI issued by CMS.

### Integrated managed care (IMC) specialty behavioral health providers

Molina credentials IMC specialty behavioral health agencies at the facility level, as they often employ lower-level Providers that cannot be individually credentialed (e.g., peers). The process involves completing a Health Delivery Organization (HDO) application and submitting a complete Provider roster (see more information about rosters under the **Provider Responsibilities** section of this Provider Manual).

The following credentialing documents must be completed to initiate the process:

- Health Delivery Organization (HDO)\* form
- Completed CMS Ownership Form with recent signature\*
  - This form is waived if ALL required NPI billed on a claim form for in-network providers are registered with HCA
- Copy of Current State License

- Copy of Most Recent CMS Survey or Accreditation Certificate
- Copy of Current Liability Insurance
- Copy of W-9
- Roster of affiliated practitioners (if applicable) (name, specialty, NPI, scope of service (PCP/Specialist))

\*Credentialing documents are located in the Credentialing/Contracting section of the Frequently Used Forms section of the Molina website:

[MolinaHealthcare.com/providers/wa/medicaid/forms/Pages/fuf.aspx](https://MolinaHealthcare.com/providers/wa/medicaid/forms/Pages/fuf.aspx)

Please be sure to register your NPI with the Health Care Authority (HCA) at [hca.wa.gov/billersproviders-partners/apple-health-medicaid-providers/enroll-provider](https://hca.wa.gov/billersproviders-partners/apple-health-medicaid-providers/enroll-provider). This is a federal requirement in order for our plan to enroll Providers. If you have questions regarding this requirement, please direct them to HCA at (800) 562-3022 ext. 16137.

### **Notification of discrepancies in credentialing information and practitioner's right to correct erroneous information**

Molina will notify the Practitioner immediately if credentialing information obtained from other sources varies substantially from that submitted by the Practitioner. Examples include but are not limited to actions on a license, malpractice claims history, board certification actions, sanctions, or exclusions. Molina is not required to reveal the source of information if the information is obtained to meet organization credentialing verification requirements or if disclosure is prohibited by law.

Practitioners have the right to correct erroneous information in their credentials file. Practitioner rights are published on the Molina website and are included in this Provider Manual.

The notification sent to the Practitioner will detail the information in question and will include instructions to the Practitioner indicating:

- Their requirement to submit a written response within ten (10) calendar days of receiving notification from Molina.
- In their response, the Practitioner must explain the discrepancy, correct any erroneous information, and provide any proof that is available.
- The Practitioner's response must be sent to:

Molina Healthcare, Inc.  
 Attention: Credentialing Director  
 PO Box 2470  
 Spokane, WA 99210

Upon receipt of notification from the Practitioner, Molina will document receipt of the information in the Practitioner's credentials file. Molina will then re-verify the primary source

information in dispute. If the primary source information has changed, correction will be made immediately to the Practitioner's credentials file. The Practitioner will be notified in writing that the correction has been made to their credentials file. If the primary source information remains inconsistent with the Practitioner's information, the Credentialing department will notify the Practitioner.

If the Practitioner does not respond within ten (10) calendar days, their application processing will be discontinued, and network participation will be administratively denied or terminated.

### **Practitioner's right to review information submitted to support their credentialing application**

Practitioners have the right to review their credentials file at any time. Practitioner rights are published on the Molina website and are included in this Provider Manual.

The Practitioner must notify the Credentialing department and request an appointment time to review their file. Allow up to seven (7) calendar days to coordinate schedules. The Medical Director, the Director responsible for Credentialing, or the Quality Improvement Director will be present. The Practitioner has the right to review all information in the credentials file except peer references or recommendations protected by law from disclosure.

The only items in the file that the Practitioner may copy are documents that the Practitioner sent to Molina (e.g., the application and any other attachments submitted with the application from the Practitioner). Practitioners may not copy any other documents from the credentialing file.

### **Practitioner's right to be informed of application status**

Practitioners have the right, upon request, to be informed of the status of their application by telephone, email, or mail. Practitioner rights are published on the Molina website and are included in this Provider Manual. Molina will respond to the request within two (2) working days. Molina will share with the Practitioner where the application is in the credentialing process to include any missing information or information not yet verified.

### **Professional Review Committee (PRC)**

Molina designates a PRC to make recommendations regarding credentialing decisions using a peer review process. Molina works with the PRC to ensure that network Practitioners are competent and qualified to provide continuous quality care to Molina Members. The PRC reports to the Quality Improvement Committee (QIC.) Molina utilizes information such as, but not limited to, credentialing verifications, QOCs, and Member complaints to determine continued participation in Molina's network or if any adverse actions will be taken. Certain PRC decisions may be appealed. To utilize this process, providers should request a fair hearing as outlined below and in Molina's policy. Please contact Molina Provider Relations representatives for additional information about fair hearings.

## Notification of credentialing decisions

Molina will decide to approve or deny a credentialing application no later than 90 days after receiving a complete application from a Practitioner.

A letter is sent to every Practitioner with notification of the Professional Review Committee or Medical Director's decision regarding their participation in the Molina network. This notification is sent within 15 calendar days of the decision. Copies of the letters are filed in the Practitioner's credentials files.

## Recredentialing

Molina re-credentials every Practitioner at least every 36 months.

## Excluded providers

Excluded Provider means an individual Provider, or an entity with an officer, director, agent, manager or individual who owns or has a controlling interest in the entity who has been convicted of crimes as specified in section 1128 of the SSA, excluded from participation in the Medicare or Medicaid program, assessed a civil penalty under the provisions of section 1128, or has a contractual relationship with an entity convicted of a crime specified in section 1128.

Pursuant to section 1128 of the SSA, Molina and its Subcontractors may not subcontract with an Excluded Provider/person. Molina and its Subcontractors shall terminate subcontracts immediately when Molina and its Subcontractors become aware of such excluded Provider/person or when Molina and its Subcontractors receive notice. Molina and its Subcontractors certify that neither it nor its Provider is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency. Where Molina and its Subcontractors are unable to certify any of the statements in this certification, Molina and its Subcontractors shall attach a written explanation to this Agreement.

## Ongoing monitoring of sanctions and exclusions

Molina monitors the following agencies for Practitioner sanctions and exclusions between re-credentialing cycles for all Practitioner types and takes appropriate action against Practitioners when instances of poor quality are identified. If a Molina Practitioner is found to be sanctioned or excluded, the Practitioner's contract will be immediately terminated effective the same date as the sanction or exclusion was implemented.

- **The United States Department of Health & Human Services (HHS), Office of Inspector General (OIG) Fraud Prevention and Detection Exclusions Program** – Monitor for individuals and entities that have been excluded from Medicare and Medicaid programs.

- **The OIG High Risk List**—Monitor for individuals or facilities that refused to enter a Corporate Integrity Agreement (CIA) with the federal government on or after October 1, 2018.
- **State Medicaid Exclusions** – Monitor for state Medicaid exclusions through each state’s specific Program Integrity Unit (or equivalent).
- **Medicare Exclusion Database (MED)** – Monitor for Medicare exclusions through the CMS MED online application site.
- **Medicare Preclusion List** – Monitor for individuals and entities that are reported on the Medicare Preclusion List.
- **National Practitioner Database (NPDB)**—Molina enrolls all credentialed Practitioners in the NPDB Continuous Query service to monitor for adverse actions on license, DEA, hospital privileges, and malpractice history between credentialing cycles.
- **System for Award Management (SAM)** – Monitor for Practitioners sanctioned by SAM.

Molina also monitors the following for all Practitioner types between the re-credentialing cycles.

- Member Complaints/Grievances
- Adverse Events
- Medicare Opt-Out
- Social Security Administration Death Master File

## Provider appeal rights

In cases where the Professional Review Committee suspends or terminates a Practitioner’s contract based on quality of care or professional conduct, a certified letter is sent to the Practitioner describing the adverse action taken and the reason for the action, including notification to the Practitioner of the right to a fair hearing when required pursuant to laws or regulations.

## 16. Delegation

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Delegation is a process that gives another entity the ability to perform specific functions on behalf of Molina. Molina may delegate:

1. Utilization management
2. Credentialing and re-credentialing
3. Claims
4. Complex case management
5. CMS Preclusion List Monitoring
6. Other clinical and administrative functions

When Molina delegates any clinical or administrative functions, Molina remains responsible to external regulatory agencies and other entities for the performance of the delegated activities, including functions that may be sub-delegated. To become a delegate, the Provider/Accountable Care Organization (ACO)/vendor must follow Molina's established delegation criteria and standards. Molina's Delegation Oversight Committee (DOC) or other designated committee must approve all delegation and sub-delegation arrangements. To remain a delegate, the Provider/ACO/vendor must maintain compliance with Molina's standards and best practices.

### Delegation reporting requirements

Delegated entities contracted with Molina must submit monthly and quarterly reports. The function(s) delegated will determine such reports, which will be reviewed by Molina Delegation Oversight Staff for compliance with performance expectations within the timeline indicated by Molina.

### Corrective action plans and revocation of delegated activities

If it is determined that the delegate is out of compliance with Molina's guidelines or regulatory requirements, Molina may require the delegate to develop a corrective action plan designed to bring the delegate into compliance. Molina may also revoke delegated activities if it is determined that the delegate cannot achieve compliance or if Molina determines that is the best course of action.

If you have additional questions related to delegated functions, please contact your Molina Contract Manager.

## 17. Pharmacy

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Prescription drug therapy is an integral component of your patient's comprehensive treatment program. Molina's goal is to provide our Members with high-quality, cost-effective drug therapy. Molina works with our Providers and Pharmacists to ensure medications used to treat a variety of conditions and diseases are offered. Molina covers prescription and certain over-the-counter drugs.

### Pharmacy and Therapeutics Committee

The National Pharmacy and Therapeutics Committee (P&T) meets quarterly to review and recommend medications for formulary consideration. The P&T Committee is organized to assist Molina with managing pharmacy resources and to improve the overall satisfaction of Molina Members and Providers. It seeks to ensure Molina Members receive appropriate and necessary medications. An annual pharmacy work plan governs all the activities of the committee. The committee voting membership consists of external physicians and pharmacists from various clinical specialties.

### Pharmacy network

Members must use their Molina Member ID card to get prescriptions filled. Additional information regarding the pharmacy benefits, limitations, and network pharmacies is available by visiting [MolinaMarketplace.com](https://MolinaMarketplace.com) or calling Molina at (888) 858-3492.

### Drug formulary

The pharmacy program does not cover all medications. Molina keeps a list of drugs, devices and supplies that are covered under the plan's pharmacy benefit. The list shows all the prescription and over-the-counter products Members can get from a pharmacy. Some medications require prior authorization or have limitations on age, dosage, and/or quantities. For a complete list of covered medications please visit [MolinaMarketplace.com](https://MolinaMarketplace.com).

This document describes procedures for obtaining these medications, which are also available on the Molina website at [MolinaMarketplace.com](https://MolinaMarketplace.com).

### Formulary medications

Formulary medications with prior authorization may require the use of first-line medications before they are approved.

### Quantity limitations

In some cases, Members may only be able to receive certain quantities of medication. Information on limits is included and can be found in the formulary document.

Quantity limitations have been placed on certain medications to ensure safe and appropriate use of the medication.

## Age limits

Some medications may have age limits. Age limits align with current U.S. Food and Drug Administration (FDA) alerts for the appropriate use of pharmaceuticals.

## Step therapy

Plan restrictions for certain formulary drugs may require that other drugs be tried first. The formulary designates drugs that may be processed under the pharmacy benefit without prior authorization if the Member's pharmacy fill history with Molina shows other drugs have been tried for certain lengths of time. If the Member has trialed certain drugs prior to joining Molina, documentation in the clinical record can serve to satisfy requirements when submitted to Molina for review. Drug samples from Providers or manufacturers are not considered as meeting step therapy requirements or as justification for exception requests.

## Non-formulary medications

Non-formulary medications may be considered for exception when formulary medications are not appropriate for a particular Member or have proven ineffective. Requests for formulary exceptions should be submitted using a [PA Form](#) which is available on the Molina website at [MolinaMarketplace.com](https://MolinaMarketplace.com). Clinical evidence must be provided and is considered when evaluating the request to determine medical necessity. The use of manufacturer's samples of Non-Formulary or "Prior Authorization Required" medications does not override formulary requirements.

## Generic substitution

Generic drugs should be dispensed when available. If the provider determines that the use of a particular brand name becomes medically necessary, prior authorization must be obtained through the standard PA process.

## New-to-market drugs

Newly approved drug products will not normally be placed on the formulary during their first six (6) months on the market. During this period, access to these medications will be considered through the prior authorization process.

## Medications not covered

Medications not covered by Molina Marketplace are excluded from coverage. For example, drugs used in the treatment of fertility or those used for cosmetic purposes are not part of the

benefit. For a complete list of drugs excluded from the plan benefit please refer to the Member's Evidence of Coverage.

## Submitting a prior authorization request

Molina will only process completed prior authorization request forms; the following information MUST be included for the request form to be considered complete:

- Member first name, last name, date of birth, and Molina Member ID number
- Prescriber first name, last name, NPI, phone number, and fax number
- Drug name, strength, quantity, and directions of use
- Diagnosis

Molina's decisions are based on the information included with the prior authorization request. Clinical notes are recommended. If clinical information and/or medical justification are missing, Molina will either fax or call your office to request that clinical information be sent in to complete the review. To avoid delays in decisions, be sure to complete the prior authorization form in its entirety, including medical justification and/or supporting clinical notes.

Fax a completed Medication Prior Authorization Request form to Molina at (800) 869-7791. A blank Medication Prior Authorization Request Form may be obtained by accessing [MolinaMarketplace.com](https://MolinaMarketplace.com) or by calling Molina at (855) 322-4082.

Providers and office staff can review Molina Clinical Criteria and Clinical Policies online to ensure all required information is submitted for review.

## Formulary exception requests

Providers can request Member coverage of clinically appropriate drugs that are not on the formulary or have "fail first" or other unmet requirements. Non-covered drugs, such as benefit exclusions, are not covered at all and cannot be approved for coverage by formulary exception.

The process for requesting an exception is the same as for requesting prior authorization on formulary drugs that require advanced approval for coverage. Providers may fax a completed Prior Authorization/Medication Exception Request form to Molina. Requests are reviewed against standard rules to determine medical necessity. For details on the information Molina looks for in reviewing a formulary exception, please visit [MolinaMarketplace.com](https://MolinaMarketplace.com).

## Site of care for administered drugs

For Provider-administered drugs that require prior authorization, when coverage criteria are met for the medication, a site-of-care policy is used to determine the medical necessity of the requested site of care. Molina covers injectable and infused medications in an outpatient hospital setting or at a hospital-affiliated infusion suite when the level of care is determined to be medically necessary. To review the site of care policy, please visit [MolinaMarketplace.com](https://MolinaMarketplace.com).

Molina may conduct peer-to-peer discussions or other outreach to evaluate the level of medically necessary care. If an alternate site of care is suitable, Molina may offer the ordering Provider help in identifying an in-network infusion center, physician office, or home infusion service and will help the Member coordinate and transition through case management.

### **Member and provider “patient safety notifications”**

Molina has a process for notifying Members and Providers regarding a variety of safety issues, including voluntary recalls, FDA-required recalls, and drug withdrawals for patient safety reasons. This is also a requirement for an NCQA-accredited organization.

### **Specialty pharmaceuticals, injectable, and infusion services**

Many specialty medications are covered by Molina through the pharmacy benefit, which uses National Drug Codes (NDC) for billing and specialty pharmacy for dispensing to the Member or Provider. Some of these same medications may be covered through the medical benefit, which uses the Healthcare Common Procedure Coding System (HCPCS) via electronic medical Claim submission.

During the utilization management review process, Molina will review the requested medication for the most cost-effective yet clinically appropriate benefit (medical or pharmacy) of select specialty medications. All reviewers will first identify Member eligibility, any federal or state regulatory requirements, and the Member's specific benefit plan coverage prior to determining benefit processing.

If it is determined to be a pharmacy benefit, Molina's pharmacy vendor will coordinate with Molina and ship the prescription directly to your office or the Member's home. All packages are individually marked for each Member, and refrigerated drugs are shipped in insulated packages with frozen gel packs. The service also offers the additional convenience of enclosing needed ancillary supplies (needles, syringes, and alcohol swabs) with each prescription at no charge. Please contact your Provider Relations representative with any further questions about the program.

Newly FDA-approved medications are considered non-formulary and subject to non-formulary policies and other non-formulary utilization criteria until a coverage decision is rendered by the Molina Pharmacy and Therapeutics Committee. “Buy-and-bill” drugs are pharmaceuticals that a Provider purchases and administers and for which the Provider submits a claim to Molina for reimbursement.

Molina clinical services complete utilization management for certain Healthcare Administered Drugs. Any drugs on the prior authorization list that use a temporary C code or other temporary HCPCS code that is not unique to a specific drug, which is later assigned a new HCPCS code, will still require prior authorization for such drug even after it has been assigned a new HCPCS code until otherwise noted in the prior authorization list.

## Pain Safety Initiative (PSI) Resources

Safe and appropriate opioid prescribing and utilization is a priority for all of us in health care. Molina requires Providers to adhere to Molina's drug formularies and prescription policies designed to prevent abuse or misuse of high-risk chronic pain medication. Providers are expected to offer additional education and support to Members regarding opioid and pain safety as needed.

Molina is dedicated to ensuring Providers are equipped with additional resources, which can be found on the Molina Provider website. Providers may access additional opioid safety and substance-use disorder resources at [MolinaMarketplace.com](https://MolinaMarketplace.com) under the Health Resources tab. Please consult with your Provider Relations representative or reference the medication formulary for more information on Molina's pain safety initiatives.



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